

# Looking Deeper into UV- MALDI with Numerical Models (and experiments)

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**NIST MALDI Workshop 2005**

# What do we want from MALDI?

**Sensitivity**

**Generality or Specificity**

**Reproducibility**

**Quantitation**

**HOW DOES IT WORK?**

# Modelling UV-MALDI

**Matrix ionization mechanisms**

**Consequences of the phase change**

**Analyte ionization mechanisms**

**Quantitative predictions**

# The 2-Step Continuum Model

Laser pulse: nanoseconds

Expansion to collision-free: microsec.

1) **Primary ionization**: during or shortly after the laser pulse.

2) **Secondary ionization**: ion-molecule reactions in the expanding plume.

## Possible UV Primary Mechanisms

**Exciton pooling: 2-center**

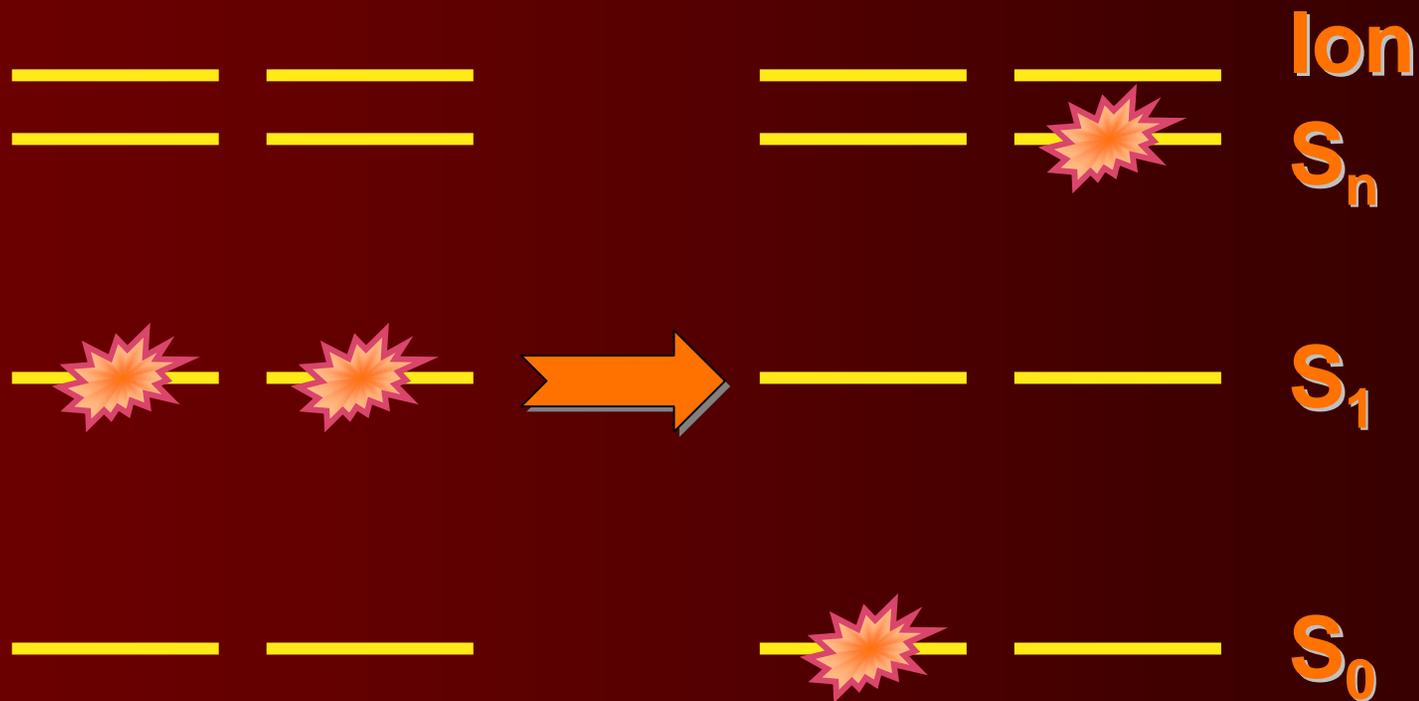
**Two-photon ionization: 1-center**

**Excited state proton transfer**

**Preformed ions**

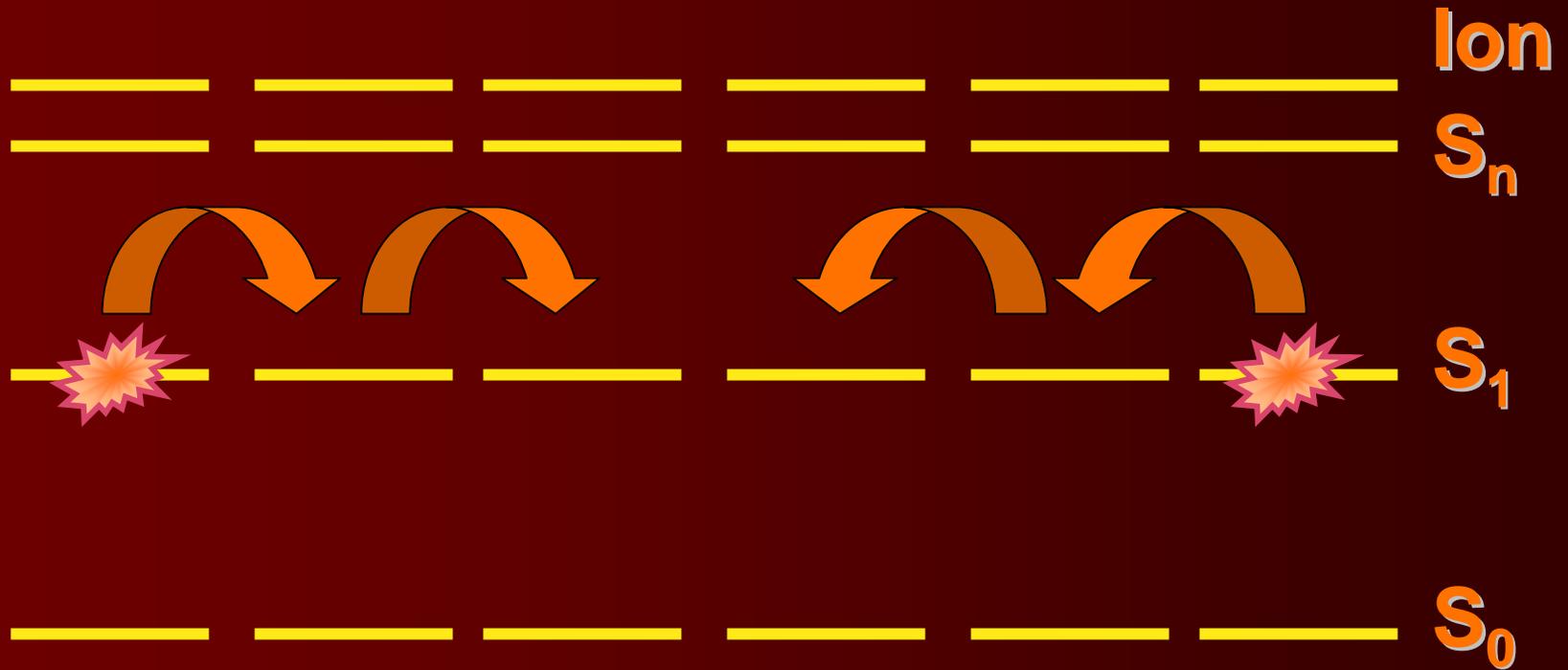
**....**

# $S_1+S_1$ Exciton Pooling



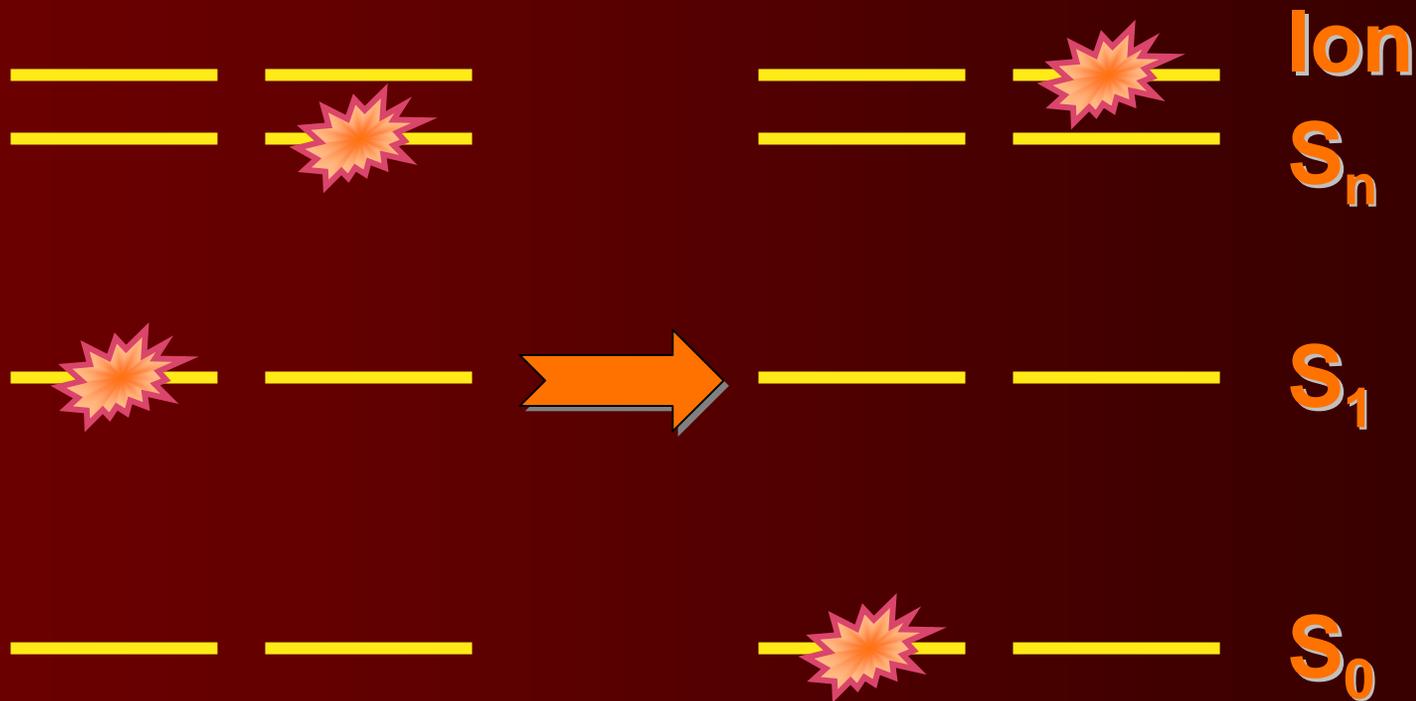
2 neighboring matrix molecules

# Exciton Diffusion & Pooling

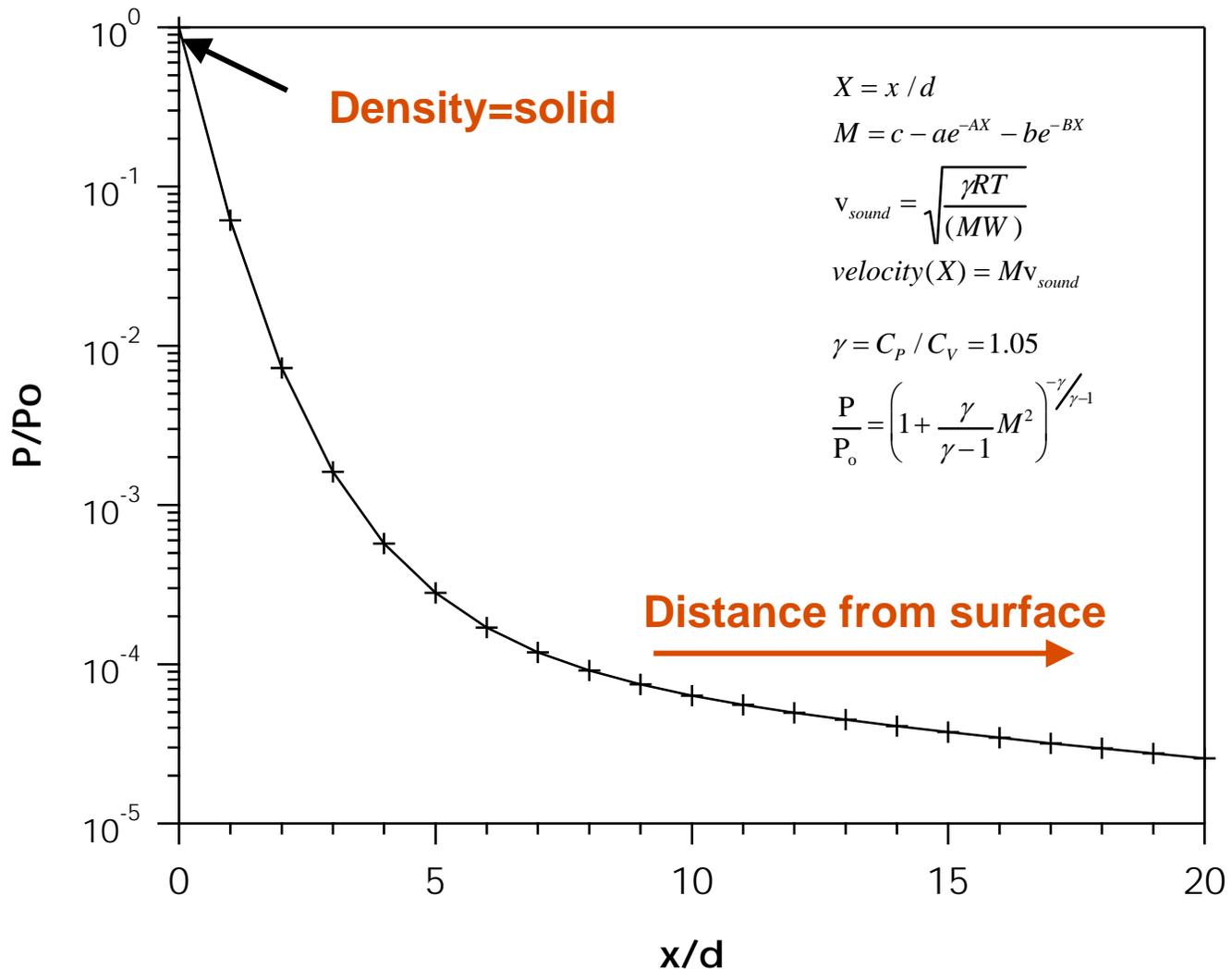


**2 DISTANT matrix molecules**

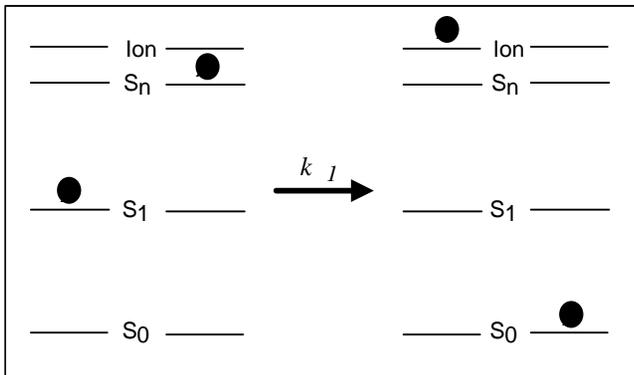
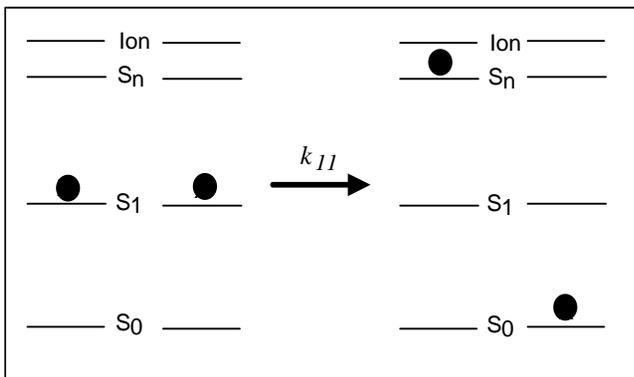
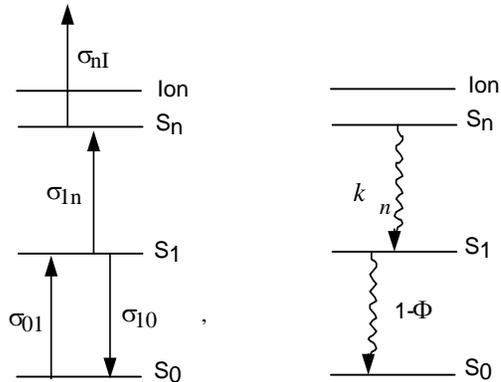
# $S_1 + S_n$ Exciton Pooling



# Adiabatic Plume Expansion



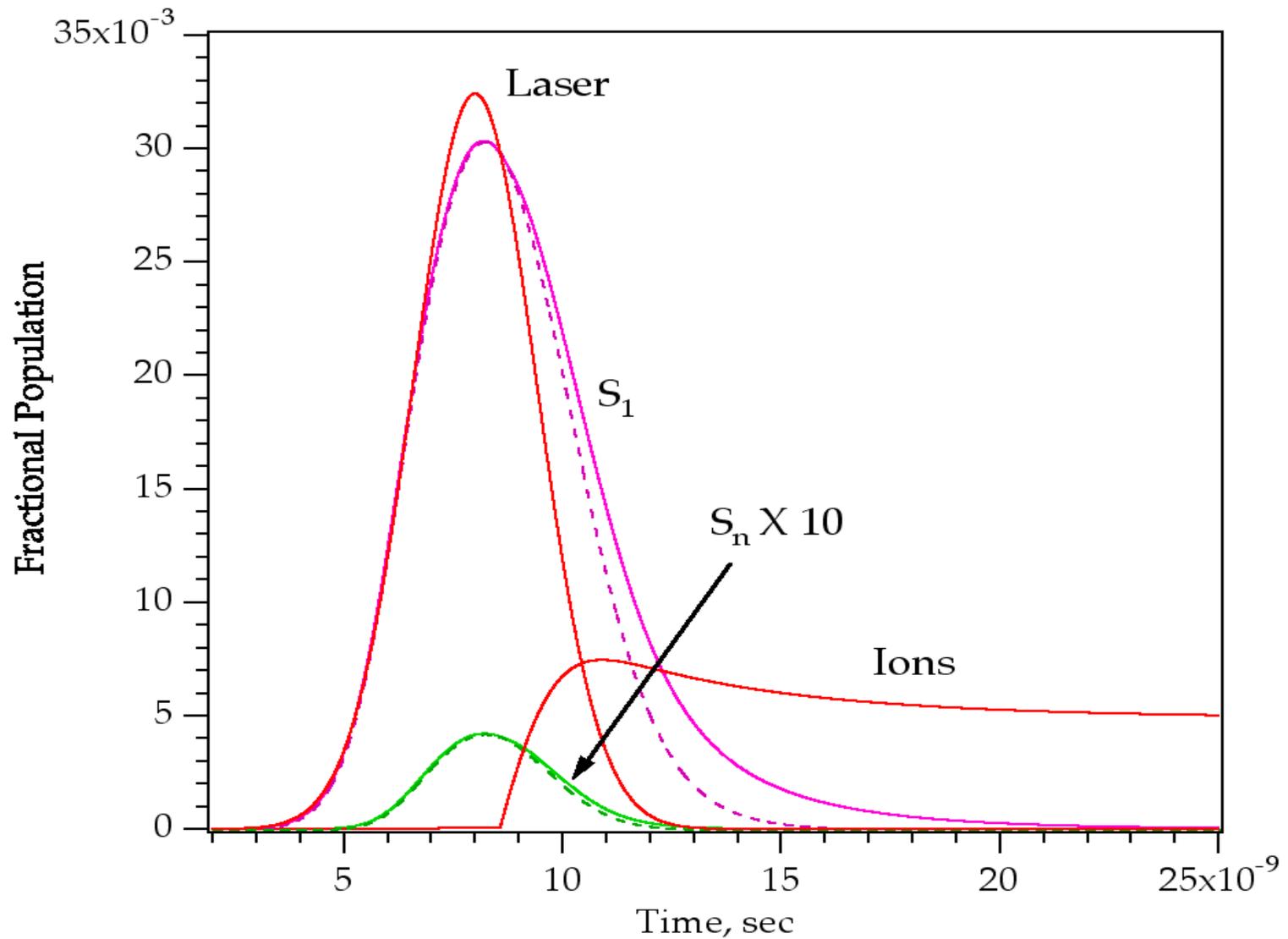
# Continuum Model Matrix Processes



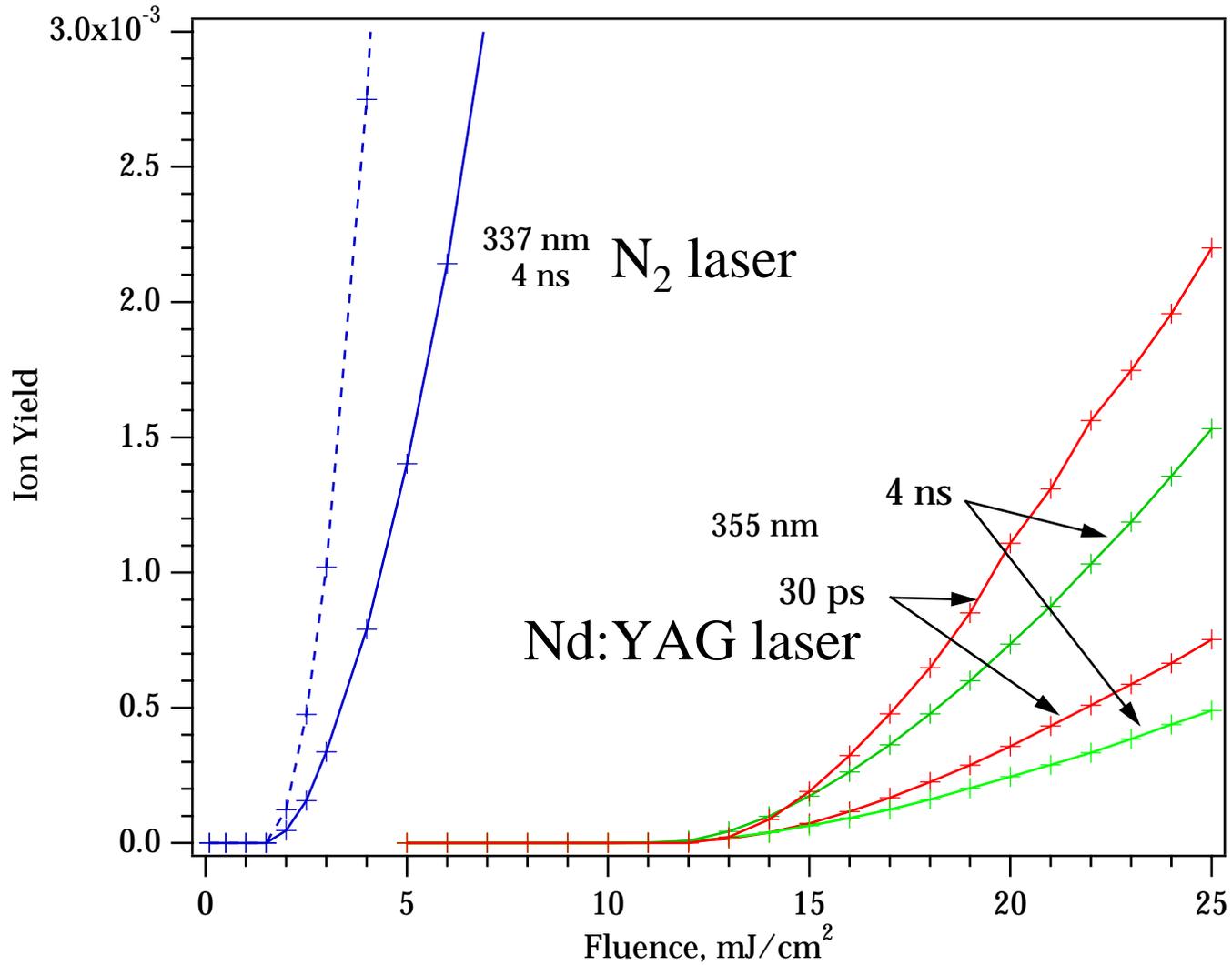
## Parameters for DHB MALDI at 355 nm.

IP (free molecule)	8.05 eV
IP (large clusters)	7.82 eV
S <sub>1</sub> state energy	3.466 eV
starting temperature	298 K
$\sigma_{01}$ = S <sub>0</sub> → S <sub>1</sub> absorption coeff.	9.9 × 10 <sup>-18</sup> cm <sup>2</sup>
$\sigma_{10}$ = S <sub>0</sub> ← S <sub>1</sub> stimulated emission	2 × 10 <sup>-18</sup> cm <sup>2</sup>
$\sigma_{1n}$ = S <sub>1</sub> → S <sub>n</sub> absorption coeff.	2 × 10 <sup>-18</sup> cm <sup>2</sup>
$k_{nI}$ = S <sub>1</sub> → S <sub>n</sub> decay rate	7.5 × 10 <sup>10</sup> s <sup>-1</sup>
$\tau_1(t=0)$ = solid state S <sub>1</sub> lifetime	1 ns
$\tau_1'$ = limiting plume S <sub>1</sub> lifetime	3 ns
$\Phi(t)$ = fluorescence quantum yield	$\tau_1(t)/\tau_1'$
f = internal degrees of freedom	45 (normal modes)
$\gamma$ = C <sub>p</sub> /C <sub>v</sub> for plume expansion	1.05 (from d.f.)
density	1.44 g/cm <sup>3</sup>
$k_{I0}$ = Ion recombination	1.5 × 10 <sup>11</sup> s <sup>-1</sup>
Fraction clusters in plume	0.85
laser spot diameter	0.1 mm
Pooling rate constants:	
$k_{I1}$ = S <sub>1</sub> + S <sub>1</sub> → S <sub>n</sub> + S <sub>0</sub>	7 × 10 <sup>9</sup> s <sup>-1</sup>
$k_{In}$ = S <sub>1</sub> + S <sub>n</sub> → Ions + S <sub>0</sub>	1 × 10 <sup>11</sup> s <sup>-1</sup>
D = expansion scaling factor	P/P <sub>0</sub>

# Example Results



# Wavelength, Fluence, and Pulse Length Effects



# Model Results Matrix Only

- 1) Magnitude of the ion yield: order  $10^{-2}$ - $10^{-4}$
- 2) Plume temperatures: 500-600 K
- 3) Velocity distribution: 500-1200 m/s
- 4) Fluence, rather than irradiance threshold
- 5) Existence of a fluence threshold
- 6) Position of the threshold
- 7) Spot size effect on apparent threshold
- 8) Wavelength dependence of threshold + yield
- 9) Existence of 2-pulse effect
- 10) Time of the 2-pulse maximum
- 11) Fluorescence quenching vs. Fluence
- 12) Electron & ion yields: thick vs. thin sample
- 13) Sample temperature effects
- 14) Metal substrate effects ....

**WIDE-RANGING QUANTITATIVE AGREEMENT  
WITH DATA**

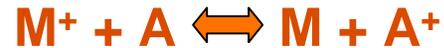
# Matrix-Analyte Secondary Reactions

## Mechanisms:

- Proton transfer
- Electron transfer
- Cationization

**In a sufficiently dense plume these can be treated by gas-phase thermodynamics**

# Adding Analyte to the Continuum Model



$$\frac{d[A]}{dt} = -k_{MA} [M^+] [A] + k_{AM} [M] [A^+]$$

$$\frac{d[A^+]}{dt} = k_{MA} [M^+] [A] - k_{AM} [M] [A^+]$$

## Arrhenius Rates:

$$k_{MA} = A e^{-EA/kT} \quad k_{AM} = A e^{-(EA+\Delta G)/kT}$$

$$A = \pi(D_M + D_A)^2 F(1-F)n\sqrt{V_M^2 + V_A^2}$$

## Activation Energy:

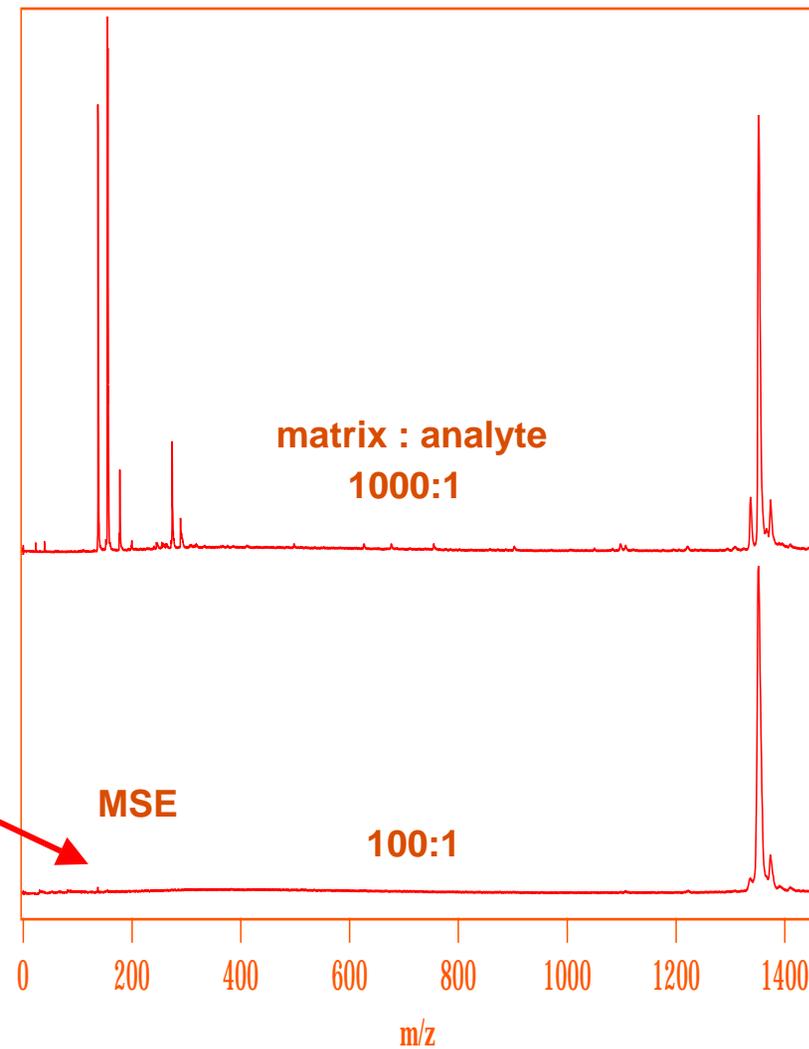
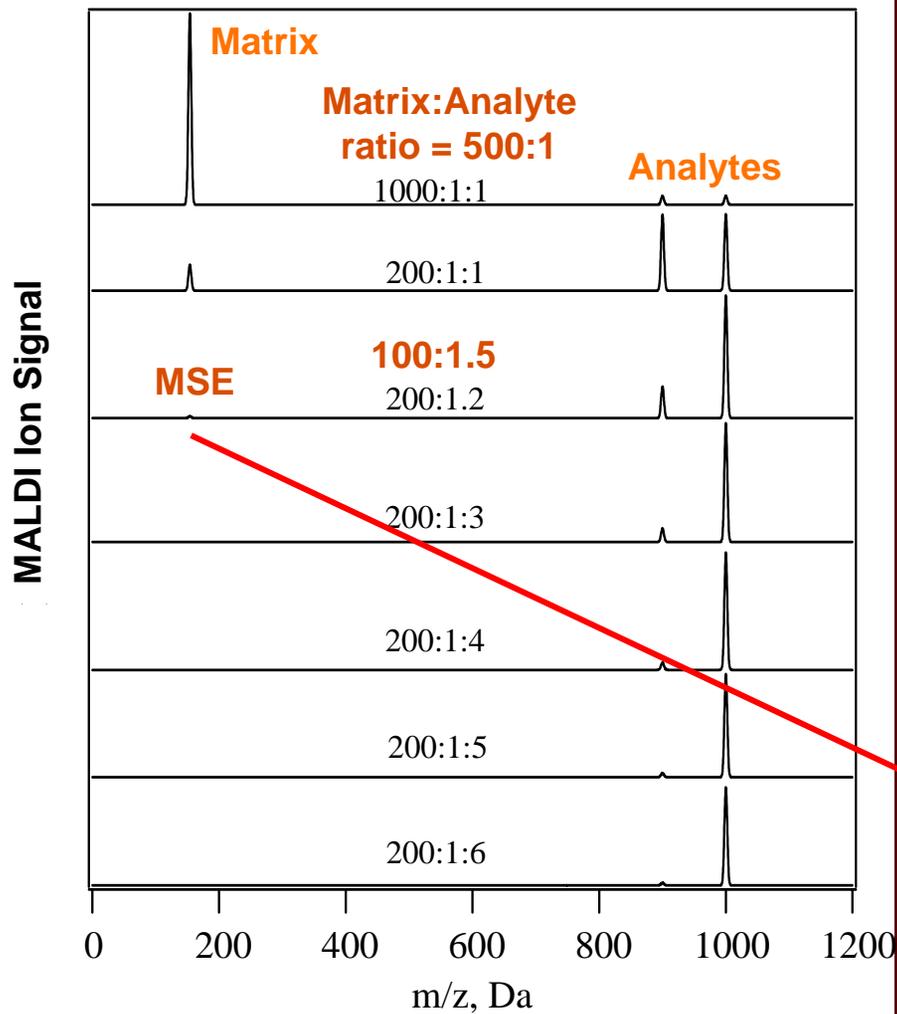
$$EA = \Delta G - \lambda \ln\left(\frac{1}{1 + e^{-\Delta G/\lambda}}\right)$$

## Volume Correction:

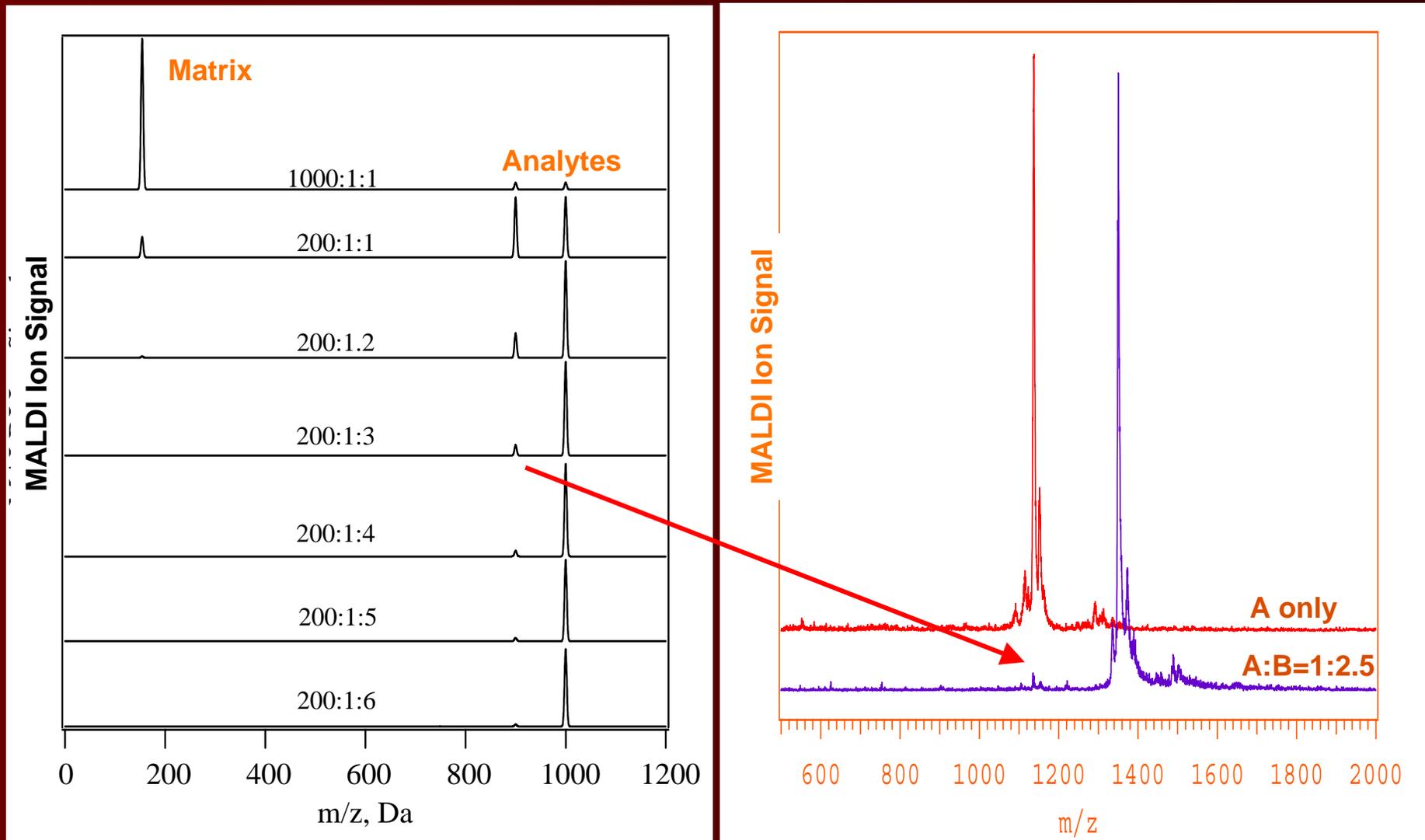
$$\left[ 1 + \left[ \left( \frac{D_A}{D_M} \right)^2 - 1 \right] \frac{P}{P_0} \right]$$

**No adjustable parameters**

# Matrix Suppression Effect



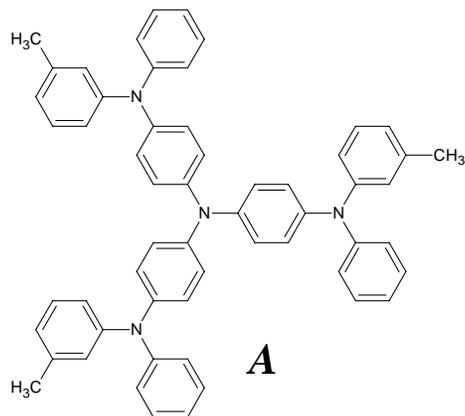
# Analyte Suppression Effect



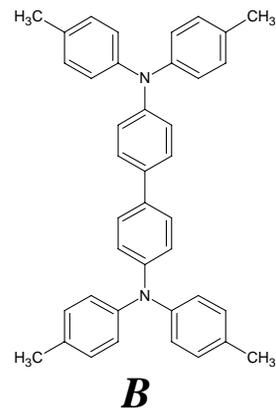
# Model Results, Matrix & Analyte

- **Existence of MSE + ASE**
- **Characteristics of MSE + ASE vs.:**
  - **Molecular weight**
  - **Fluence**
  - **Concentration- absolute & relative**
  - **$\Delta G$  of charge transfer reaction**
- **Valid for**
  - **cationization**
  - **(de)protonation**
  - **electron transfer**
- **Quantification of ion suppression effects**
- **...**
- **Wide-ranging quantitative agreement with data.**
- **Predictive capability.**
- **Rational matrix choice for desired analyte.**

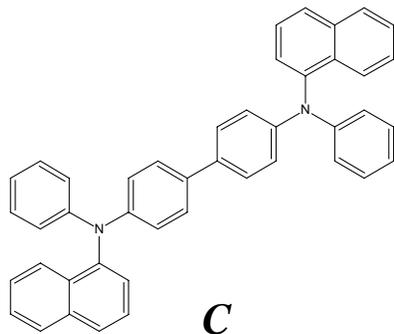
# Electron Transfer MALDI



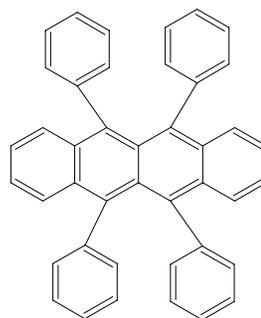
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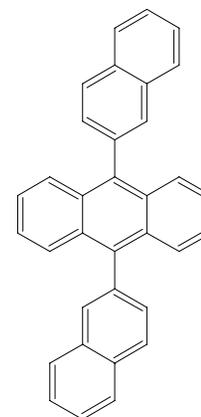
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CAS#: 123847-85-8

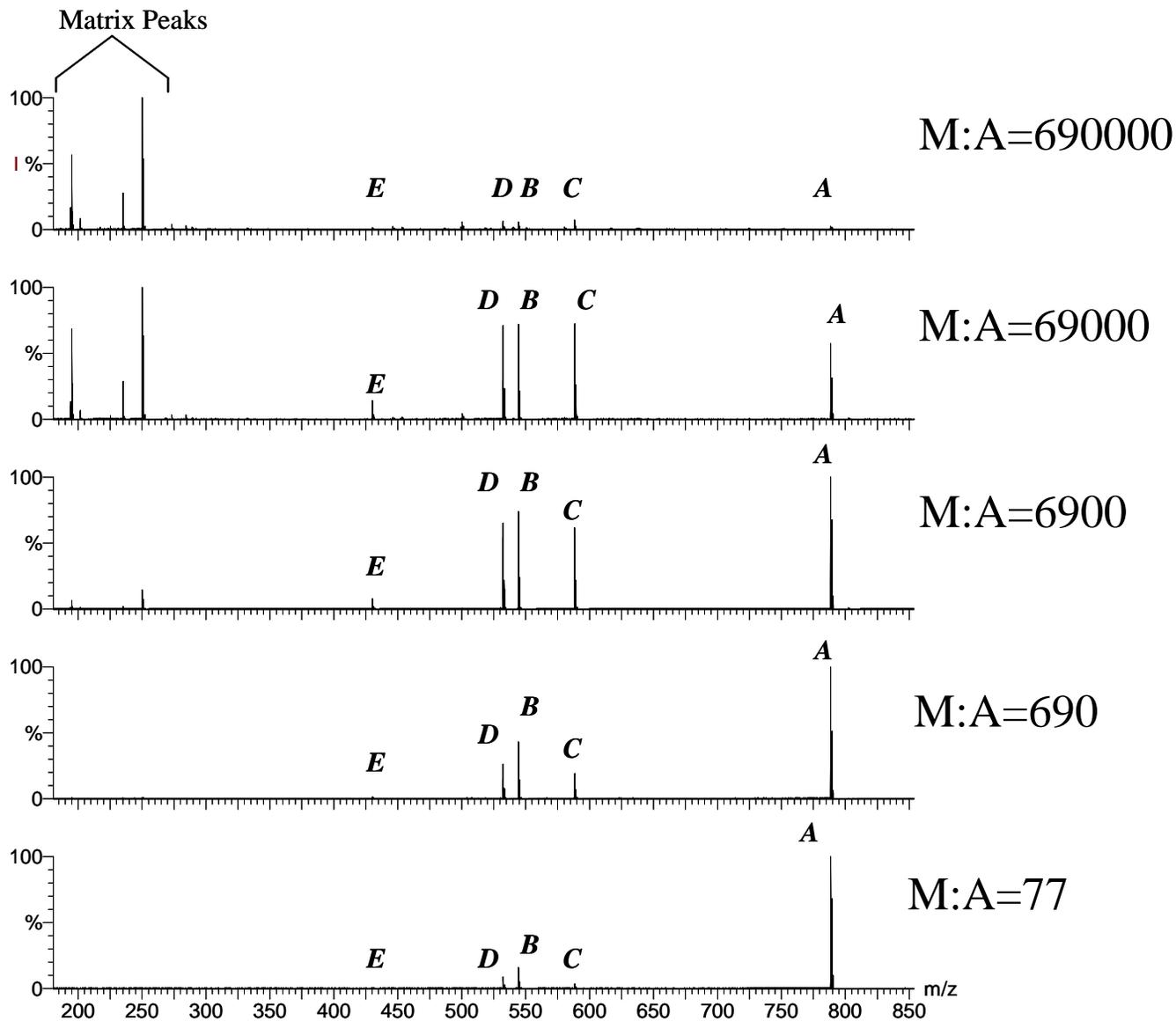


CAS#: 104751-29-9



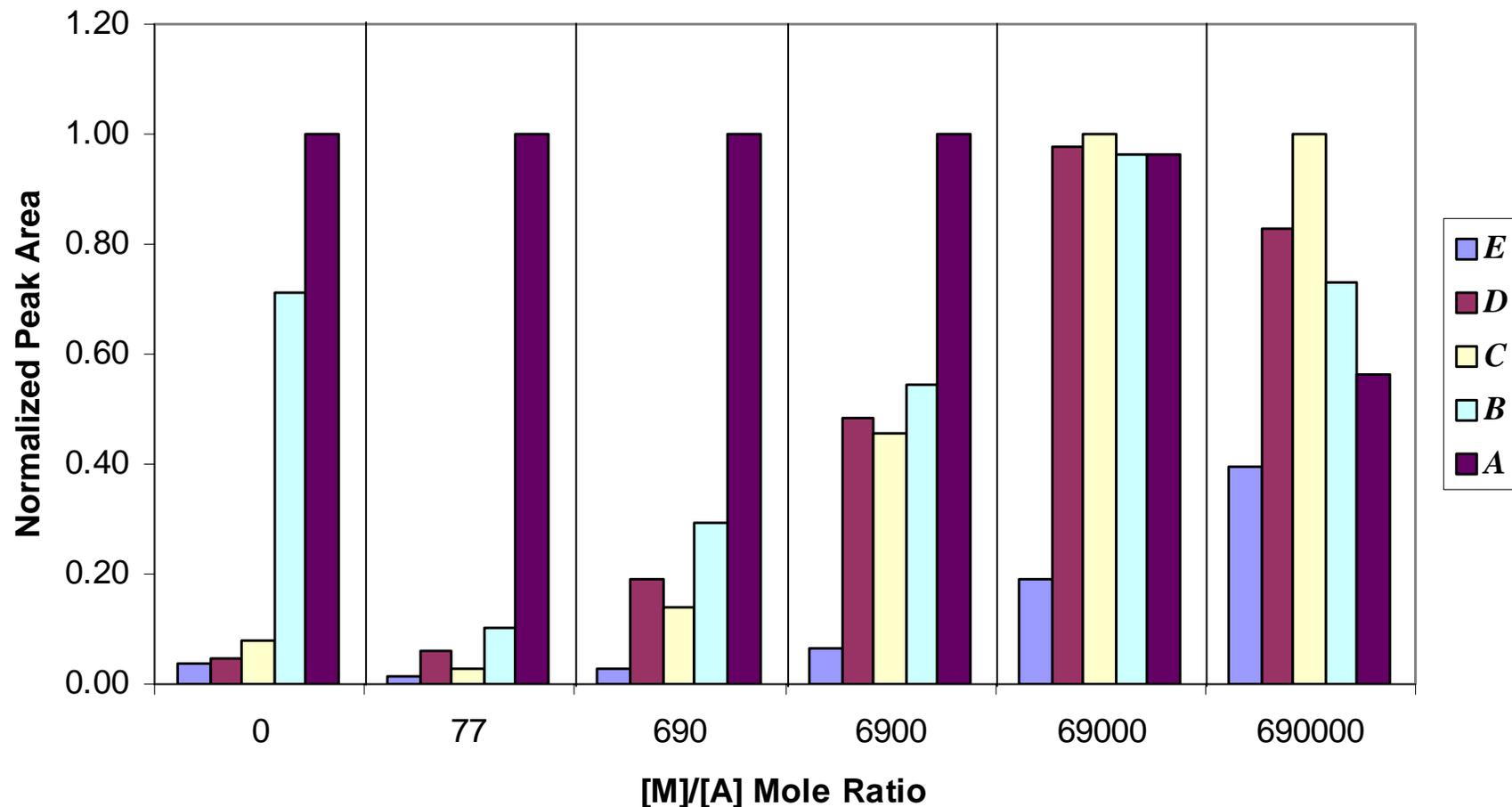
CAS#: 122648-99-1

# Electron Transfer MALDI



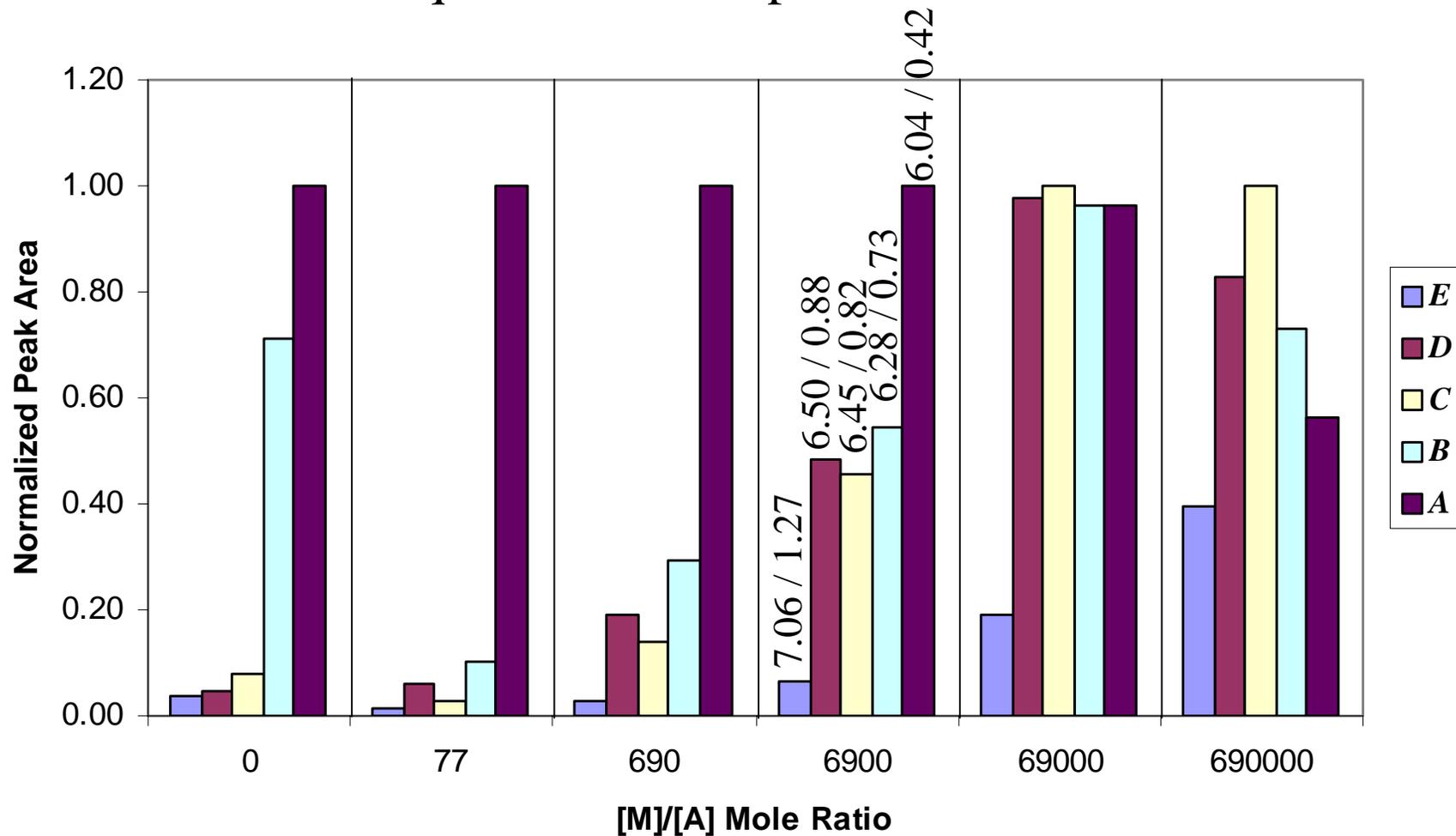
# Electron Transfer MALDI

Equimolar 5-component mixture

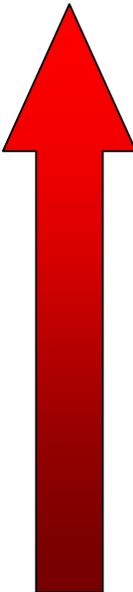


# Electron Transfer MALDI

Equimolar 5-component mixture

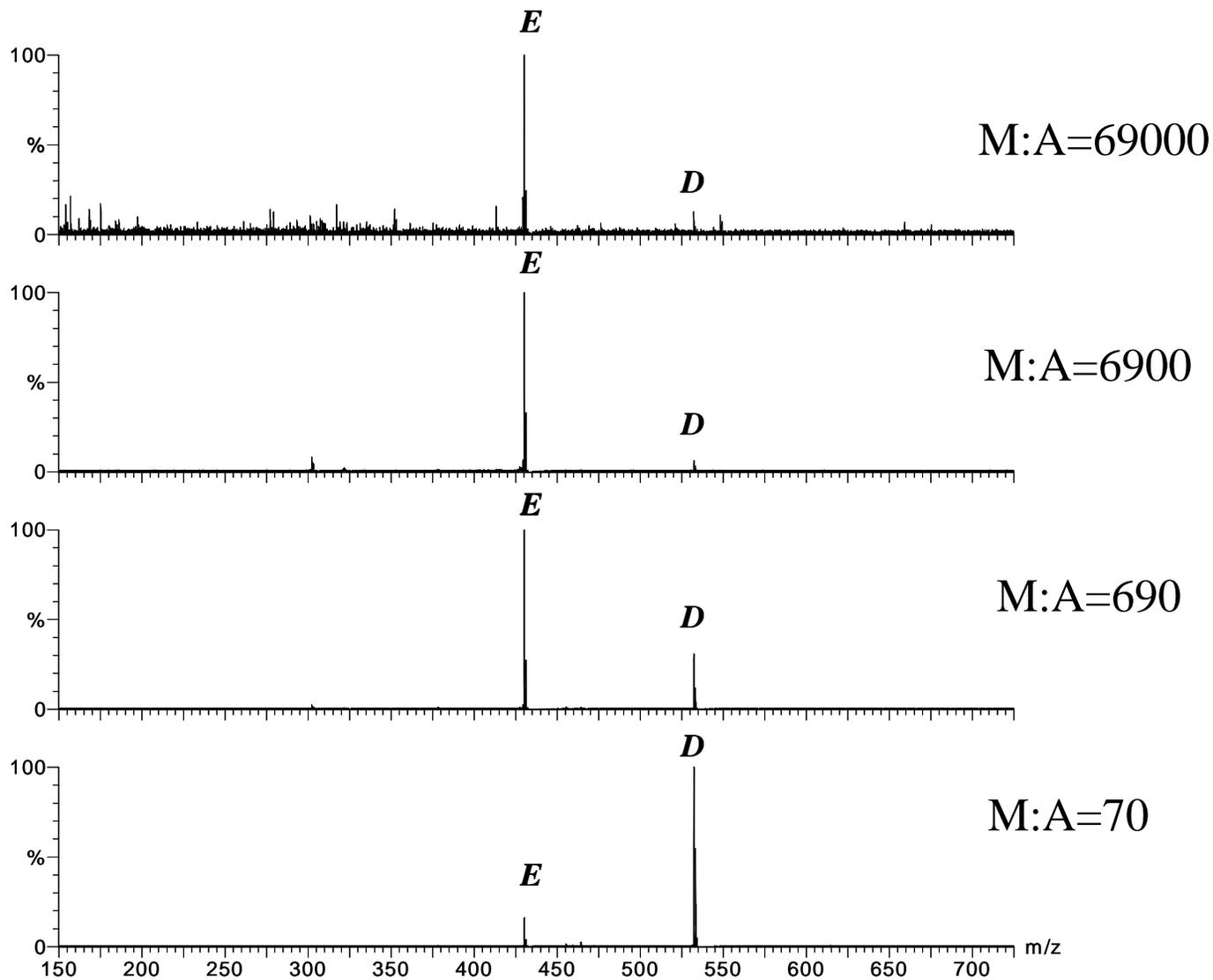


# Electron Transfer MALDI

	IP (eV)		E <sub>ox</sub> (V)
Analyte A	6.04		0.423
Analyte B	6.28		0.728
Analyte C	6.45		0.820
Analyte D	6.50		0.884
Analyte E	7.06		1.273
DCTB	8.22		ca. 2.1

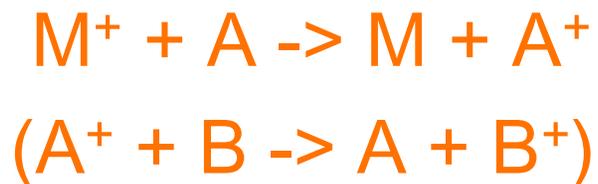


# Electron Transfer MALDI

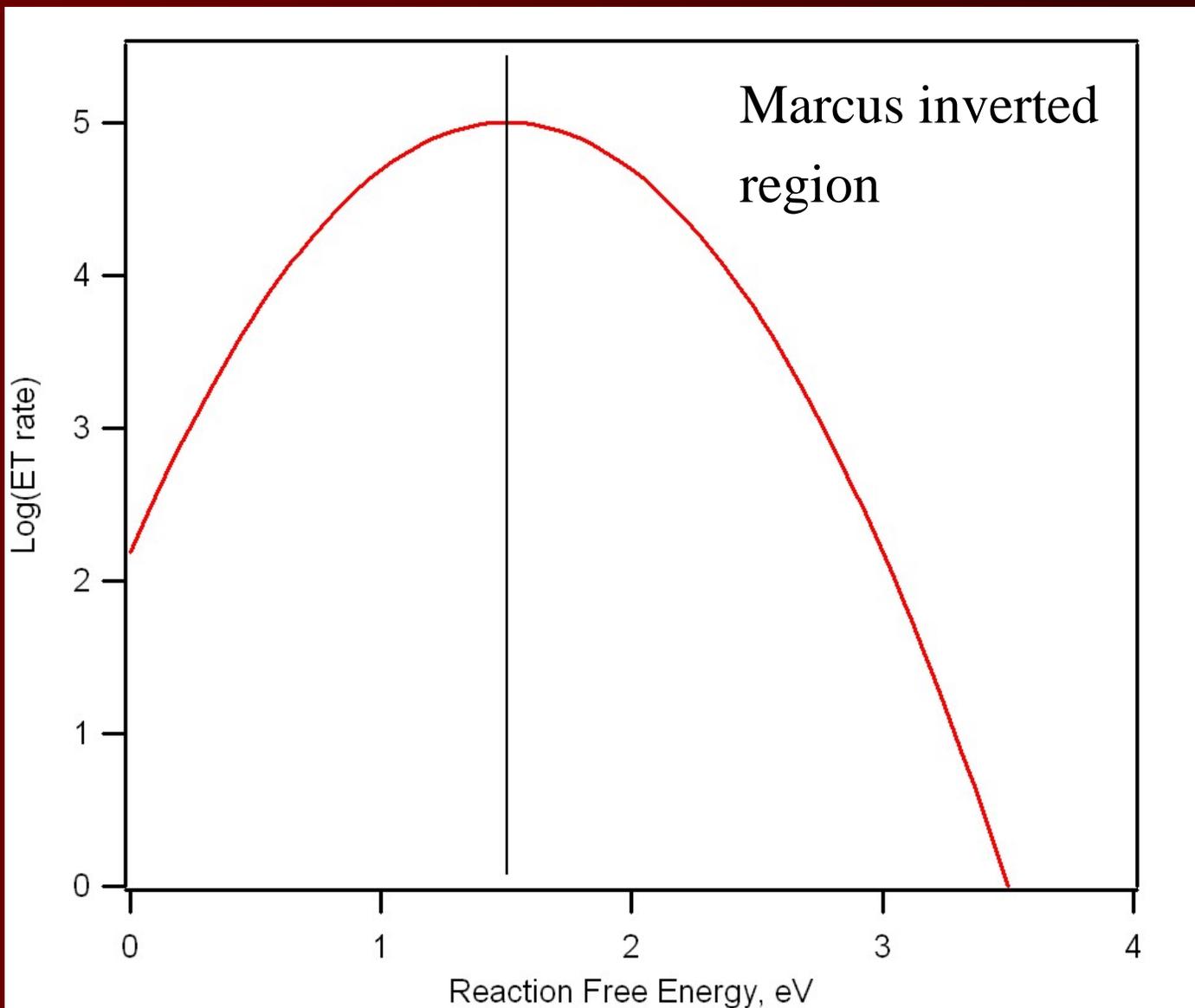


# Electron Transfer MALDI

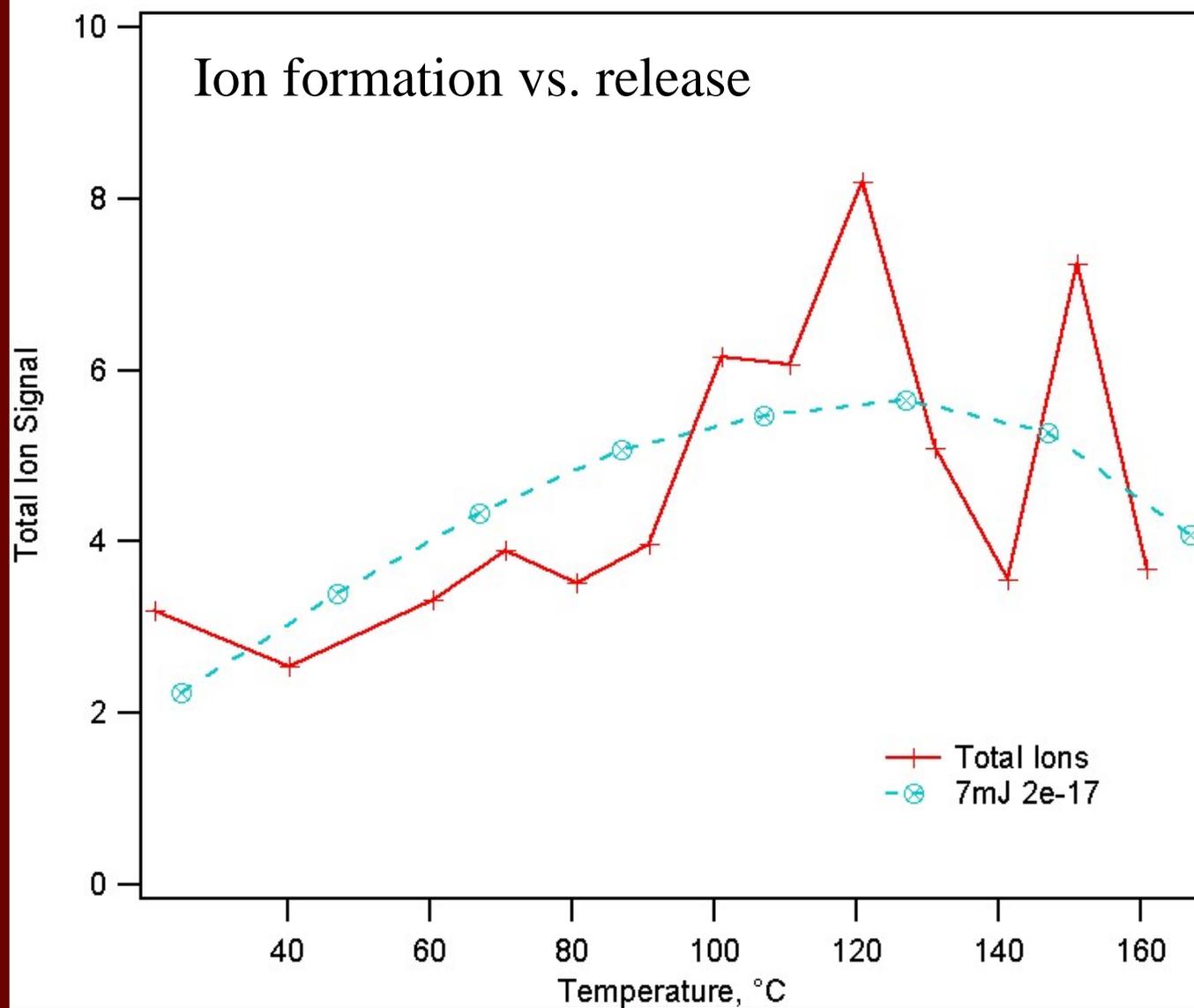
	IP (eV)	$\Delta$ IP (V)
Analyte D	6.50	3.13
Analyte E	7.06	2.57
nicotinic	9.63	



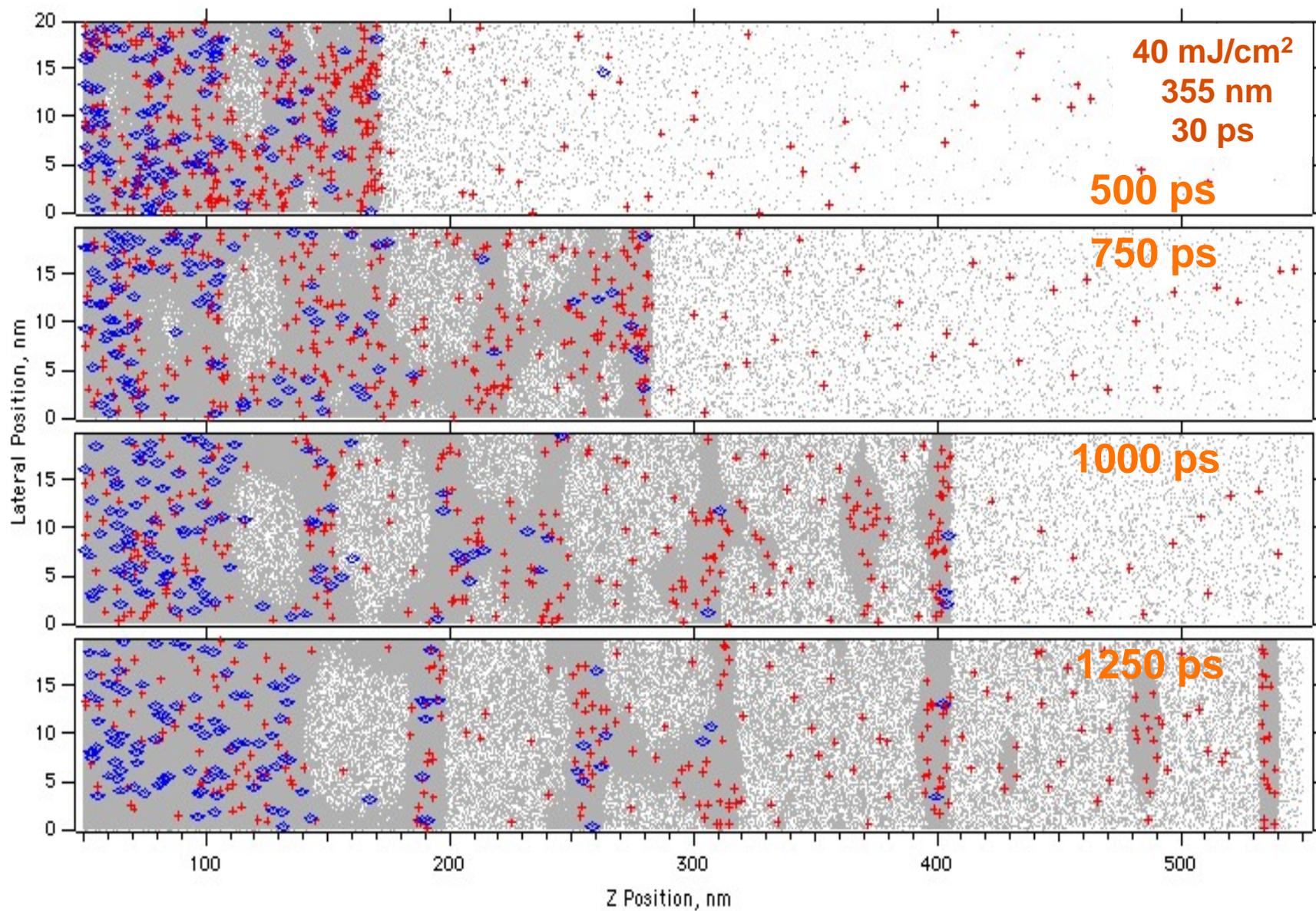
# Electron Transfer MALDI



# T Dependence of MALDI

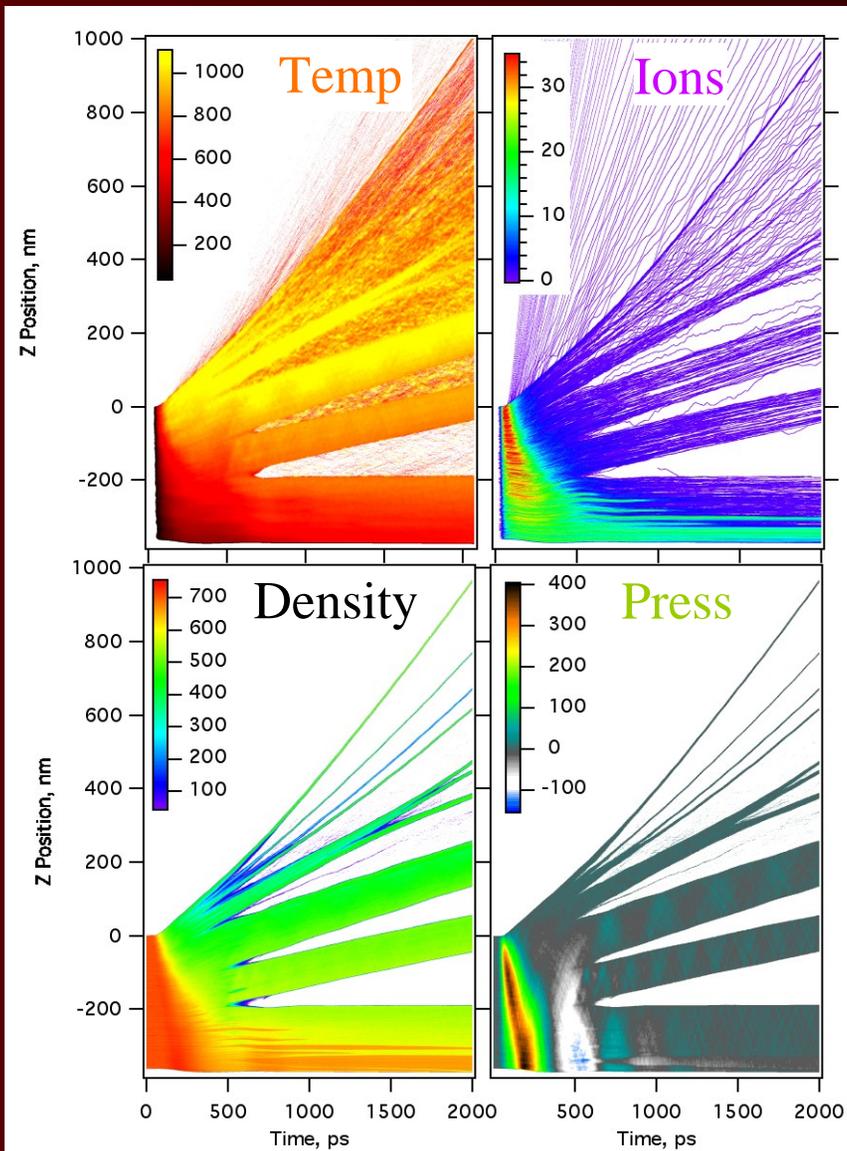
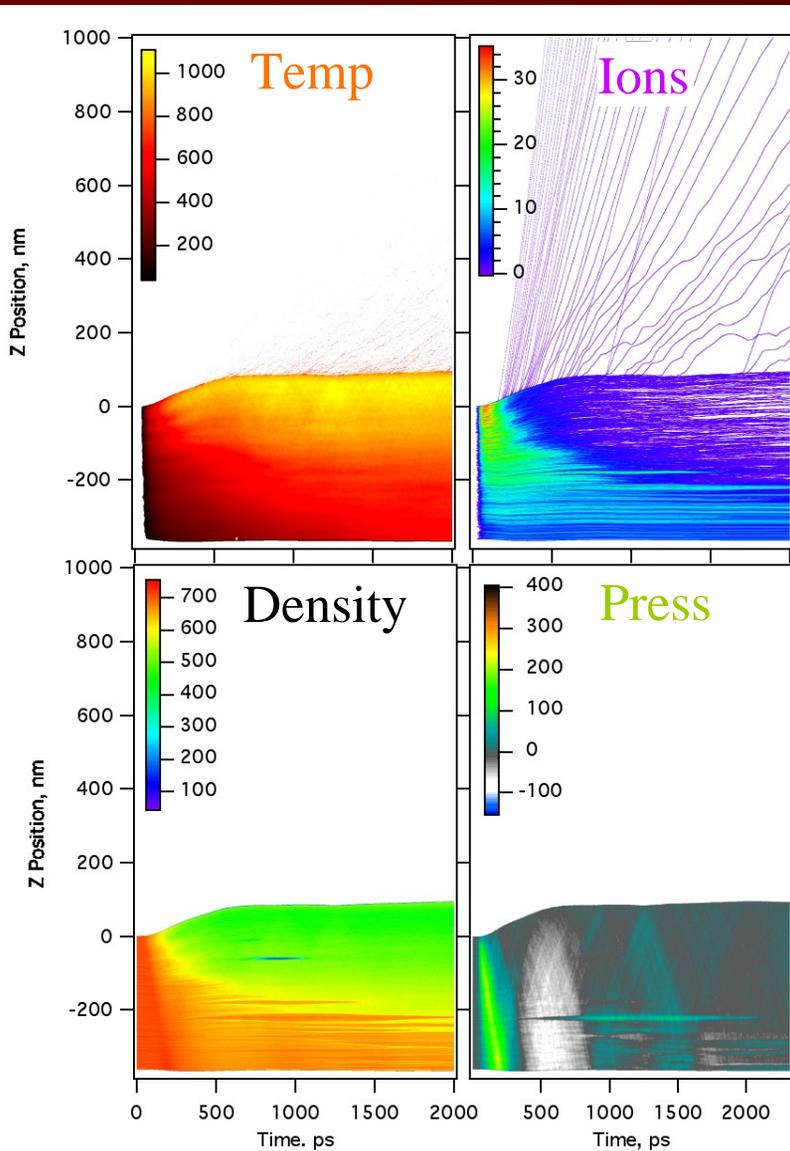


# MALDI Ionization with MD

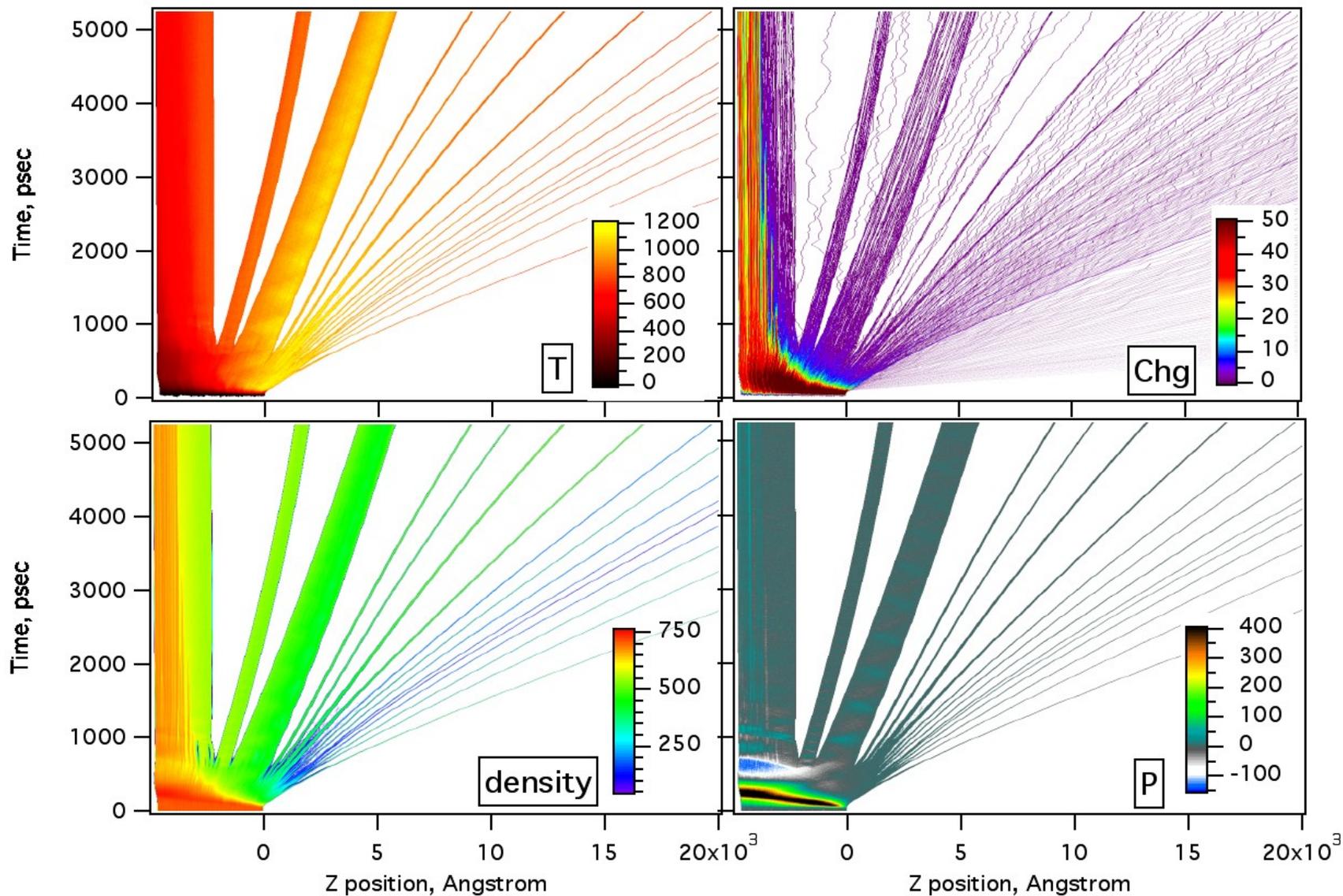


# MALDI Ionizationby MD

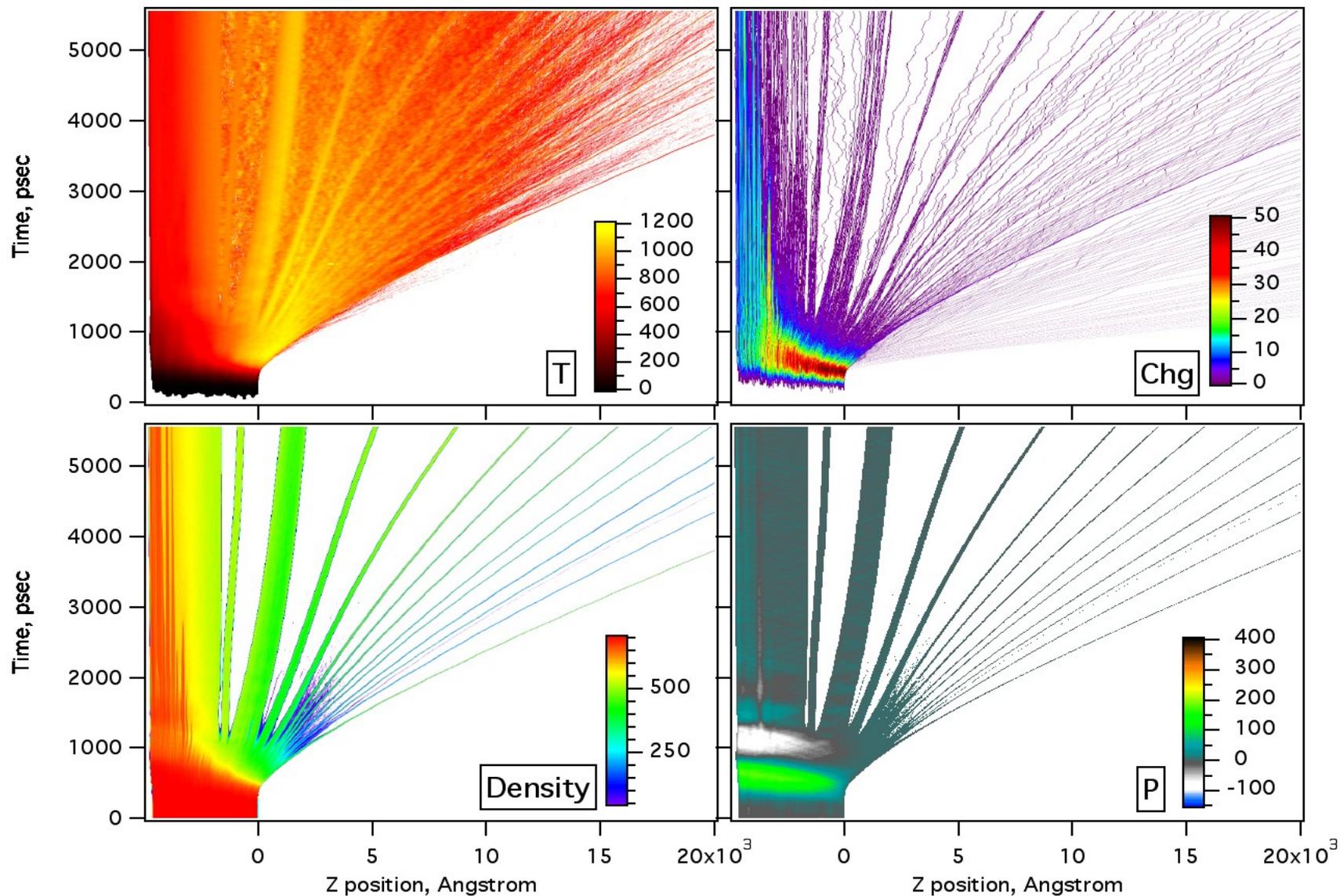
# MALDI Ionization with MD



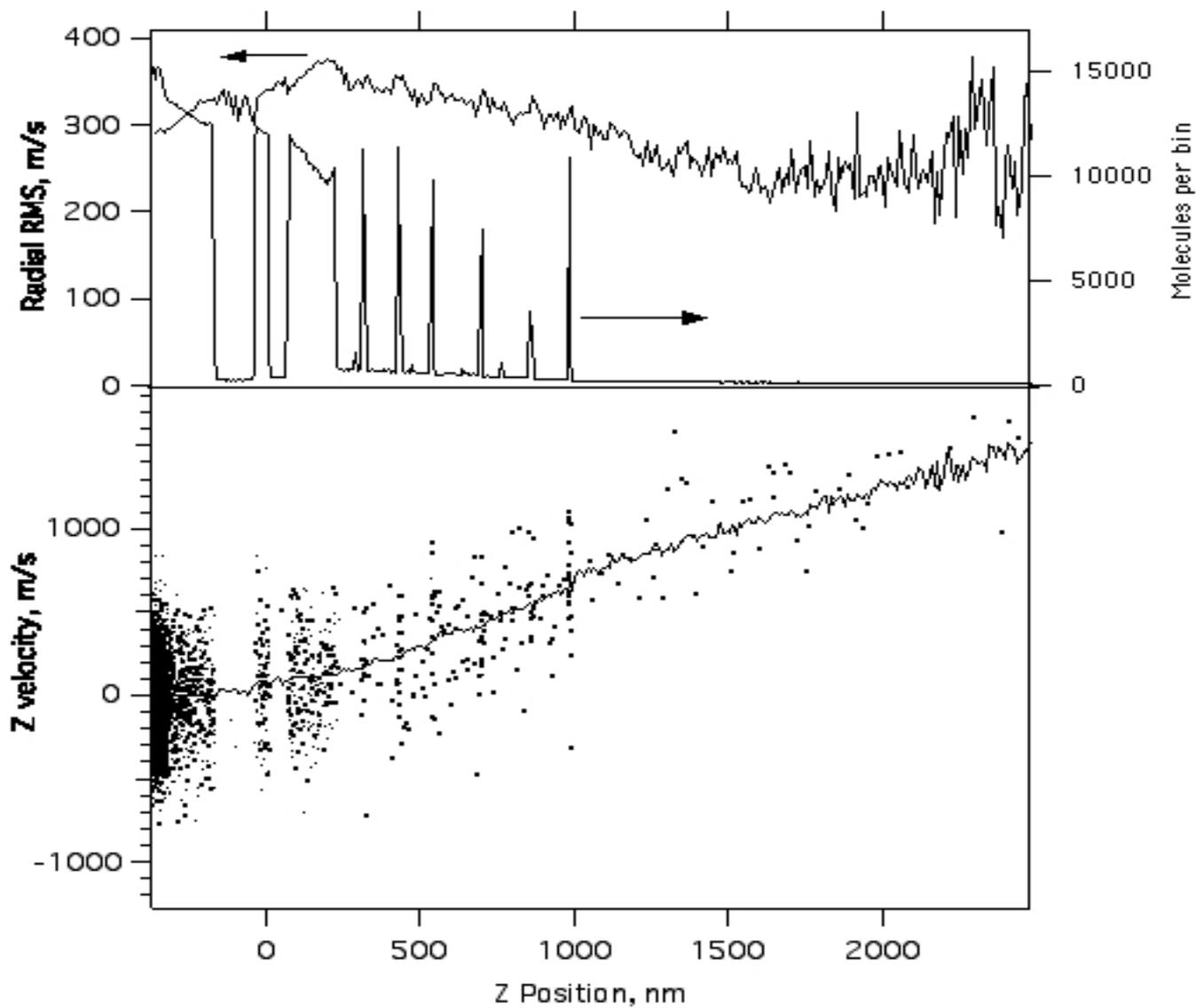
# MALDI Ionization by MD



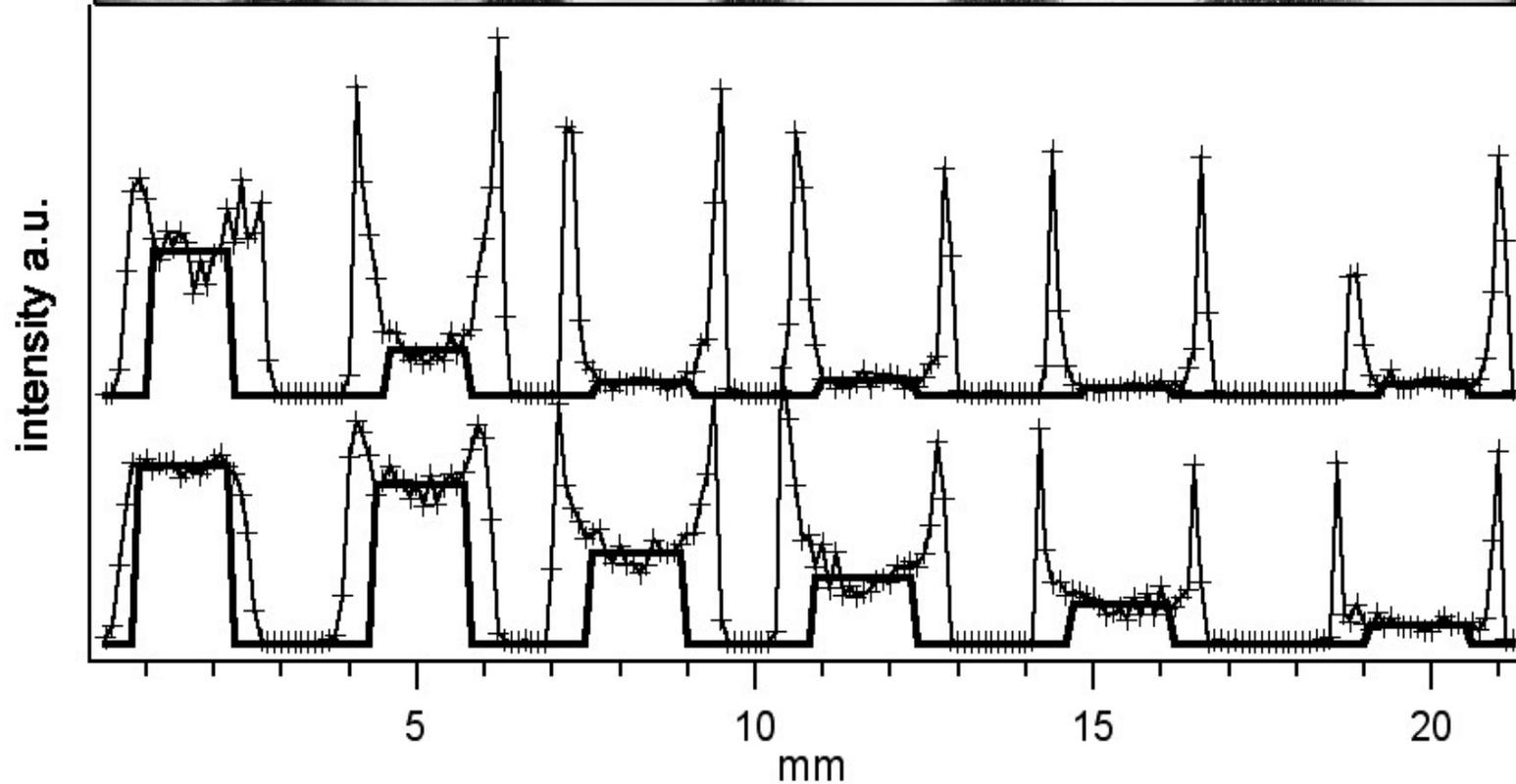
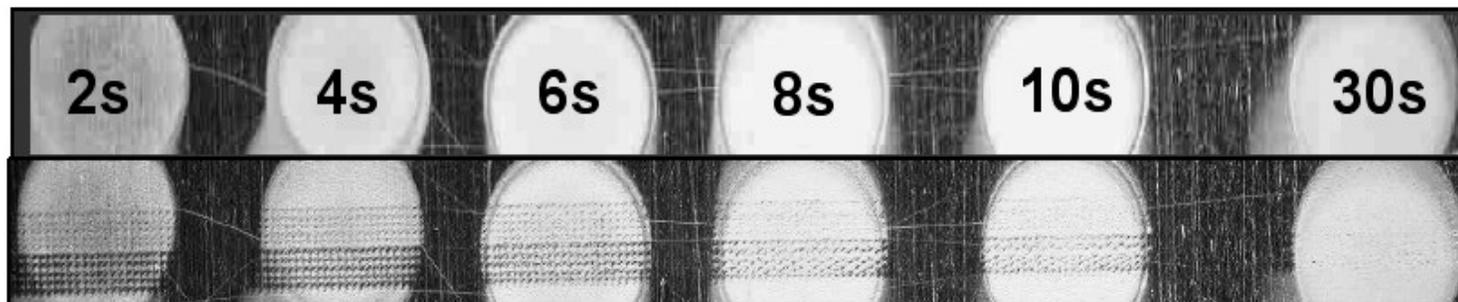
# MALDI Ionization by MD



# MALDI Ionization by MD

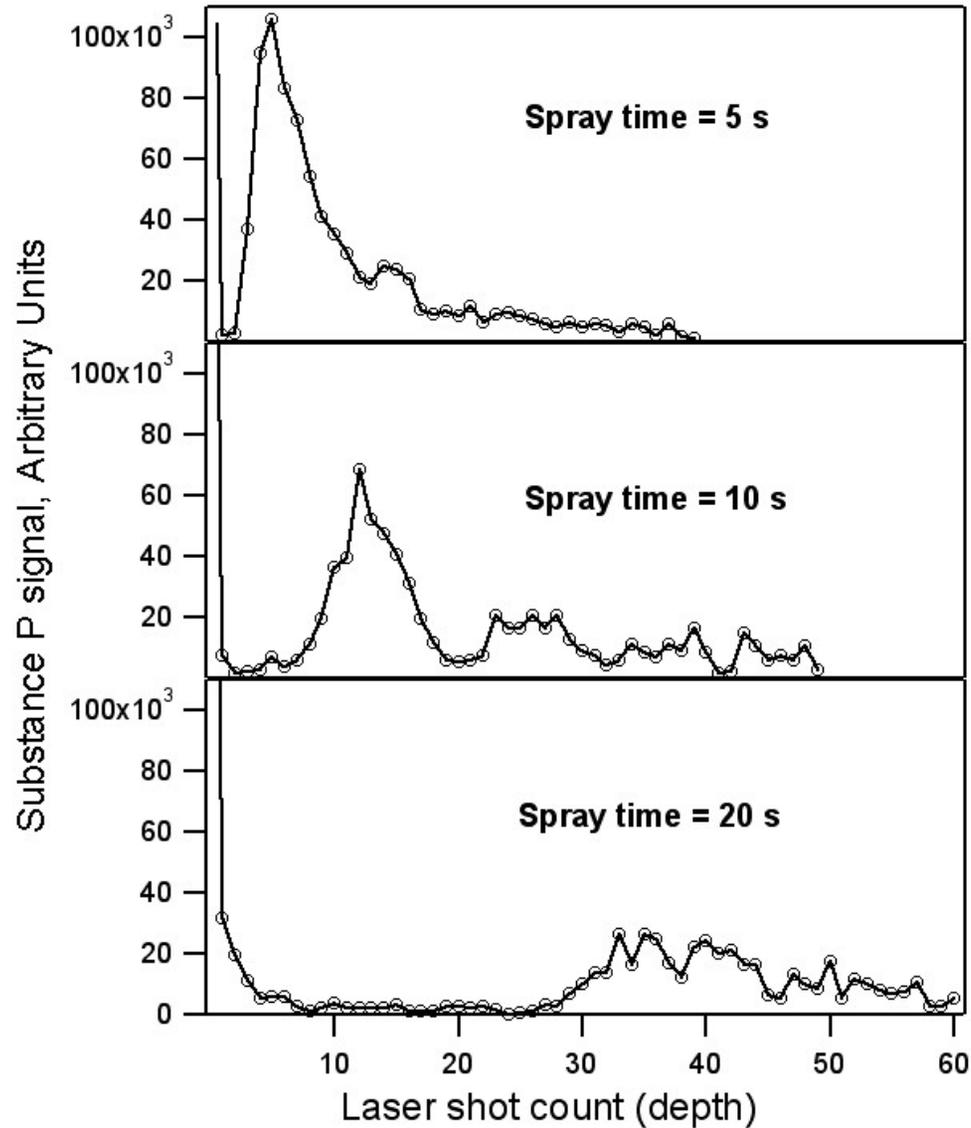


# MALDI Sample Thickness

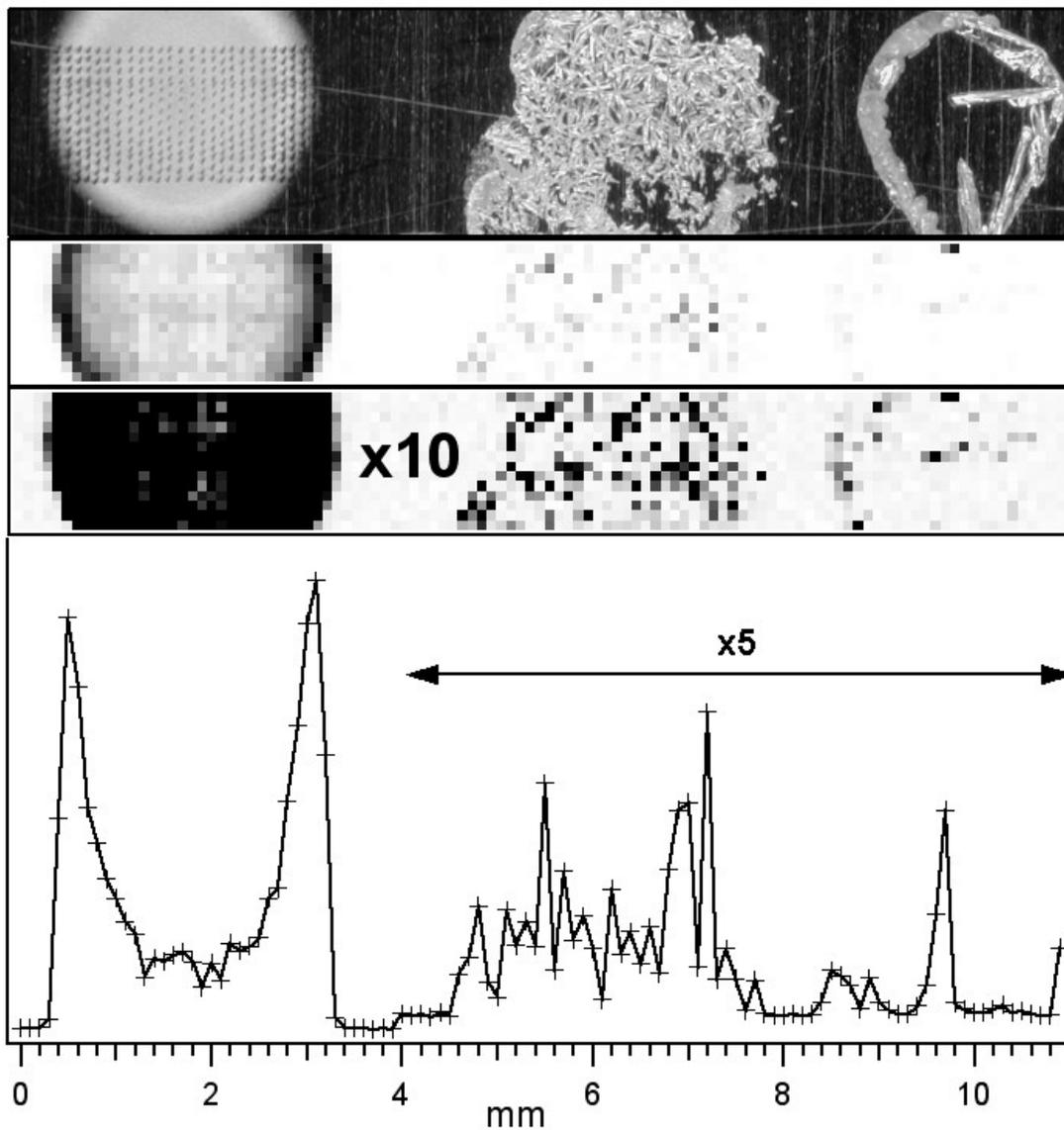


# MALDI "Drilling"

185  $\mu$ M substance P, 325 mM dhb, 50:47:3 meoh/chcl3/h2o

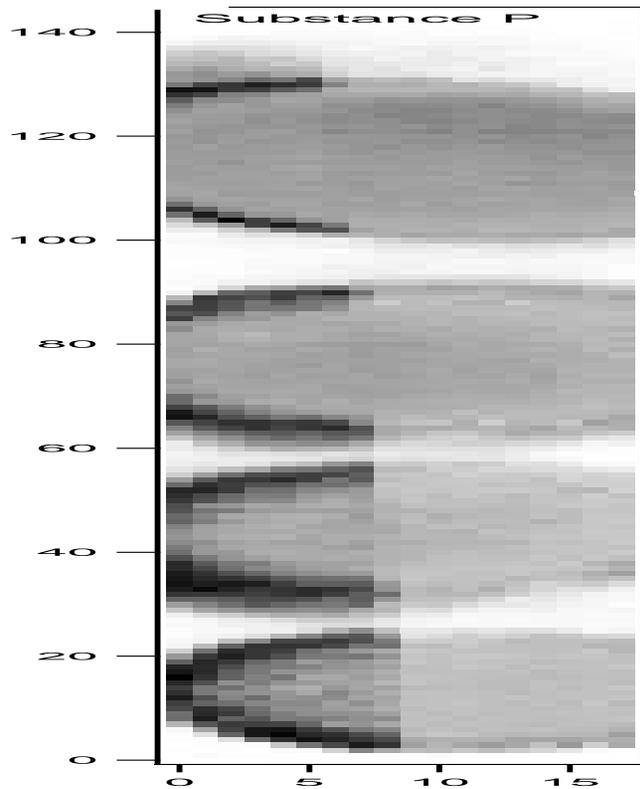


# MALDI Sample Thickness



# MALDI Substrate Type

Thin



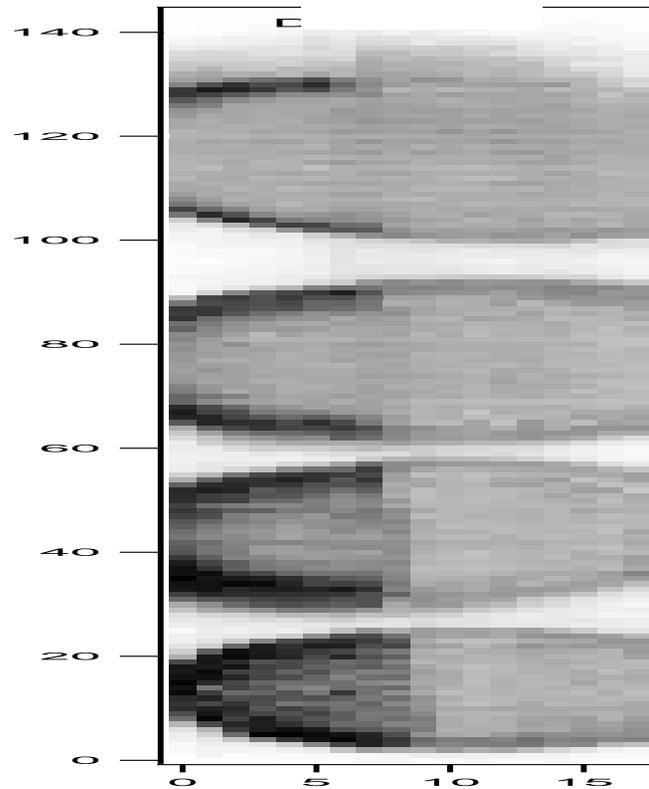
SS

Gold

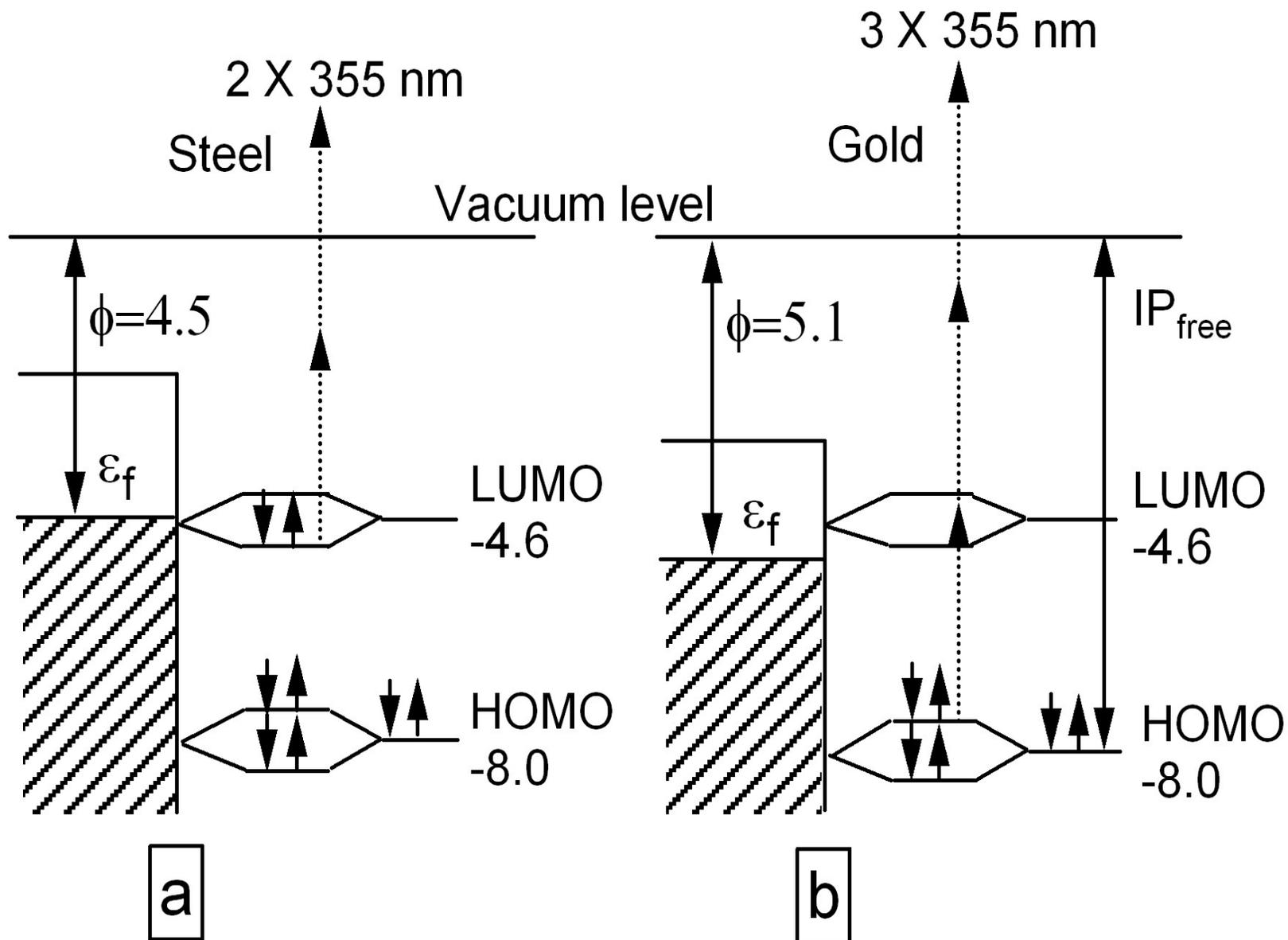
SS

Gold

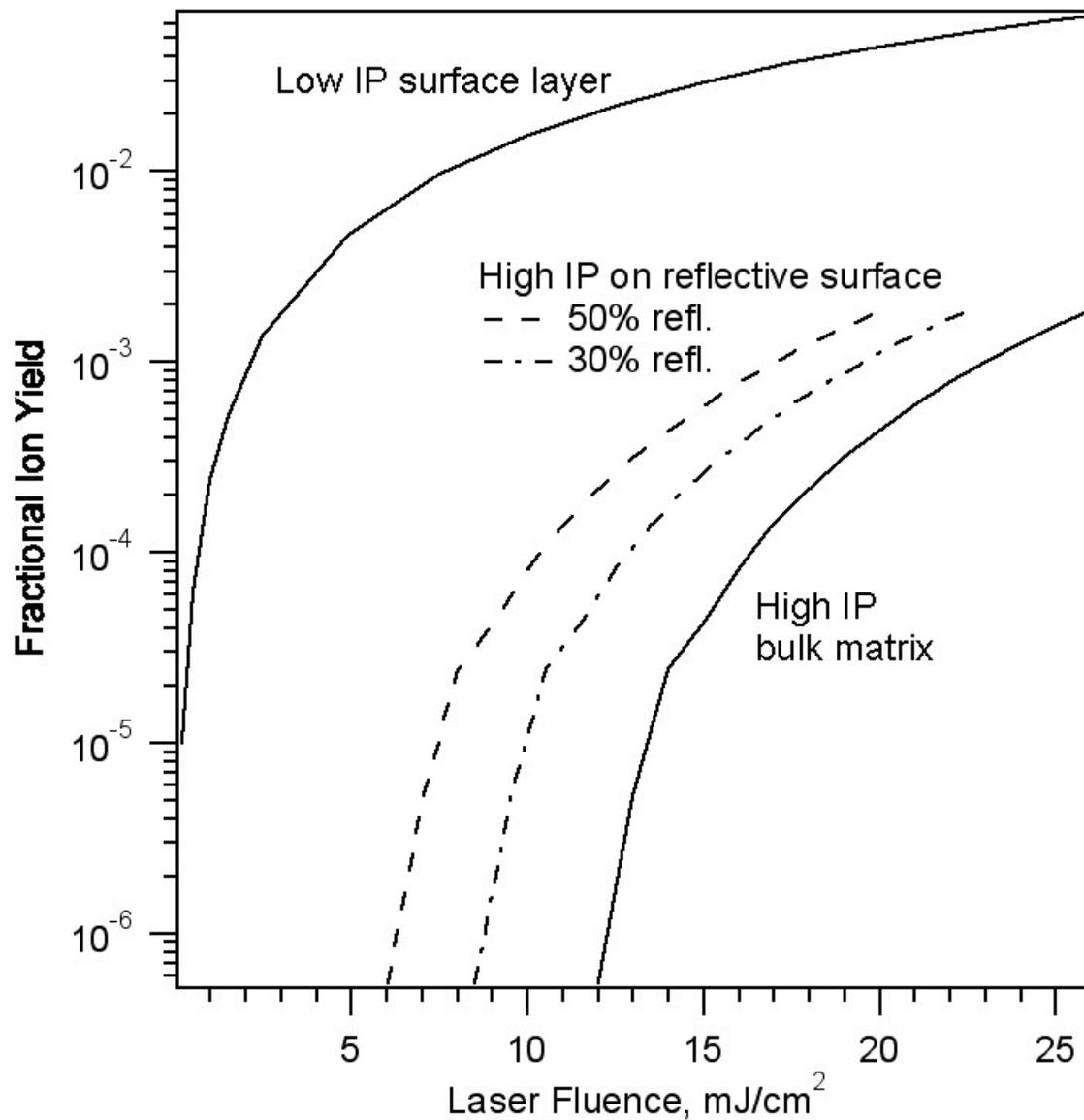
Thick



# MALDI Sample Thickness



# MALDI Sample Thickness



# Acknowledgments

## ET MALDI

A. Hoteling, W. Nichols, D. Giessen, J. Lenhard

## Temperature Dependence

W. Wallace, M. Arnould

## MD sims

L. Zhigilei

## Sample Thickness

G. McCombie, M. Stöckli

Continuum model : [msi.stoeckli.net/technology/maldimodel.htm](http://msi.stoeckli.net/technology/maldimodel.htm)

Questions: [rich@maldi.ms](mailto:rich@maldi.ms)

# MALDI model via web

[msi.stoeckli.net/technology/maldimodel.htm](http://msi.stoeckli.net/technology/maldimodel.htm)

MALDI > MSI > Interest > Group

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## Two-step MALDI Ionization Model

[Calculate matrix and 1 or 2 analyte ion yields using the model of:](#)

Journal of Mass Spectrometry v. 37, p. 867 (2002) and  
Analytical Chemistry v. 75, p. 2199 (2003)

[This model assumes:](#)

Matrix ions are created by excitation pooling reactions (step 1, primary ionization)  
Analyte ions are created by charge transfer reactions with matrix ions (step 2, secondary ionization)  
The ablation plume is dense, so classical thermodynamics and kinetics describe the system

The default matrix parameters are for 2,5 dihydroxybenzoic acid, the best studied matrix.

If you do not enter a value in a field, the <default> will be used.

Your results will be emailed to the following address (typically requires 1 day):

Email for results:

Click this button to submit the parameters:

## Basic Parameters

### Laser:

Wavelength (nm) <355>:   
(consider also the  $S_0-S_1$  cross section below, if you change this)

Pulse width (sec) <5e-9>:

Fluence (mJ/cm<sup>2</sup>) <15>:

Spot size (mm, typ. 0.1-0.5) <0.1>:

Two pulses?  Off  On

Pulse delay (sec) <1e-9>:

Scale factor for second pulse <1>:

### [Analyte Ion Formation\\*:](#)

#### Analyte 1:

Relative concentration (0-1) <0>:

Molecular weight (Da) <1000>:

# Theory: the next step

## Strengths of current model:

- Based on established physics + chemistry
- Parameters (increasingly) known
- Encompasses whole MALDI process
- Computationally tractable
- Gives excellent correlation with experiment

## Weaknesses of current model:

Plume description still approximate:

$T_{\text{sublimation}}$  a poor description of evaporation

Very early expansion probably not jet-like

Limited ability to treat ablative ejection

## Solution:

Use molecular dynamics to model early times.

# Major Remaining Limitations

## Step function vaporization:

Even with the shortest laser pulses, the sample heats on a ns time scale => desorption/ablation is not a sudden event.

During heating, there may be a transition from evaporative desorption to spallation-like ablation.

## Adiabatic Expansion:

Requires local equilibrium, which may not hold at short times for short laser pulses.  
(already a correction in model)

## MALDI Ionization by MD

**"Breathing Sphere" model of Zhigilei and Garrison:**

- **Molecules = spheres with one internal motion**
- **Allows interconversion of internal + kinetic energy**
- **Relatively fast for MD**

## MD vs. Continuum Model

- **Rate equations -> probabilities.**
- **Requires mechanistic interpretation!**  
**e.g. pooling probability vs. distance.**
- **Limited temporal and spatial extent: e.g.**  
**10 X 10 X 36 nm, few ns.**

# Intermolecular Processes: Neutral Molecules

**Ground electronic state ( $S_0$ ):**

**Morse potential...**

**Excited electronic states ( $S_1, S_n$ ):**

**dipole-dipole  $\Rightarrow 1/R^6$**

**collisional decay**

**pooling**

**exciton hopping**

**Short range (neighbors), with periodic  
boundary conditions**

# Intermolecular processes: Ions

**Primary ion generation mechanism:**

**$S_1 + S_n$  pooling**

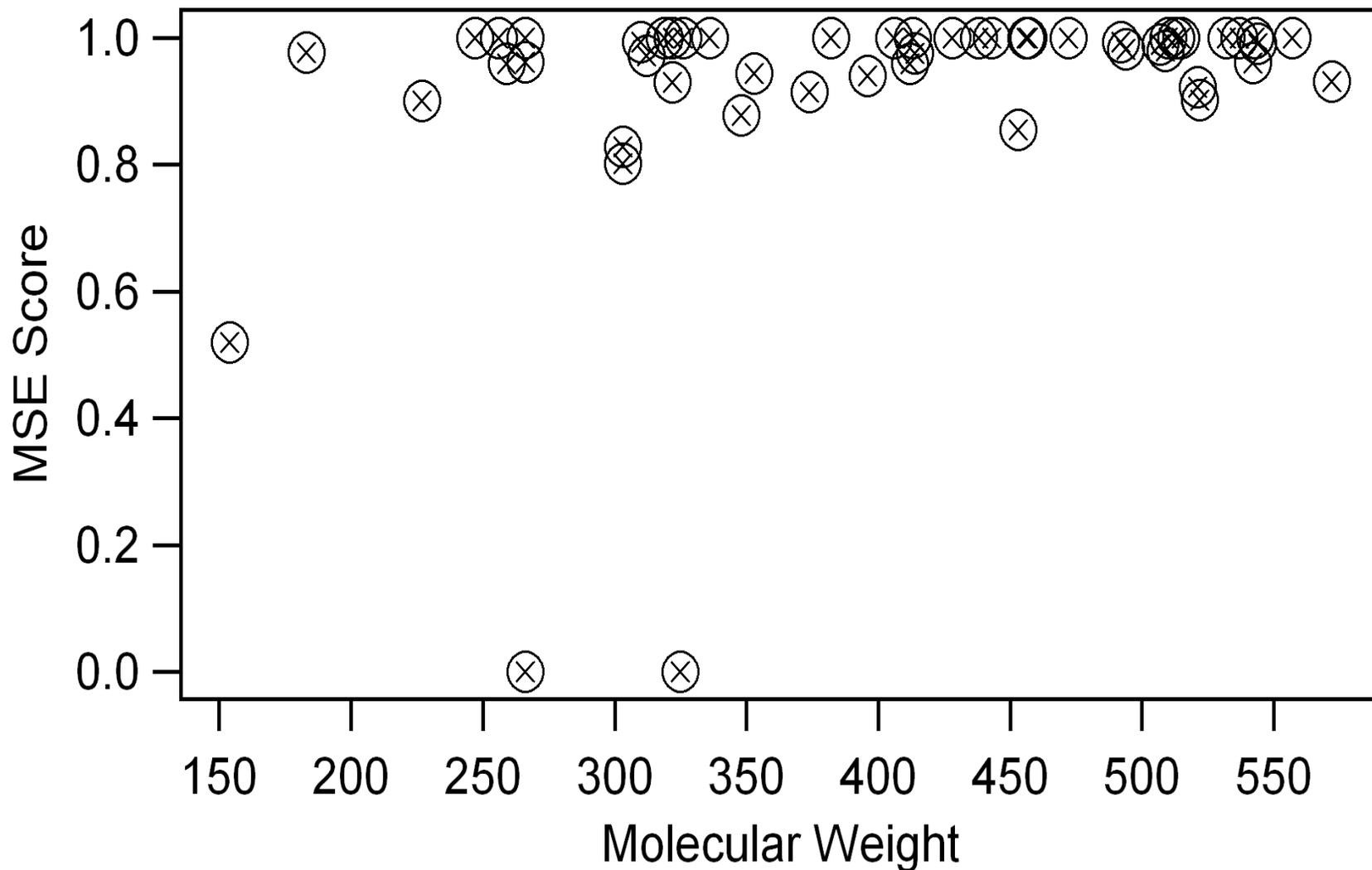
**Ionization => low energy electrons**

- random direction
- propagate until absorbed or escapes vertically
- cross section from Asfandiarov, et al.

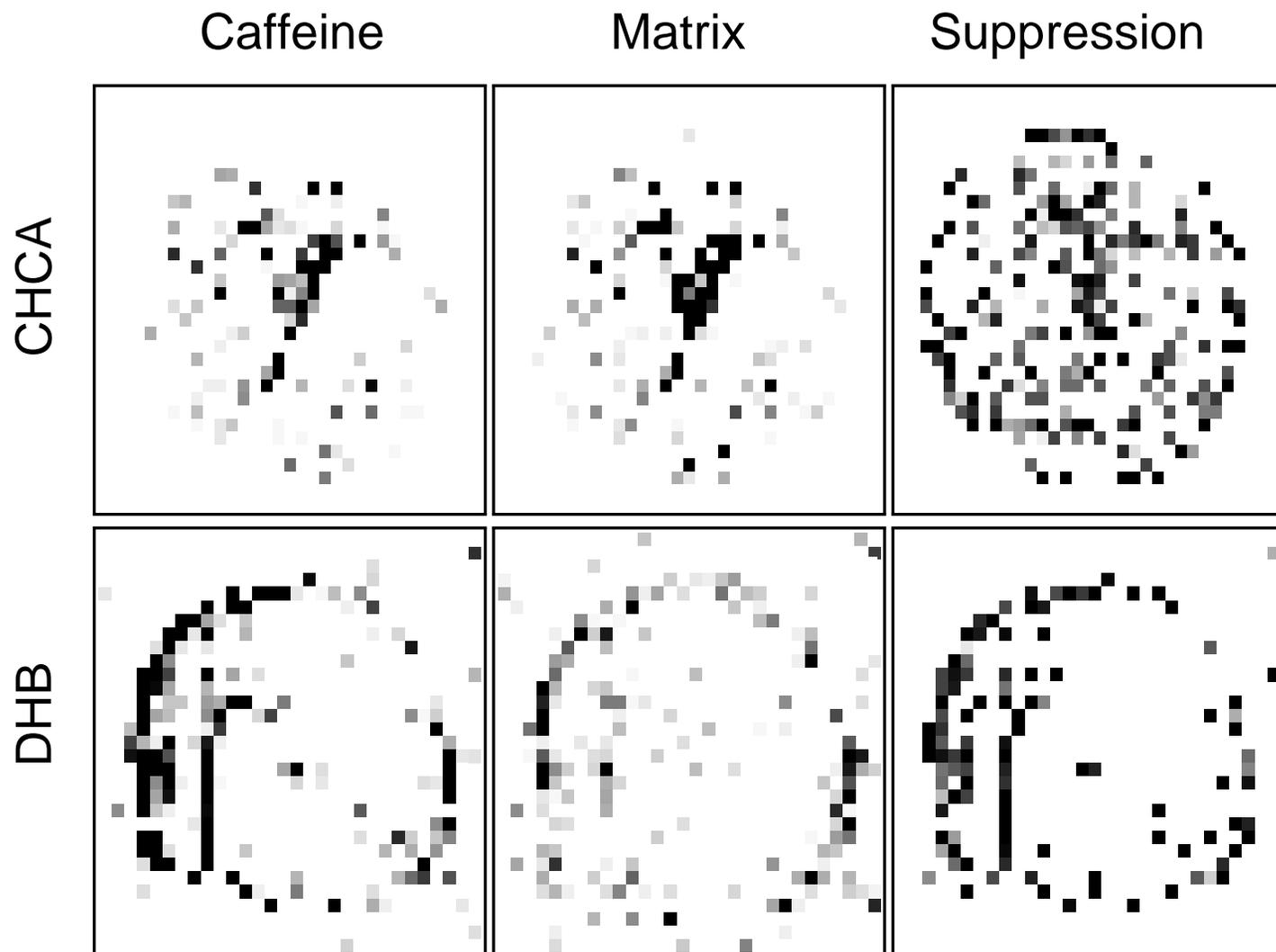
**Coulomb forces are long range:**

**Summed over all ions, with replicated cell on all sides.**

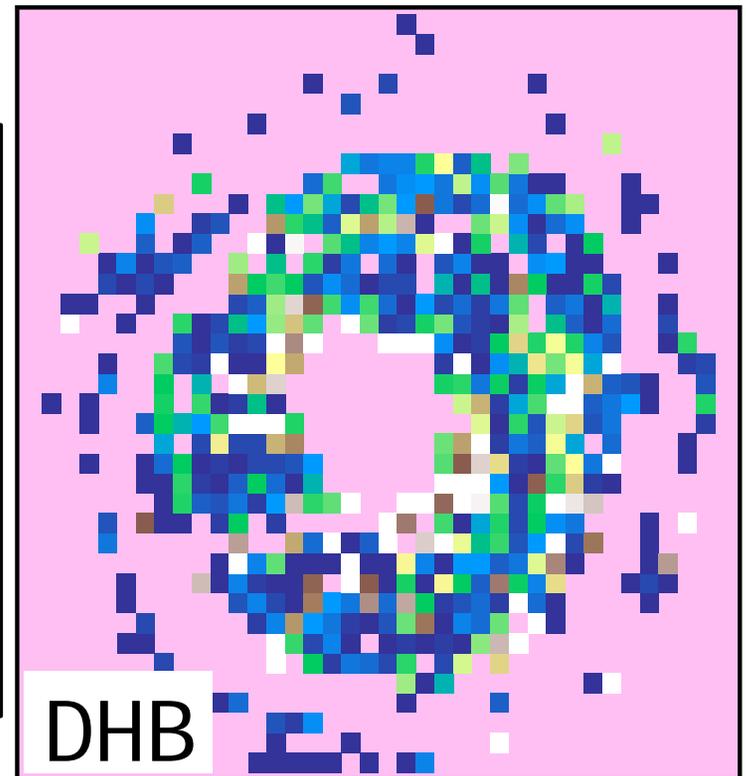
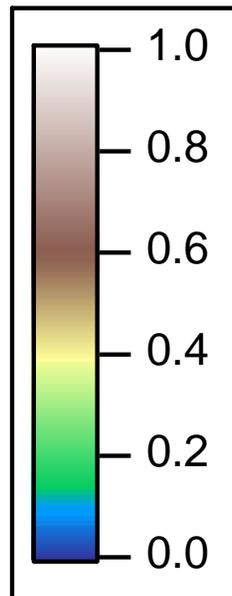
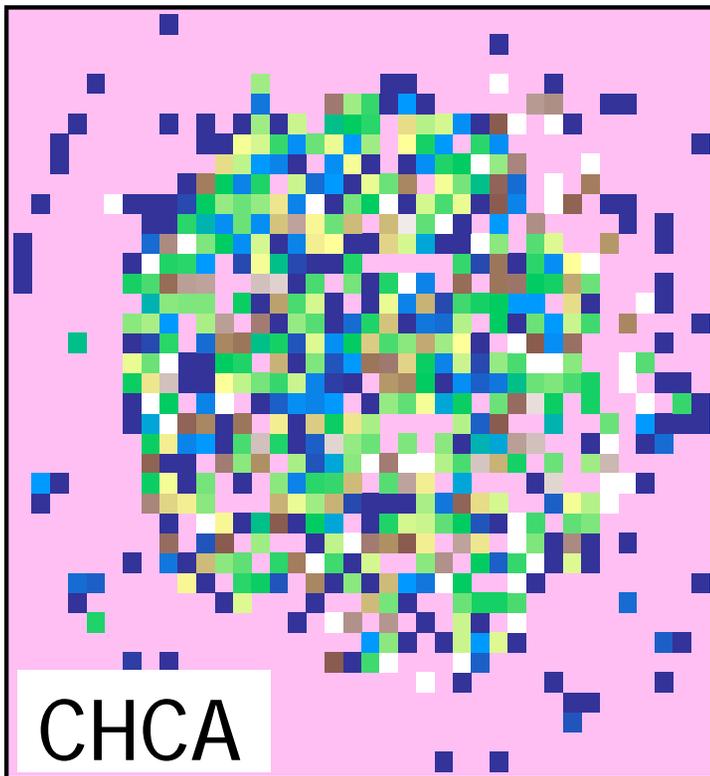
# MSE Generality



# MSE Characteristics

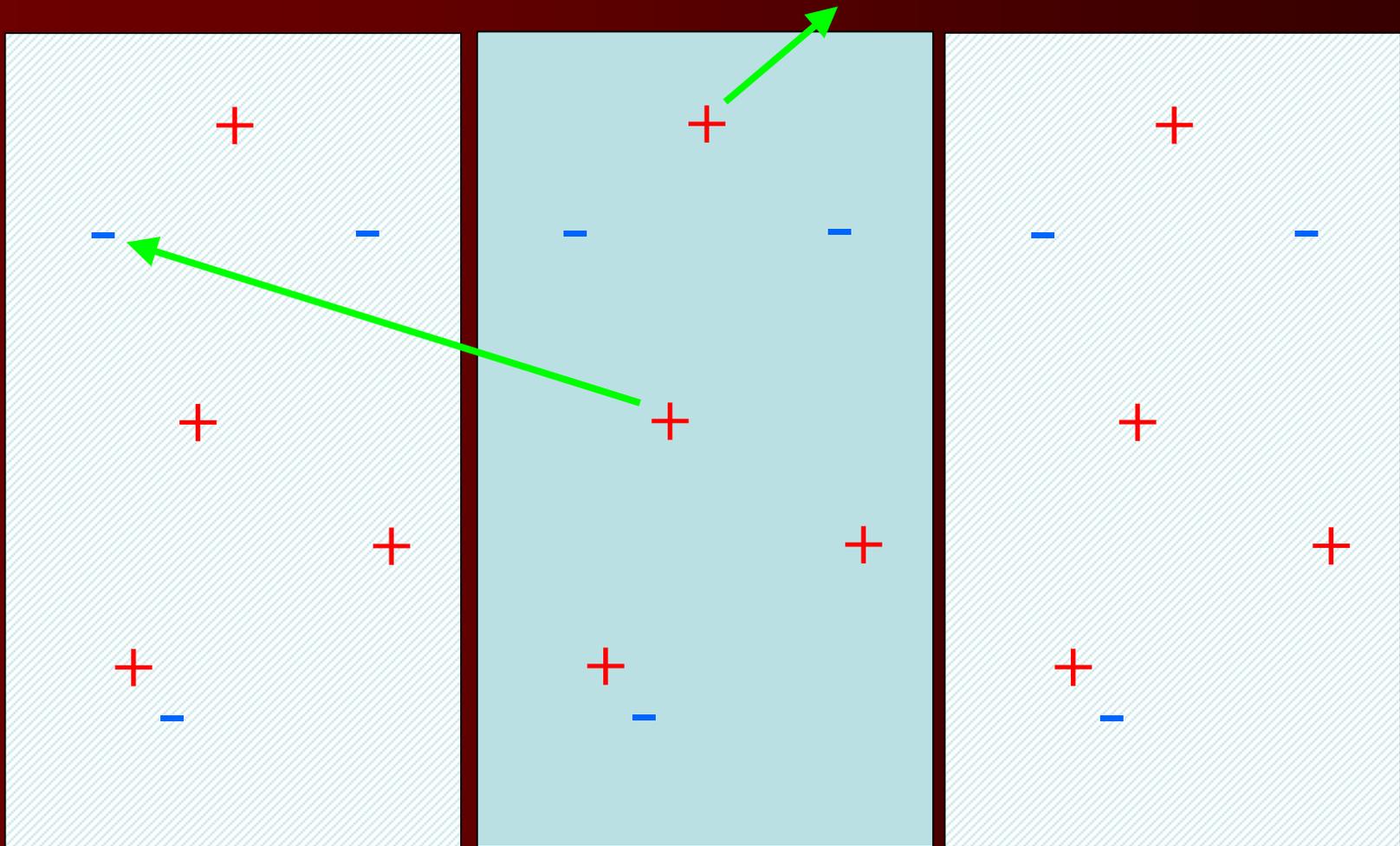


# MSE with 2 analytes

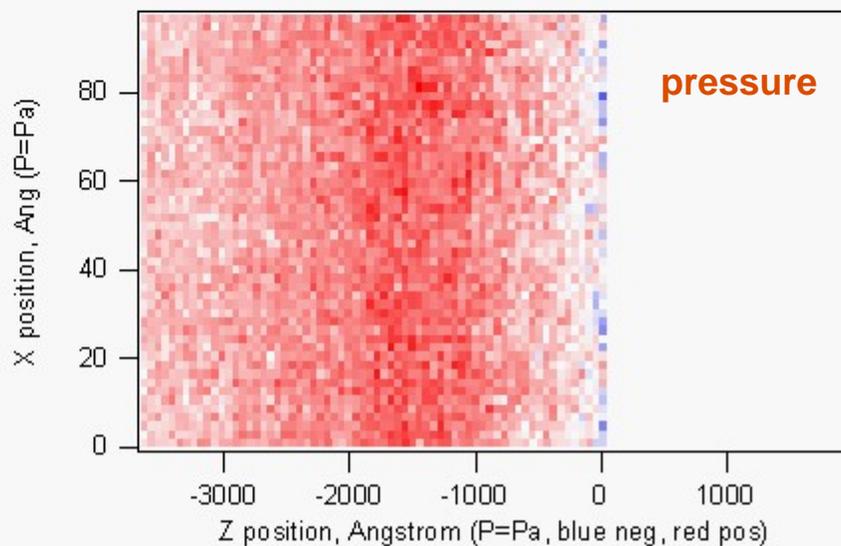
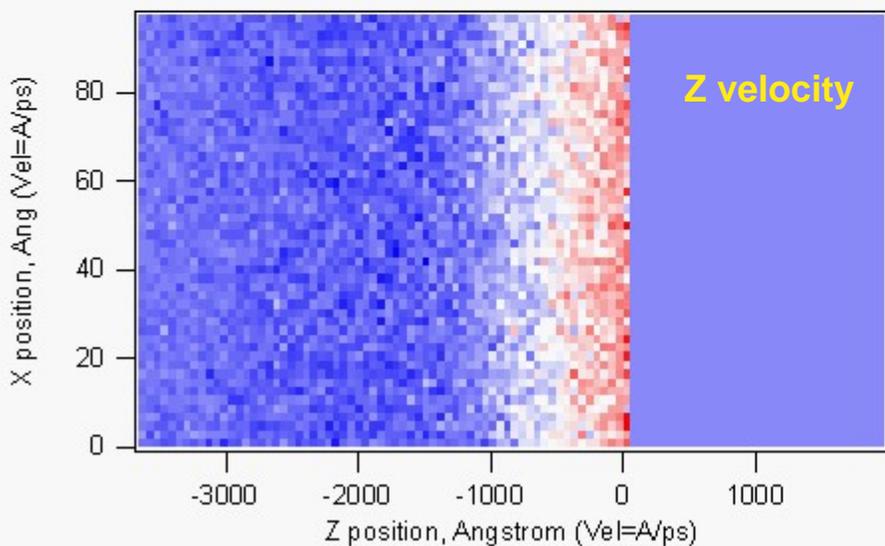
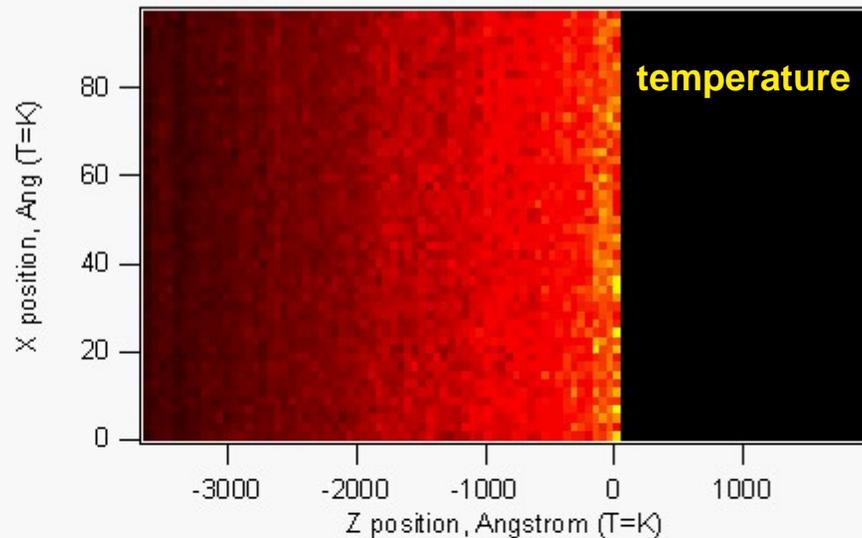
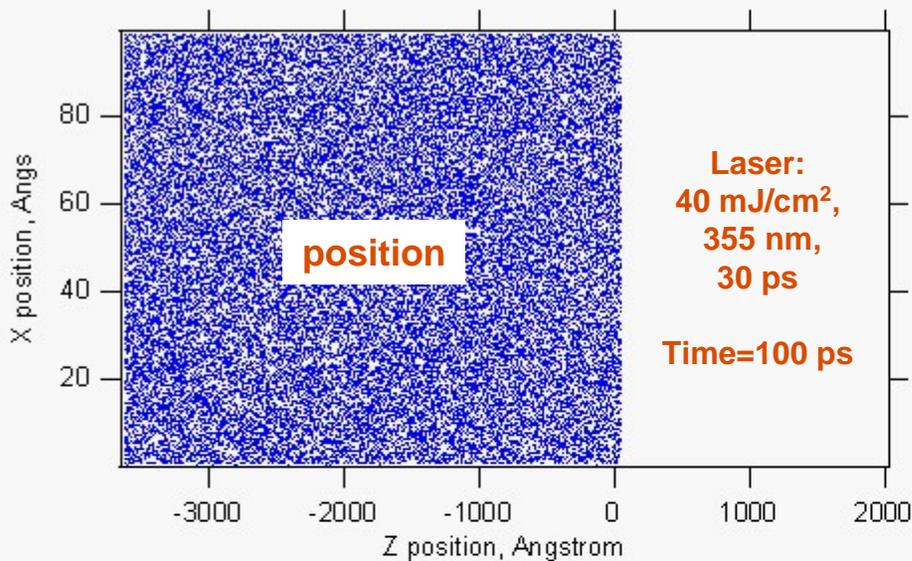


**Expected A:B ratio (0.25)=Green**

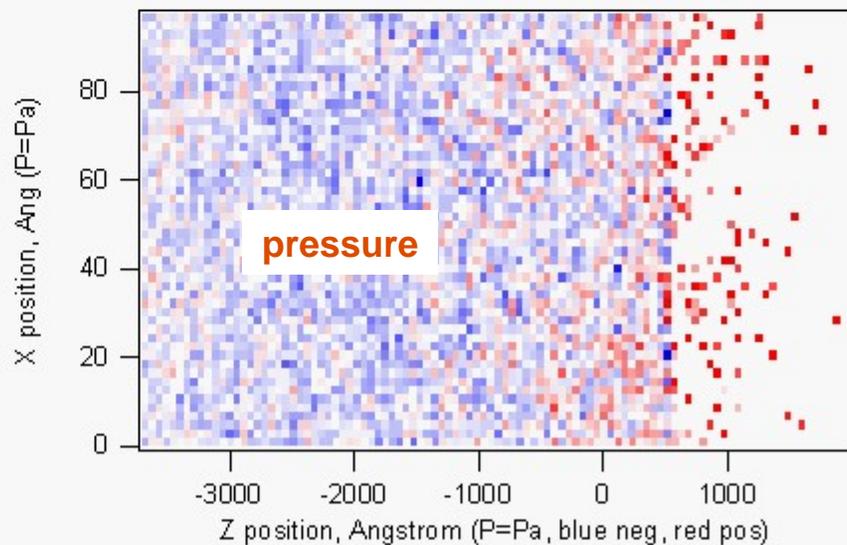
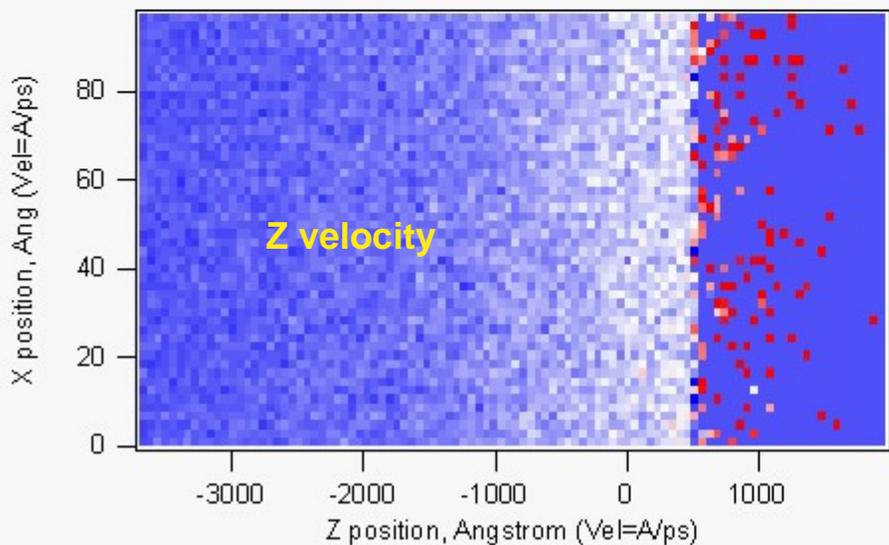
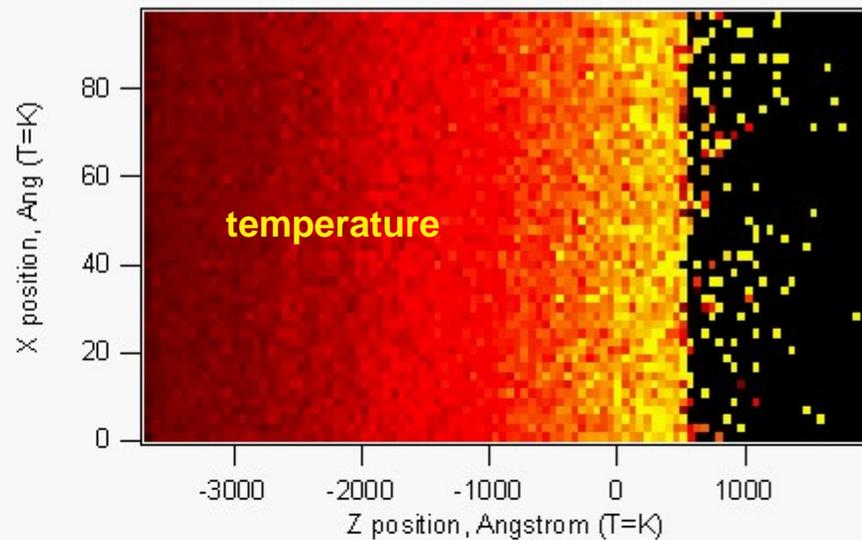
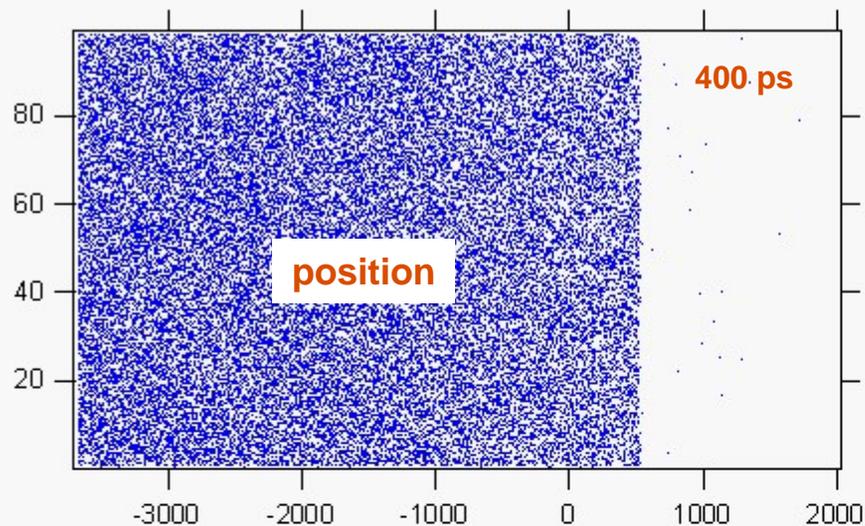
# Intermolecular processes: Electrons and Ions



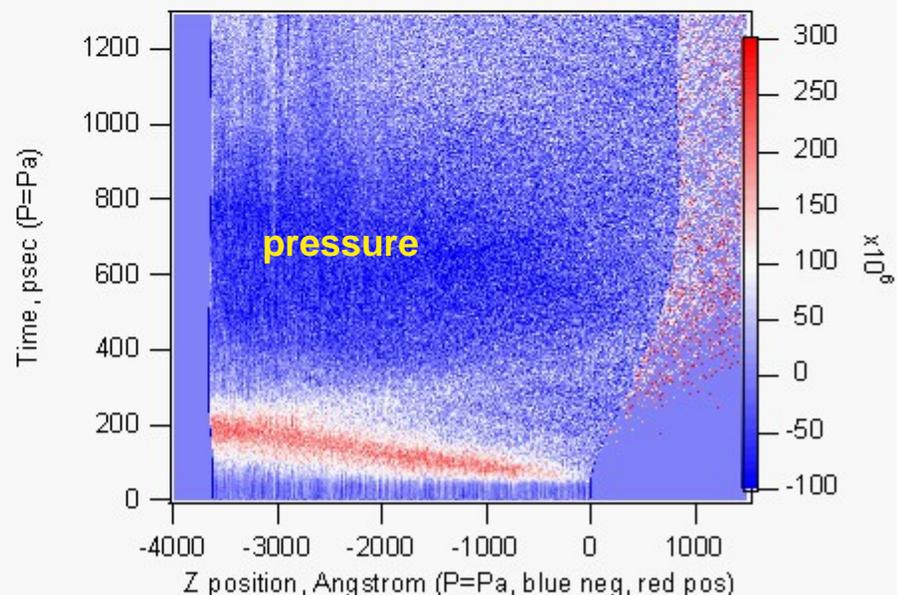
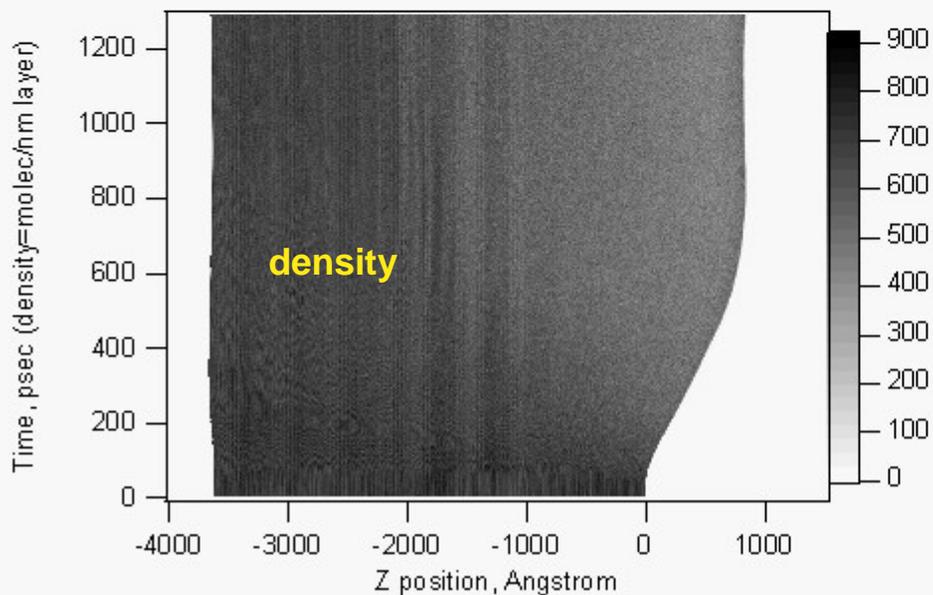
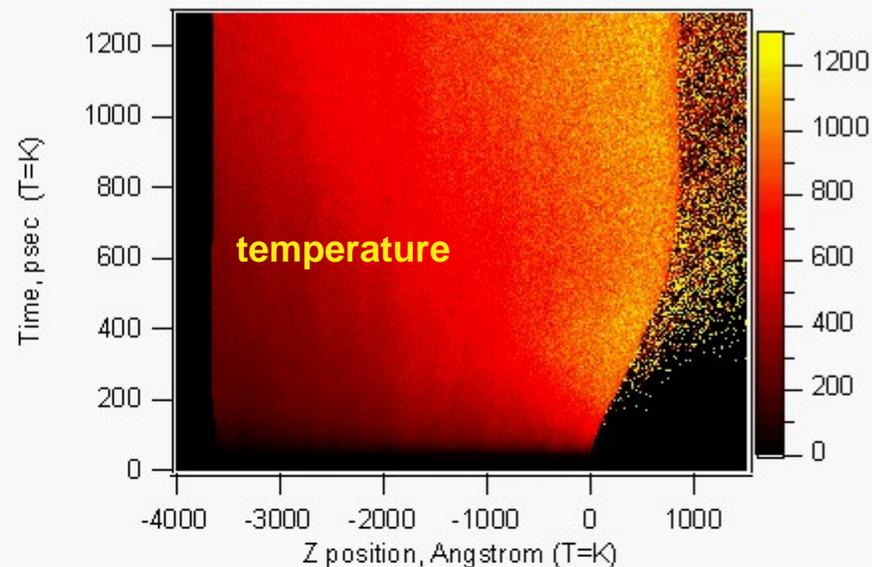
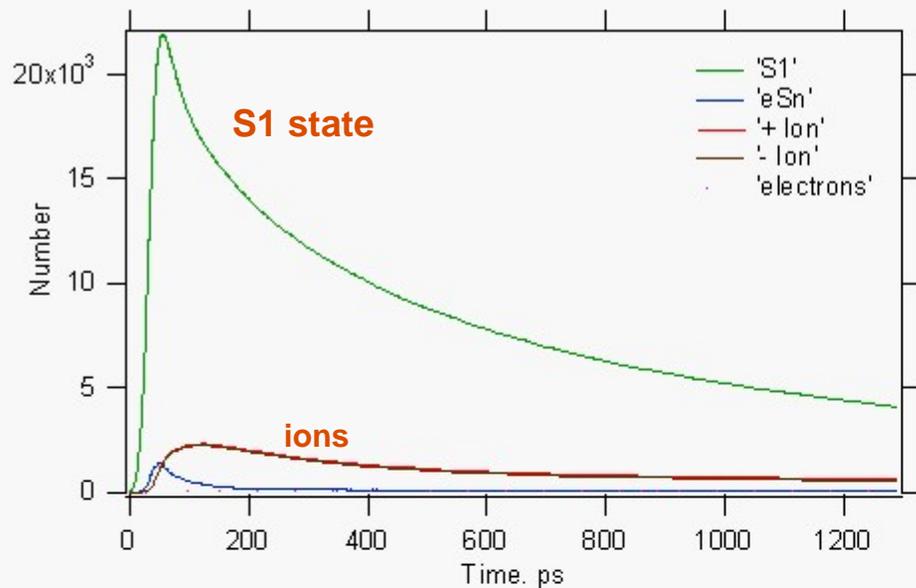
# MALDI Ionization with MD



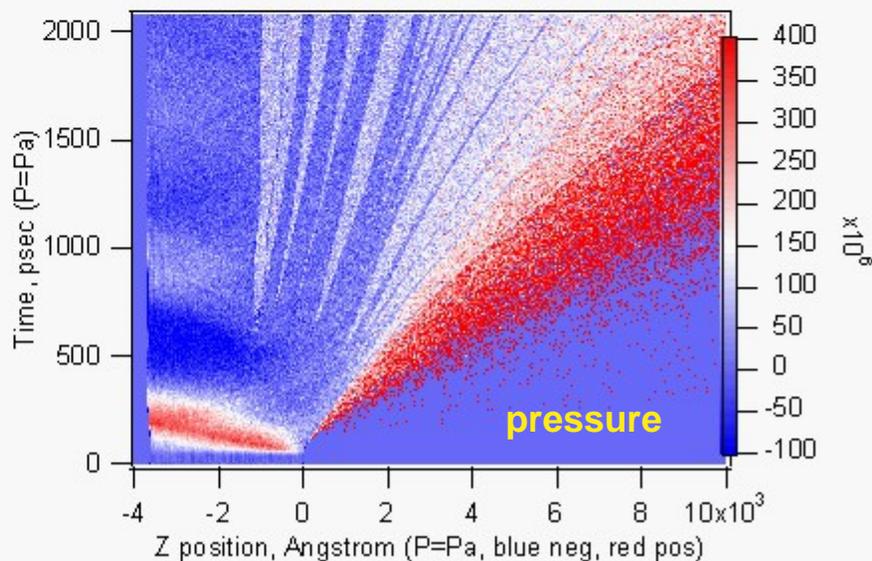
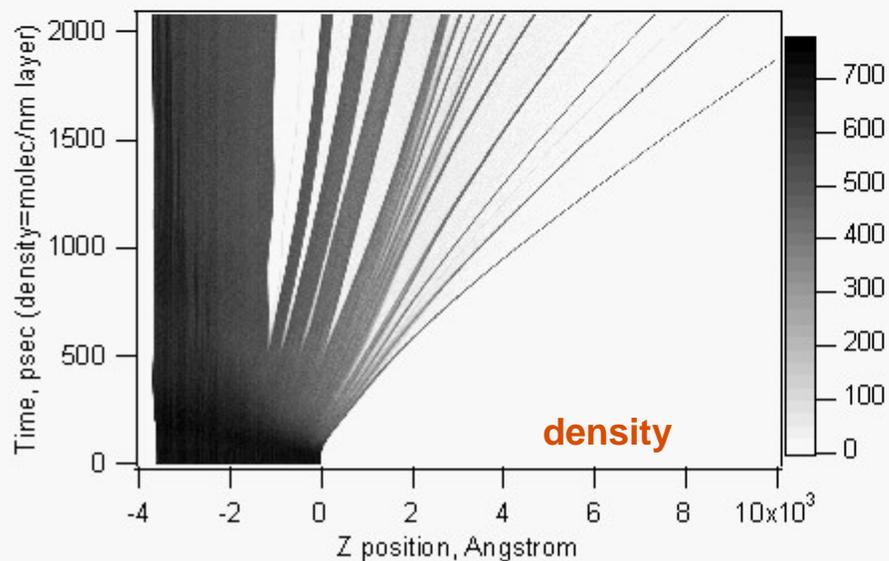
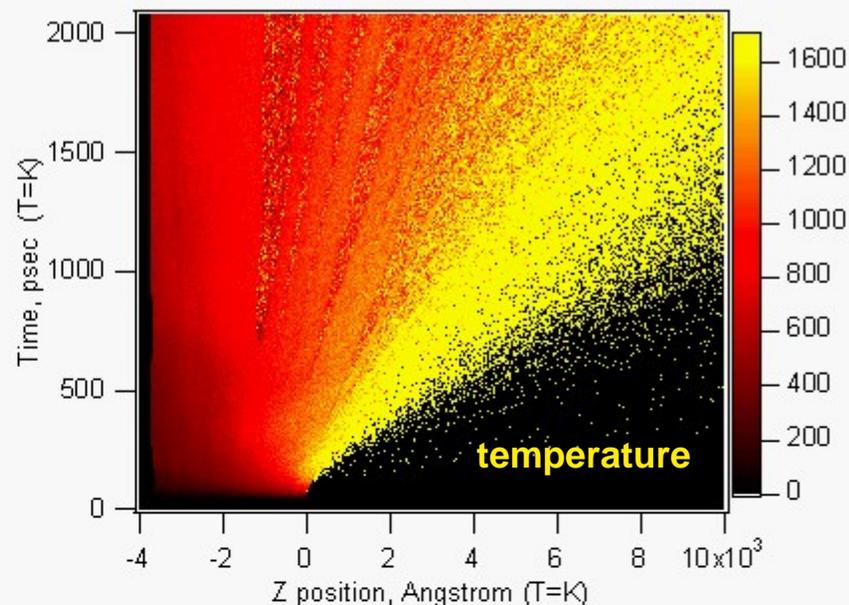
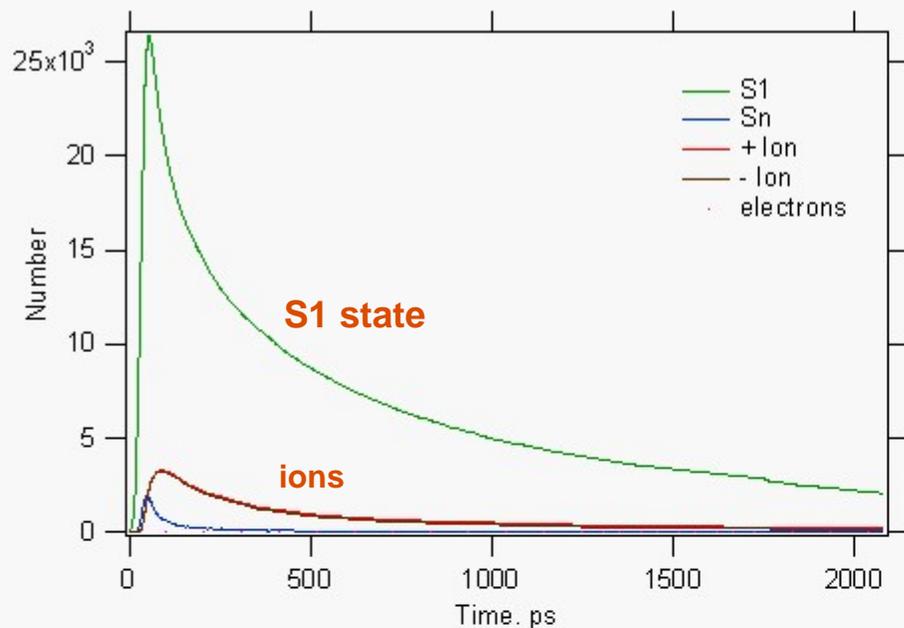
# MALDI Ionization with MD



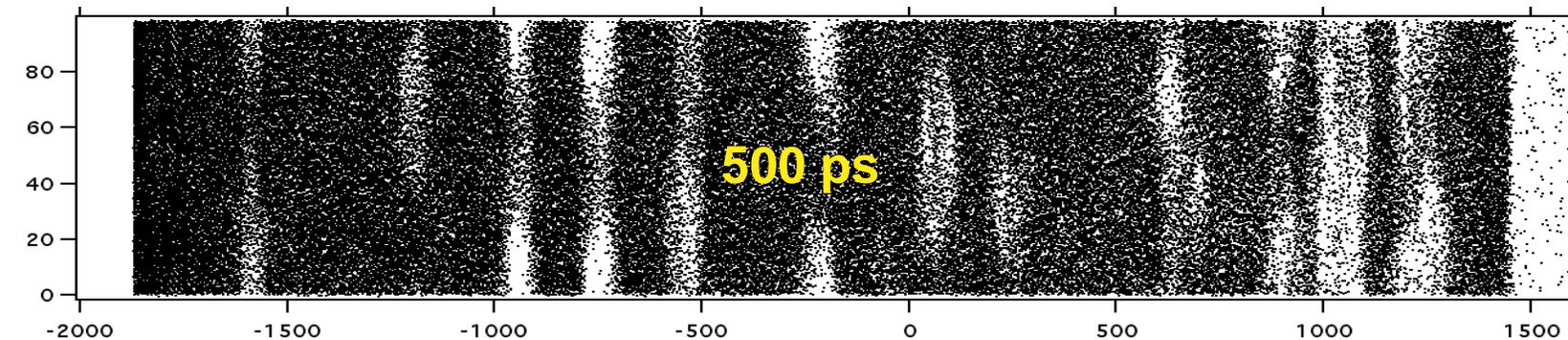
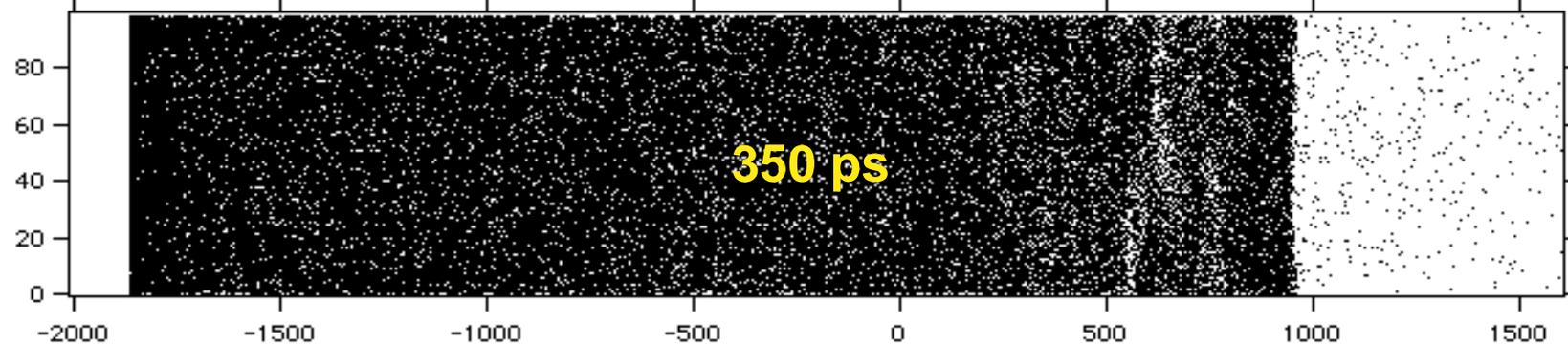
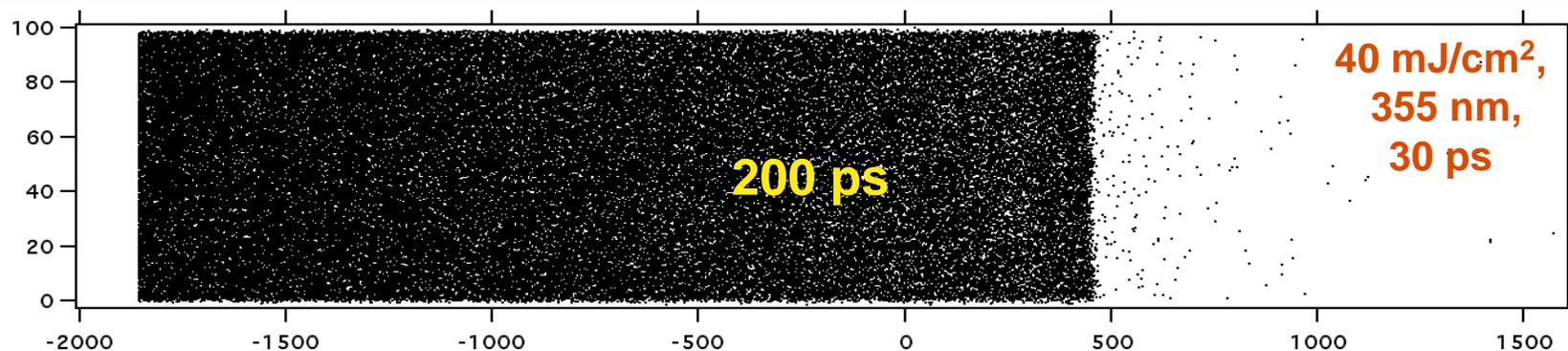
# MALDI Ionization with MD



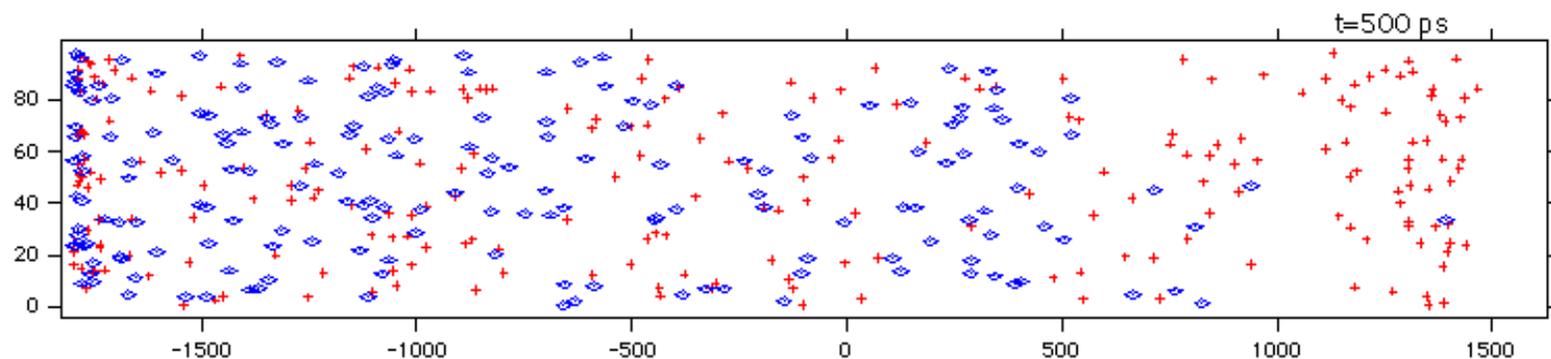
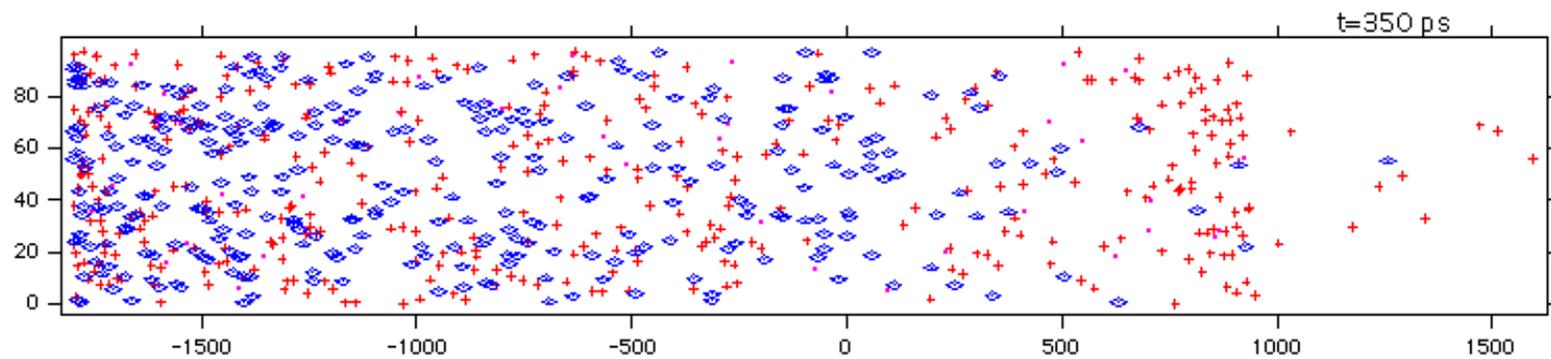
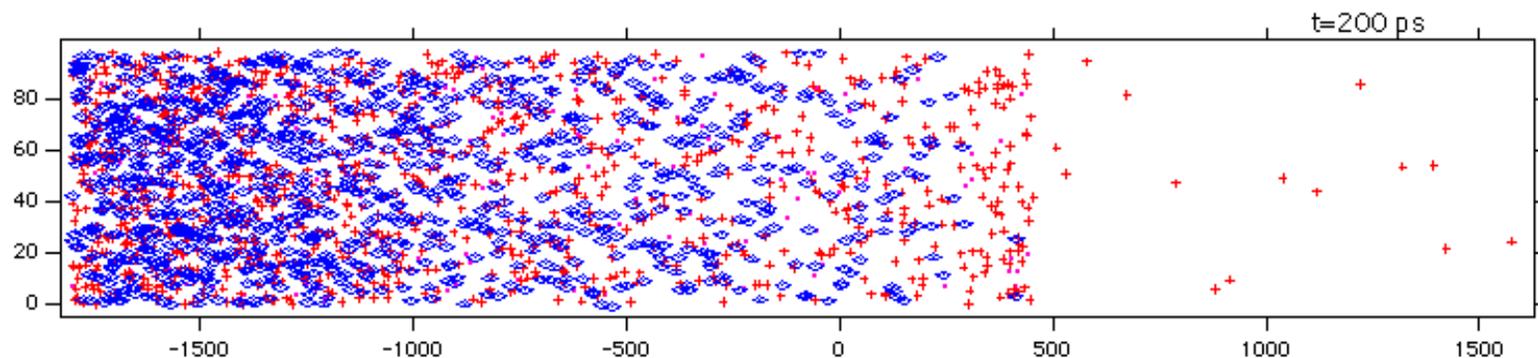
# MALDI Ionization with MD



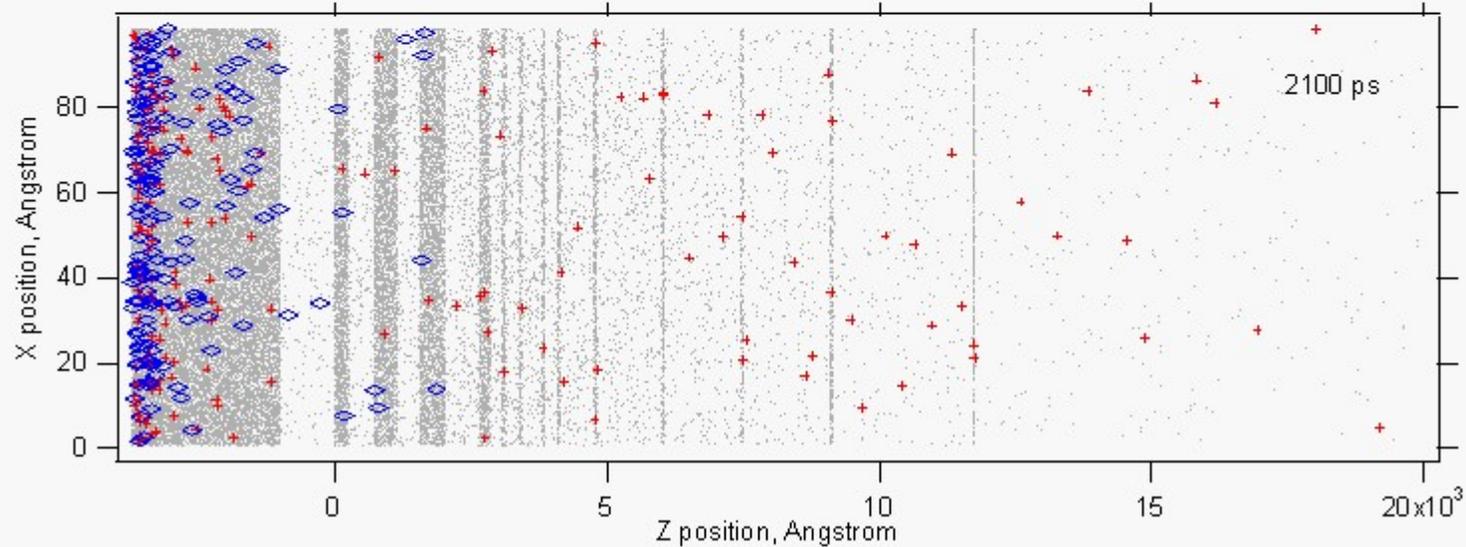
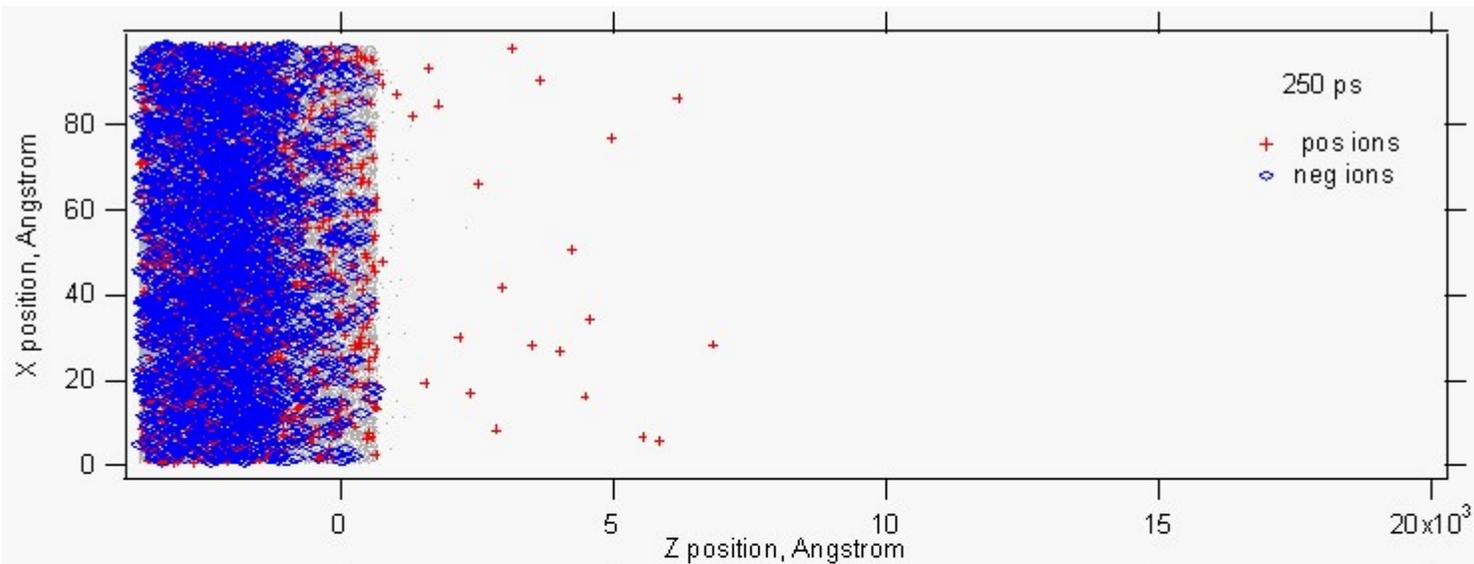
# MALDI Ionization with MD



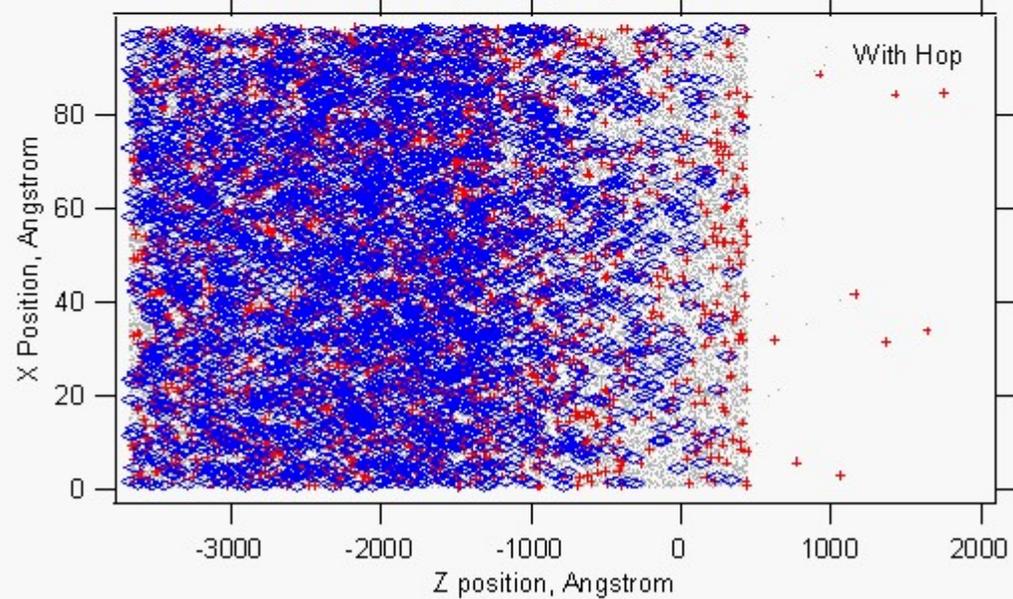
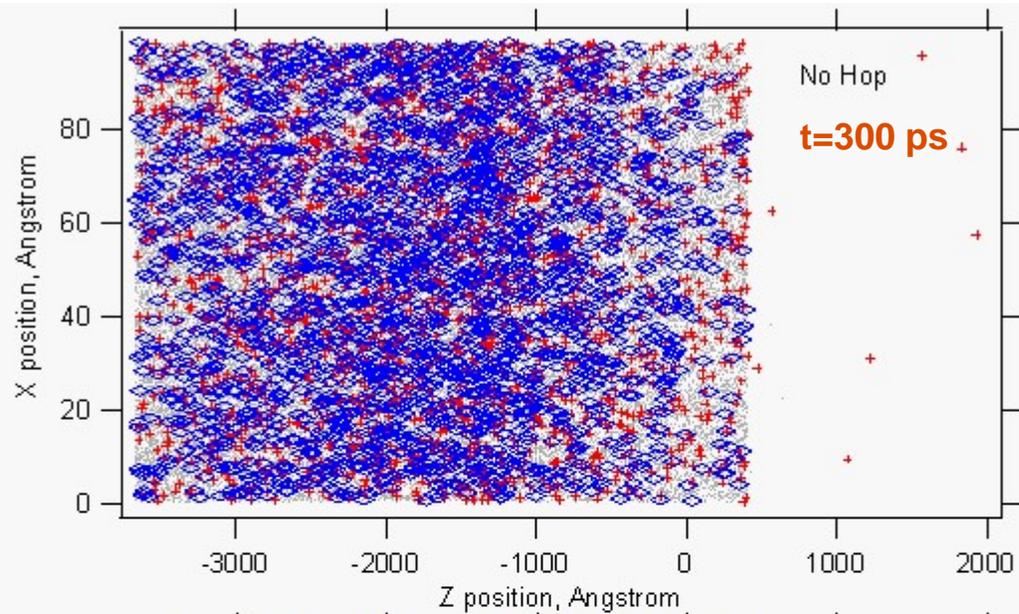
# MALDI Ionization by MD



# Ionization vs. Clusters



# Ionization with Exciton Hopping



# MALDI /MD 1<sup>st</sup> Results

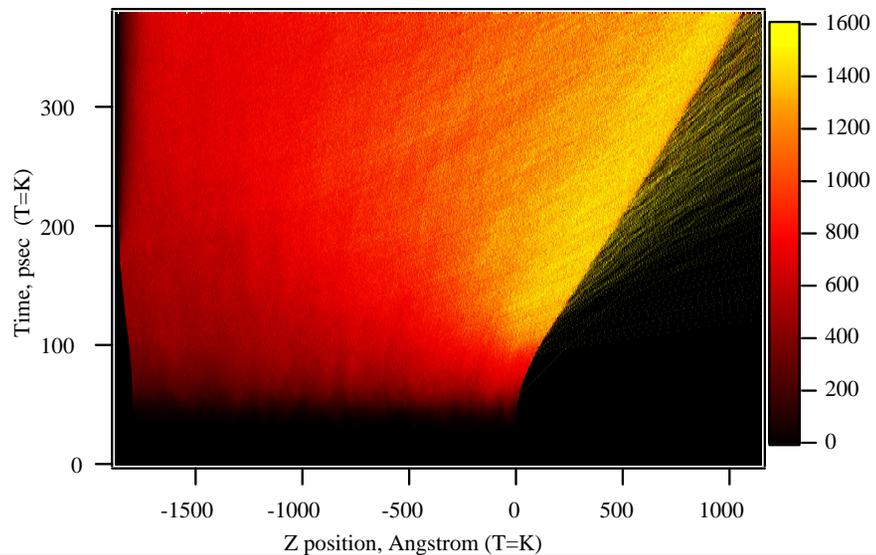
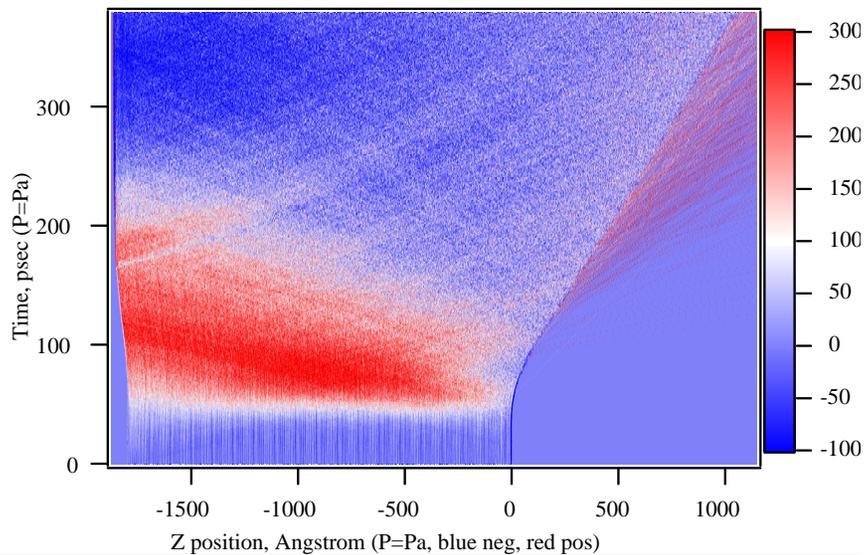
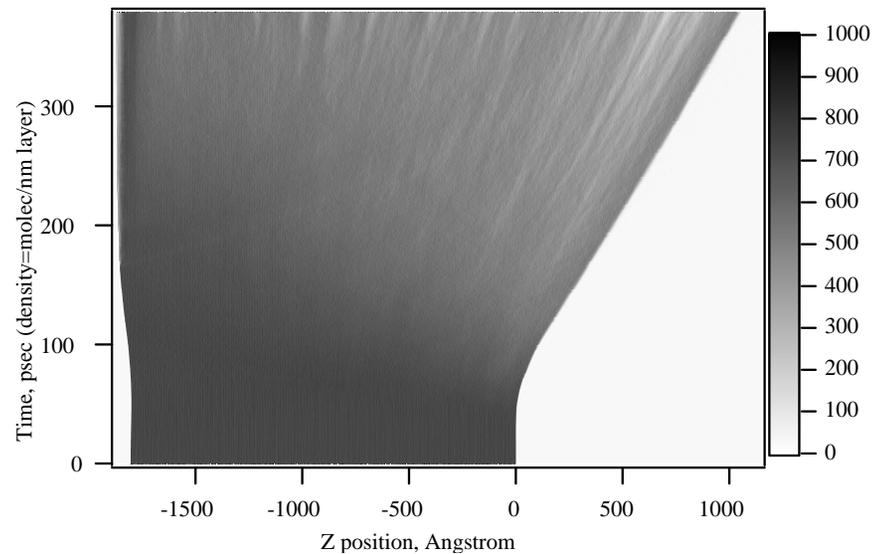
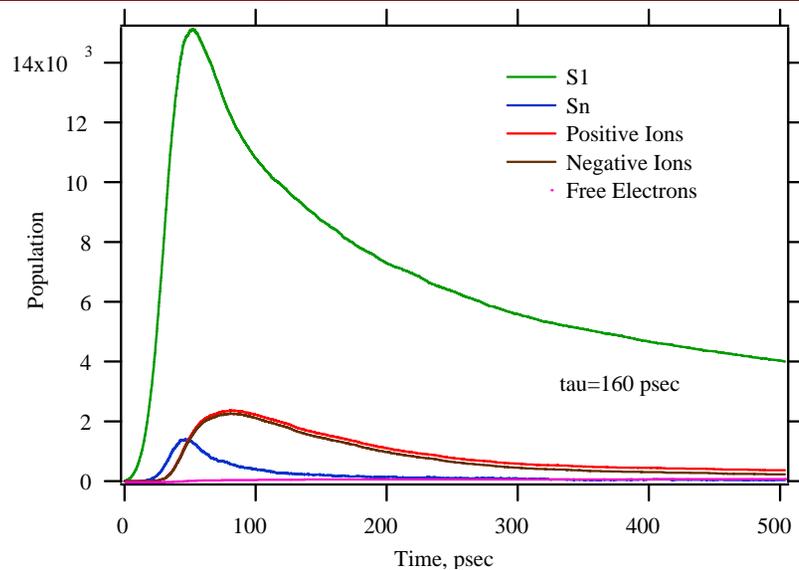
## Desorption:

- Longer energy storage vs. non-MALDI
- Both evaporation and ablation

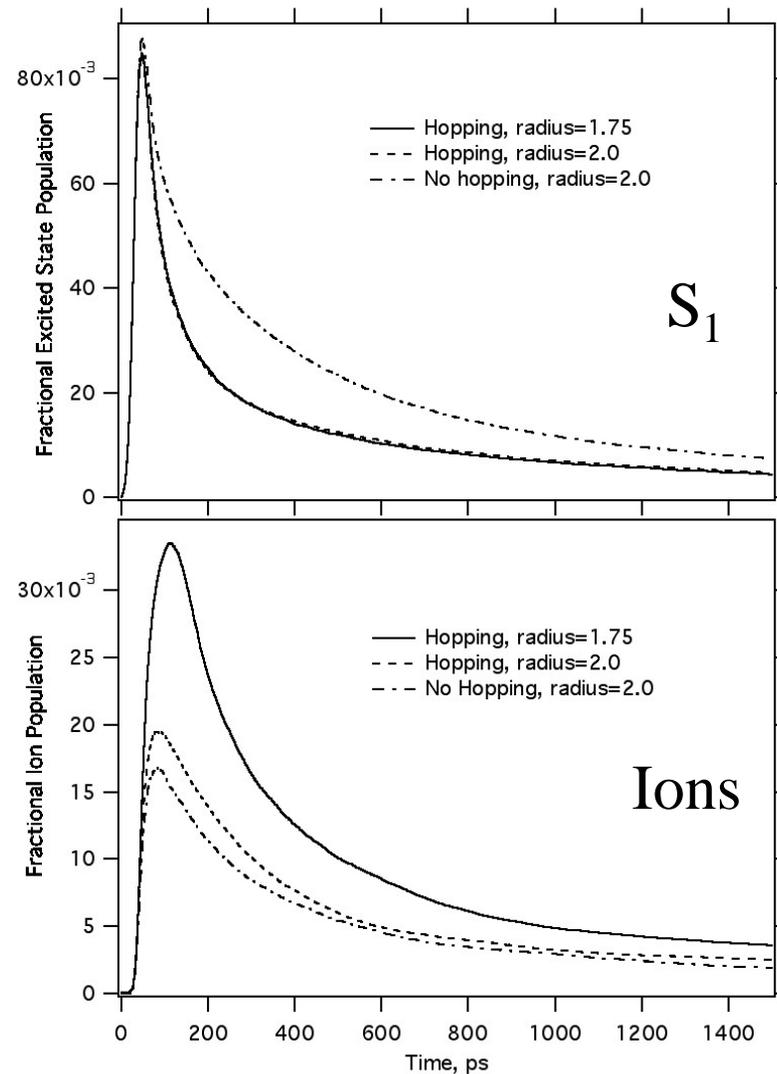
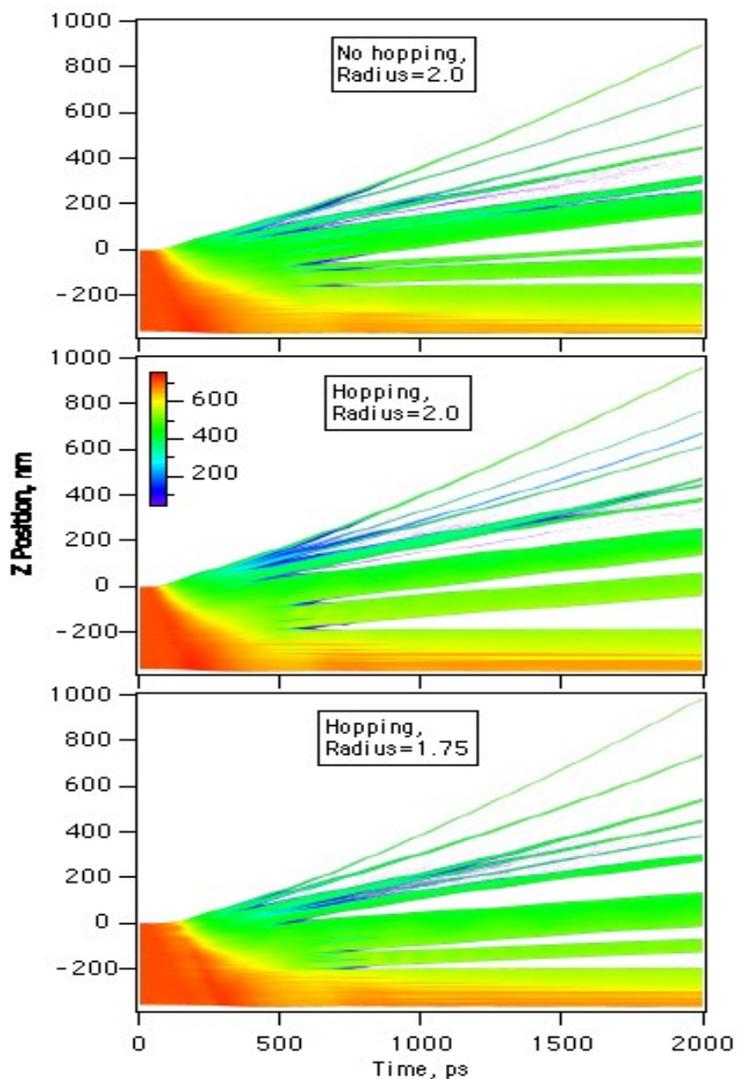
## Ionization:

- Ions "evaporate" early
- Hopping enhances ion production
- Coulomb ejection from top layer
- Time progress of populations very similar to continuum model

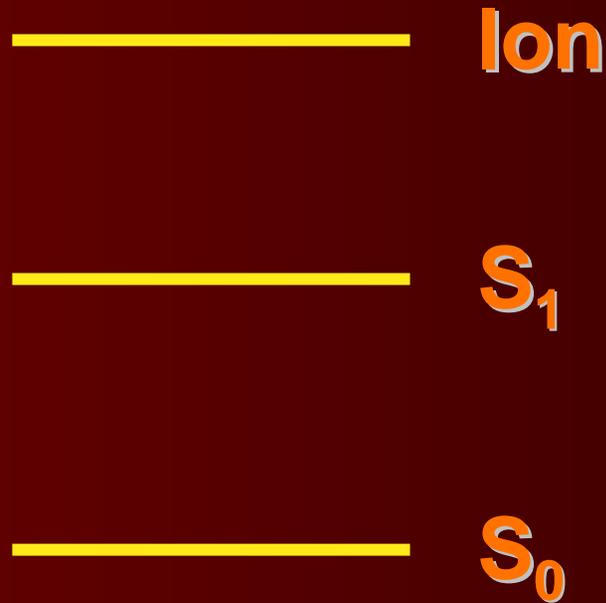
# MALDI Ionization by MD



# MALDI Ionization by MD

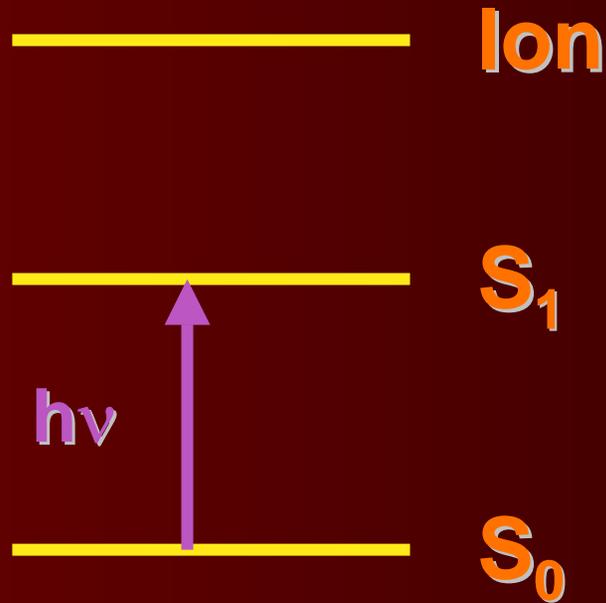


# Resonant 2-photon Ionization



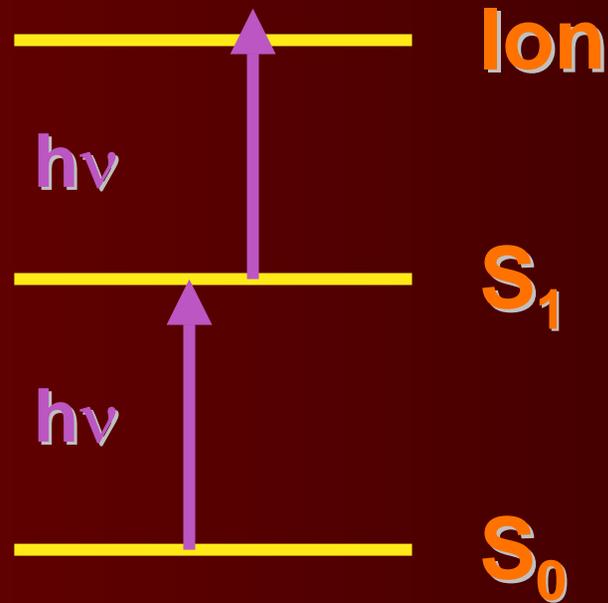
Single matrix molecule

# Resonant 2-photon ionization



**Single matrix molecule**

# Resonant 2-photon ionization



Single matrix molecule

## Possible UV Primary Mechanisms

**Exciton Pooling: 2-center**

**Two-Photon Ionization: 1-center**

**Excited state proton transfer**

**Preformed ions**

....

# Possible UV Primary Mechanisms

**Exciton Pooling: 2-center**

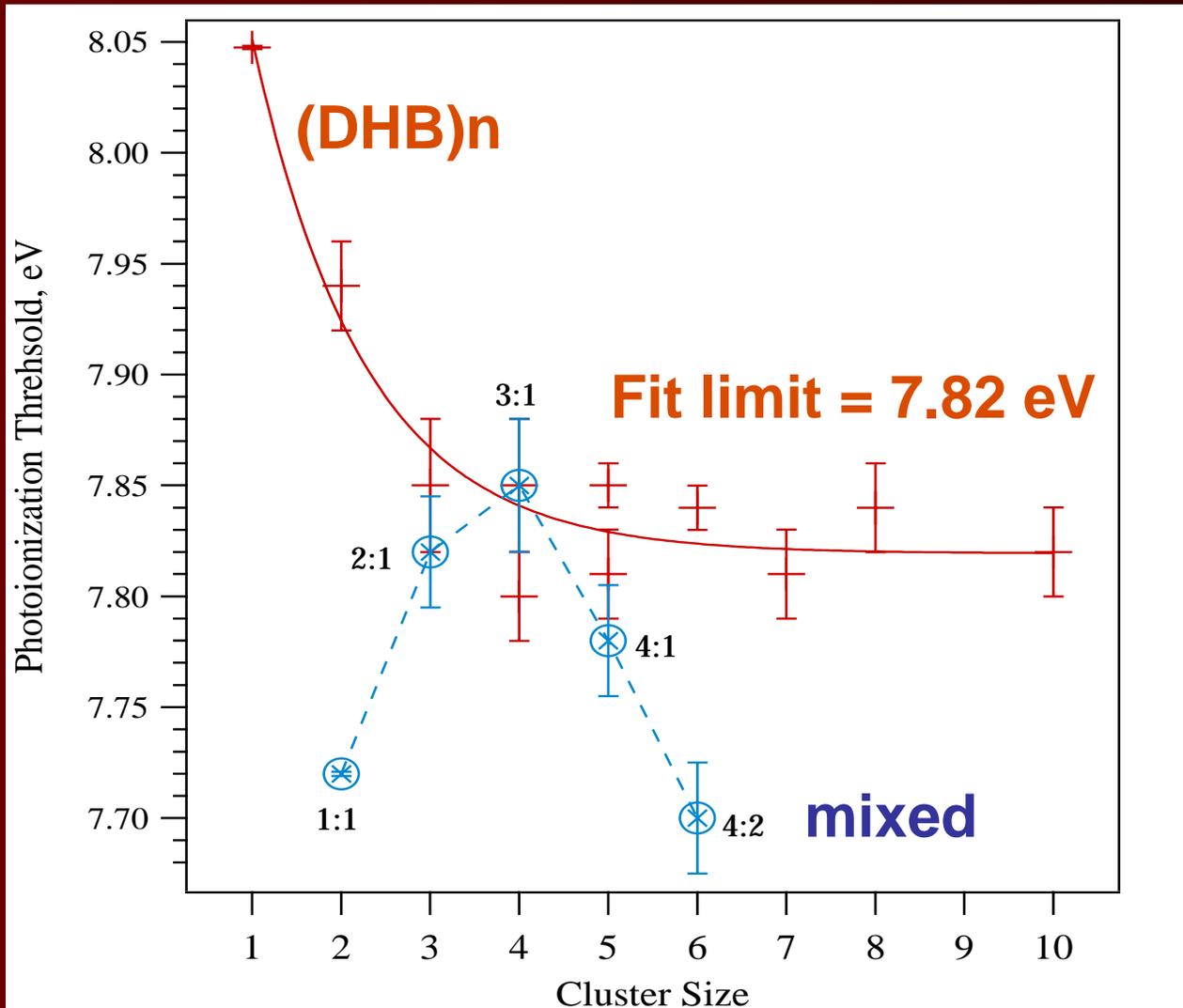
**Two-Photon Ionization: 1-center**

**Excited state proton transfer**

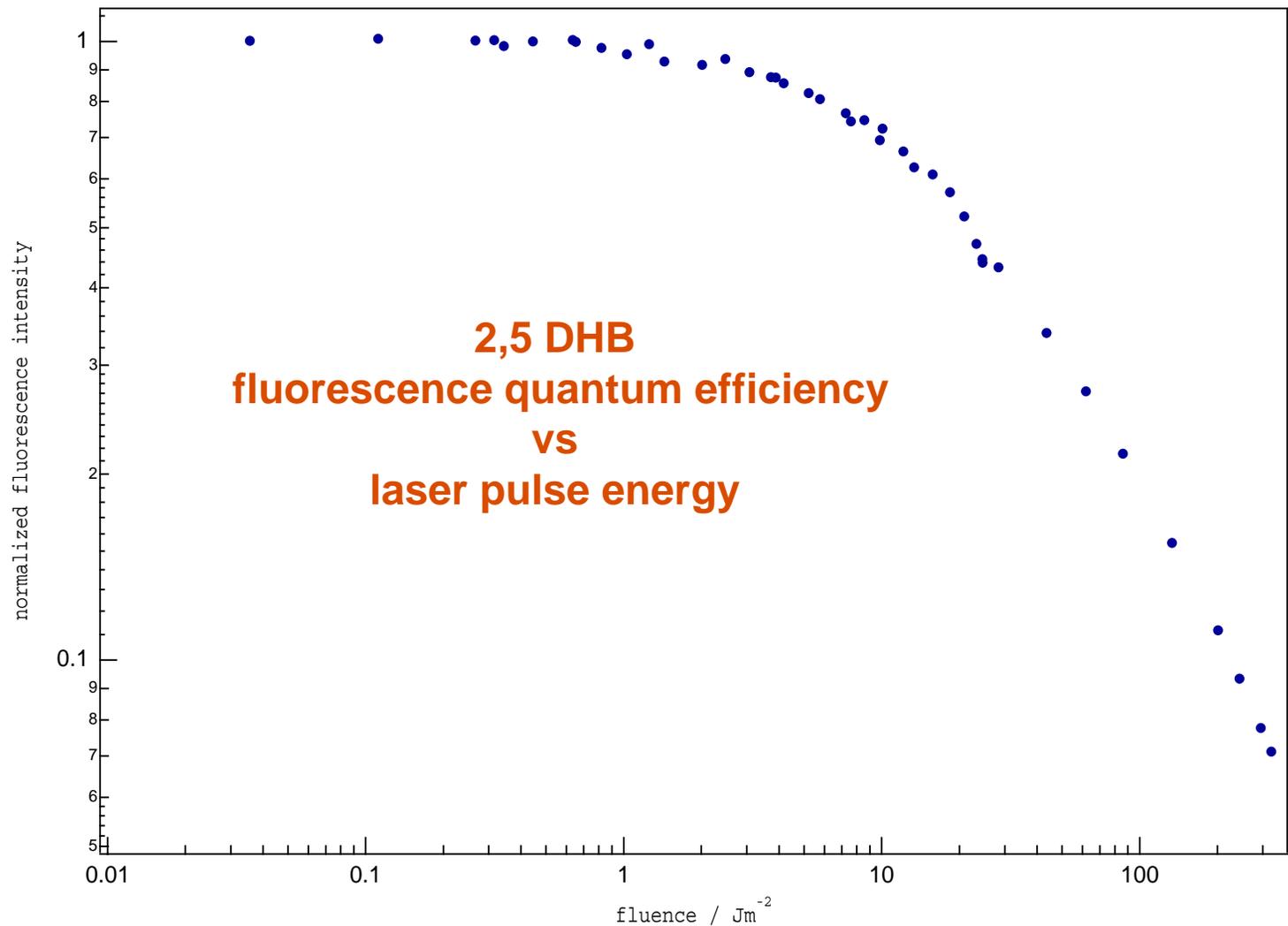
**Preformed ions**

.....

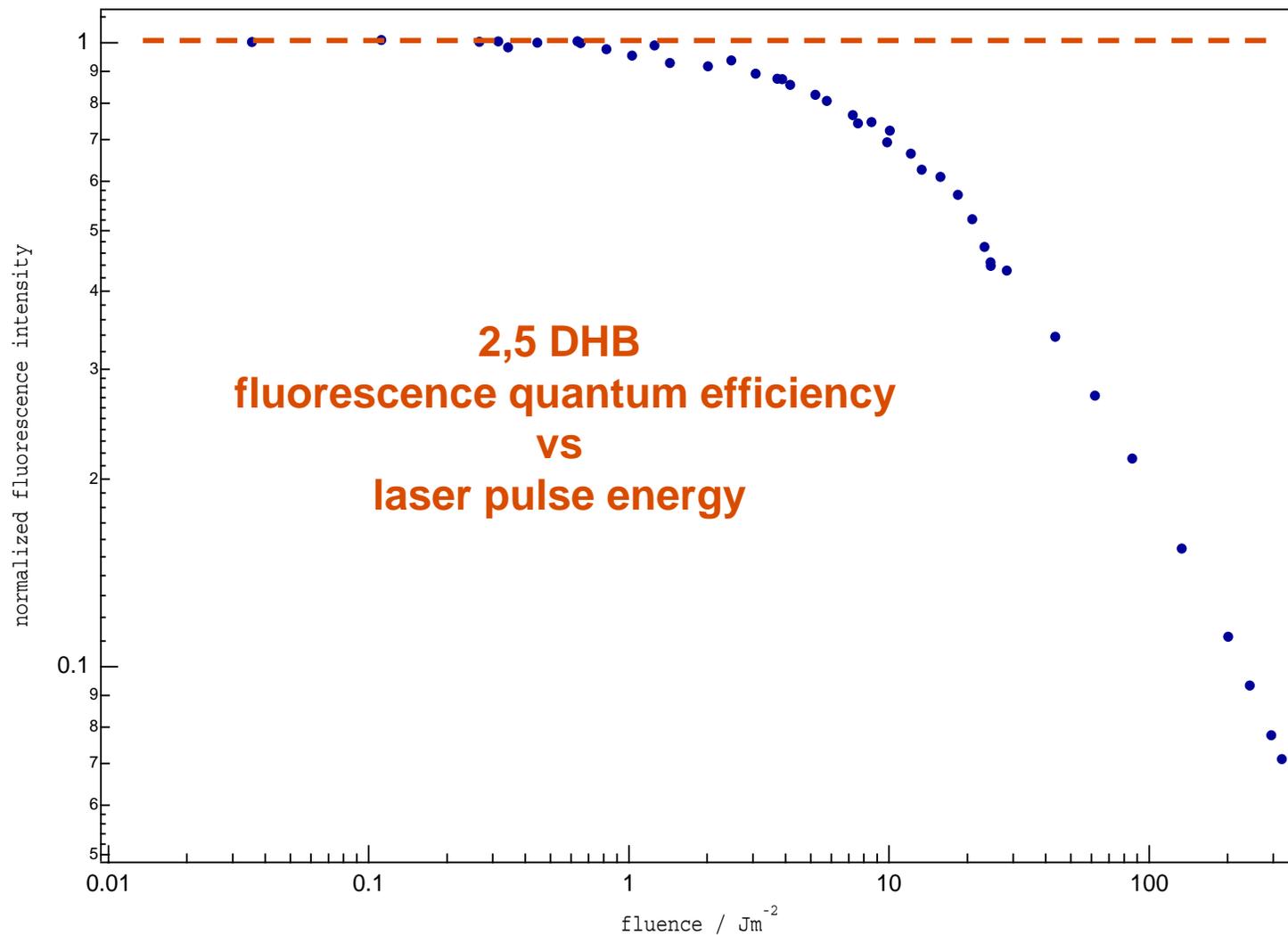
# (2,5 DHB)<sub>n</sub> 1+1` photoionization



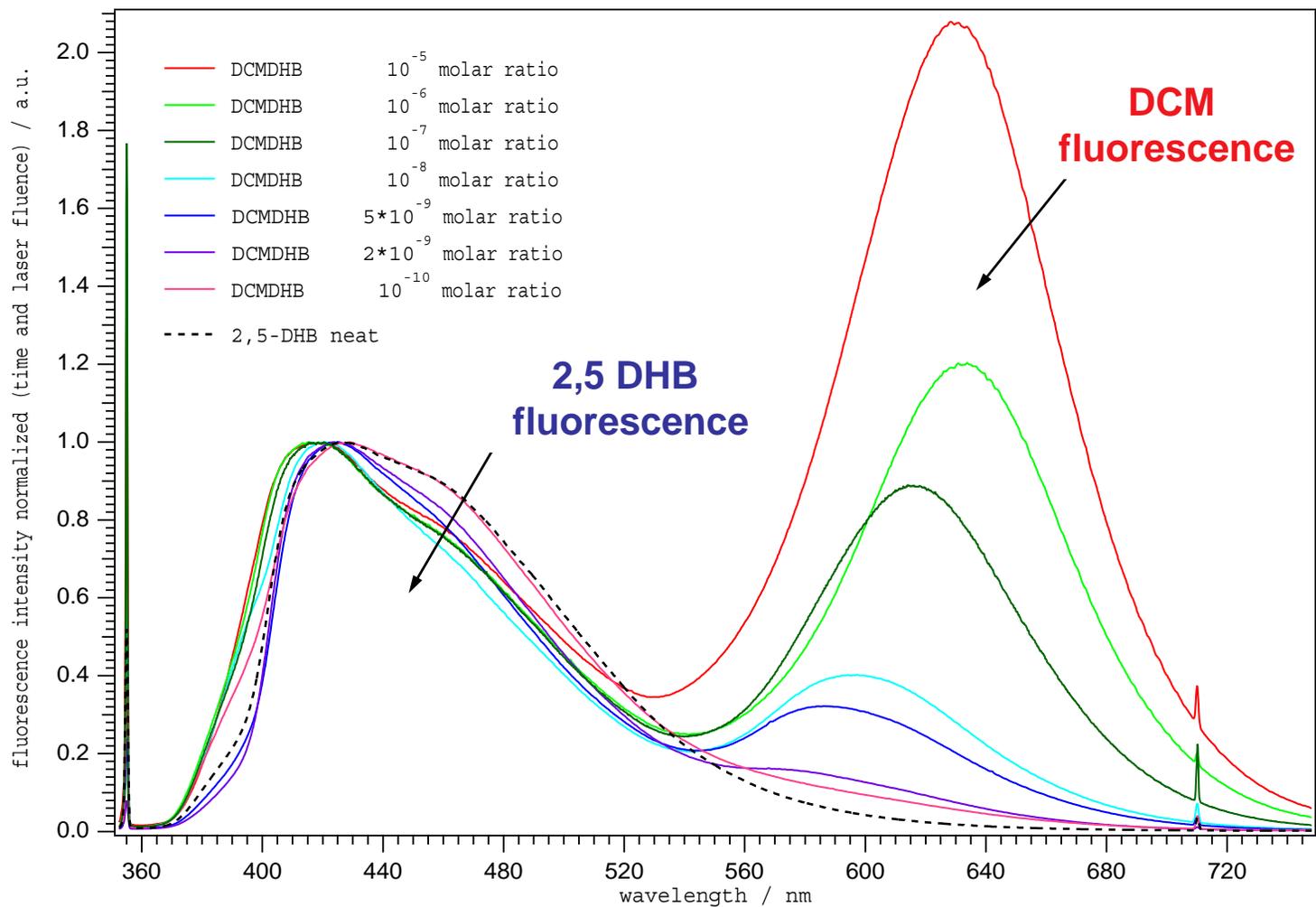
# $S_1+S_1$ Exciton Pooling



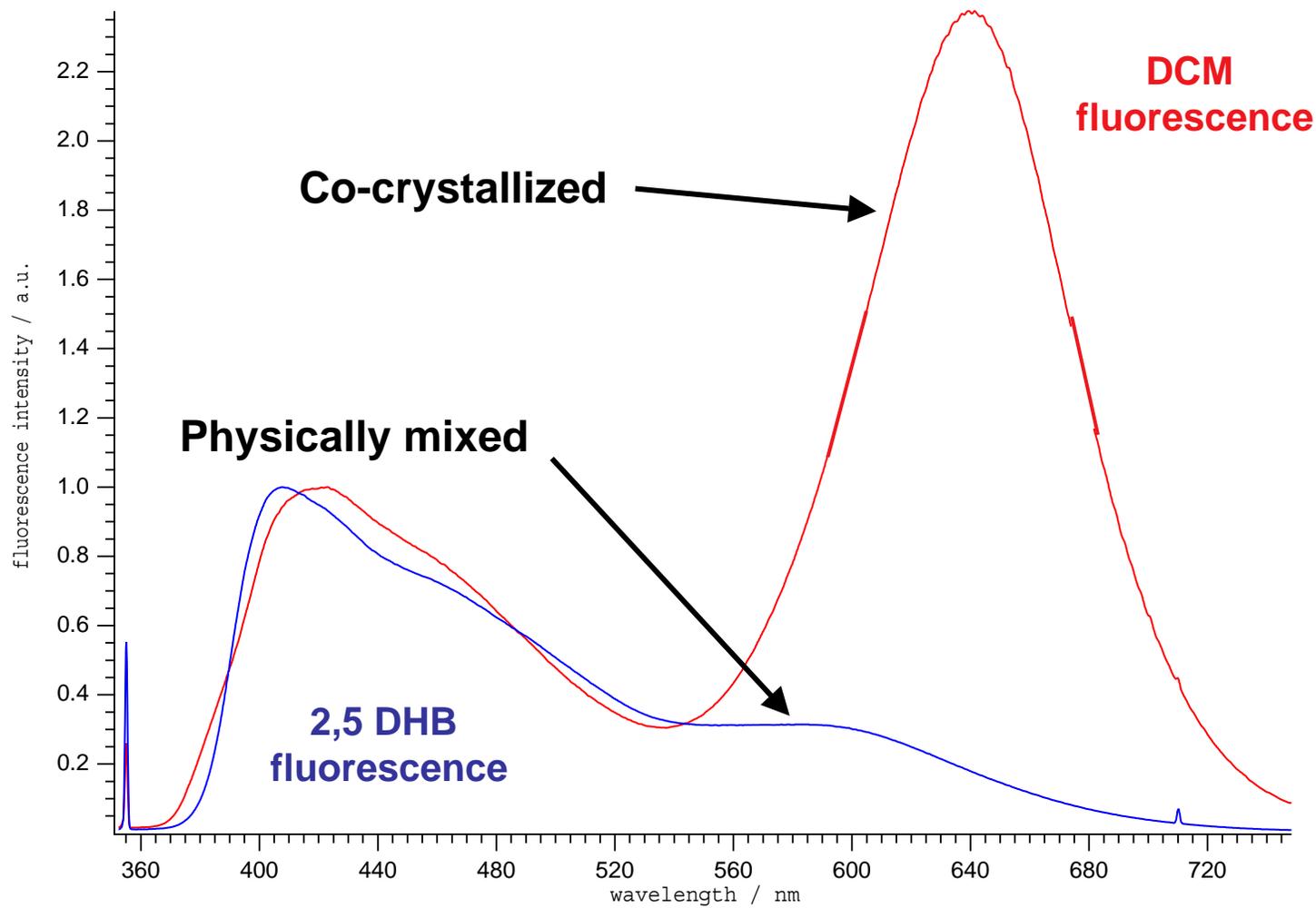
# $S_1+S_1$ Exciton Pooling



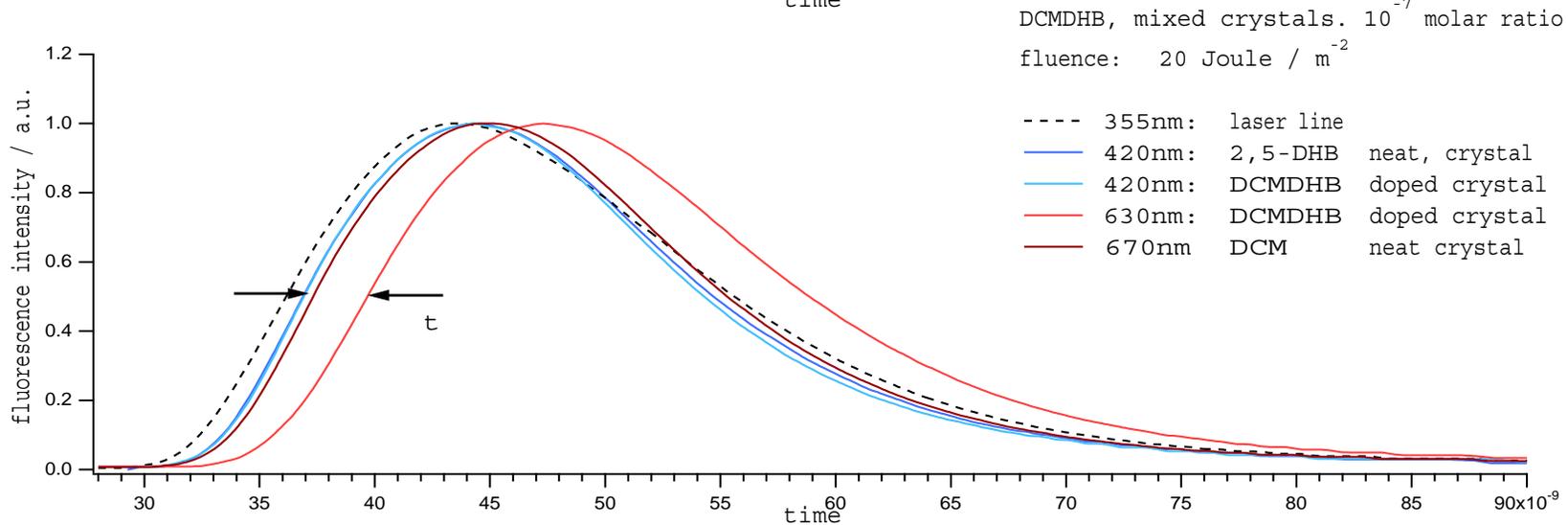
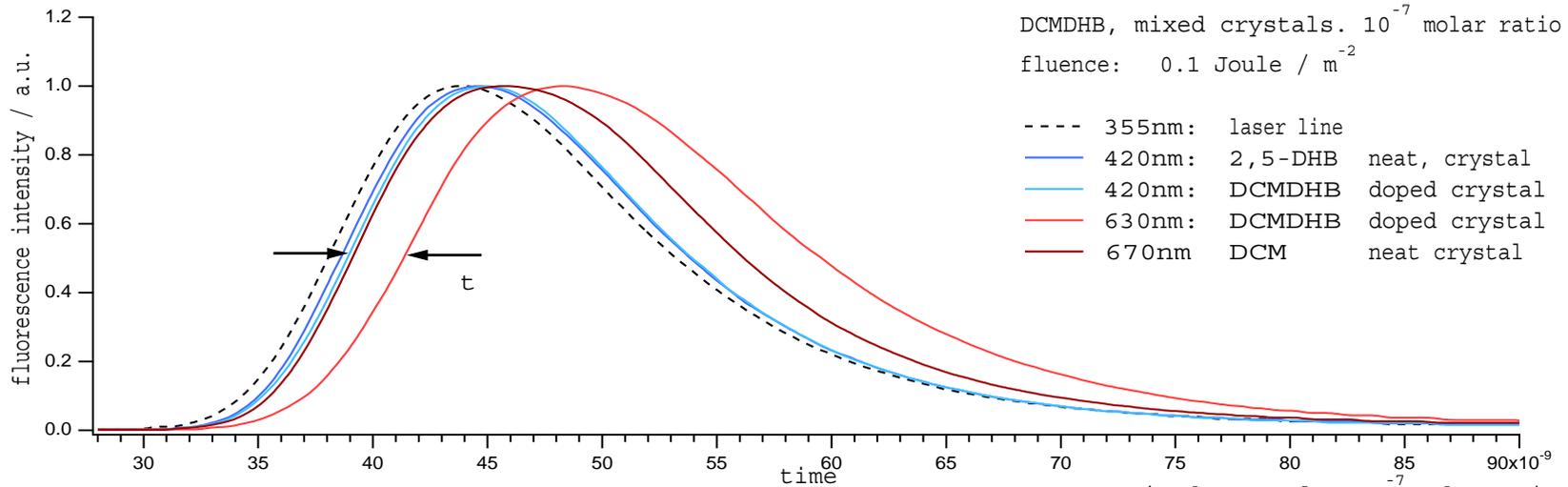
# Exciton Migration and Trapping



# Trapping Control Experiment



# Exciton Diffusion & Pooling: Delayed Trap Fluorescence





# Matrix-only Differential Eqns.

$$\frac{d[S_0]}{dt} = -I(t)\sigma_{01}(\lambda)[S_0] + \frac{[S_1]}{\tau_1} + I(t)(\sigma_{01}(\lambda)/5)[S_1] + Dk_{11}[S_1]^2 + Dk_{1n}[S_1][S_n] + k_{I0}[Ions]$$

$$\frac{d[S_1]}{dt} = I(t)\sigma_{01}(\lambda)[S_0] - \frac{[S_1]}{\tau_1} - I(t)(\sigma_{01}(\lambda)/5)[S_1] - I(t)\sigma_{1n}(\lambda)[S_1] + k_{n1}[S_n] - 2Dk_{11}[S_1]^2 - Dk_{1n}[S_1][S_n]$$

$$\frac{d[S_n]}{dt} = I(t)\sigma_{1n}(\lambda)[S_1] - k_{n1}[S_n] - k_{therm}[S_n] + Dk_{11}[S_1]^2 - Dk_{1n}[S_1][S_n]$$

$$\frac{d[Ions]}{dt} = k_{therm}[S_n] + Dk_{1n}[S_1][S_n] - Dk_{I0}[Ions]$$

$$\begin{aligned} \frac{dE}{dt} = & I(t)\sigma_{01}(\lambda)[S_0](h\nu - E(S_1)) + \frac{1}{\tau_1}([S_1]E(S_1)(1 - \Phi(S_1)) + k_{n1}[S_n](E(S_n) - E(S_1))) \\ & + Dk_{1n}[S_1][S_n](E(S_1) + E(S_n) - IP) + Dk_{I0}[Ions]IP \end{aligned}$$

$$Temperature = E/(kf)$$

$$k_{therm} = 9 \times 10^{15} e^{(E(S_1) - IP)/kT}$$

# The Plume Expansion

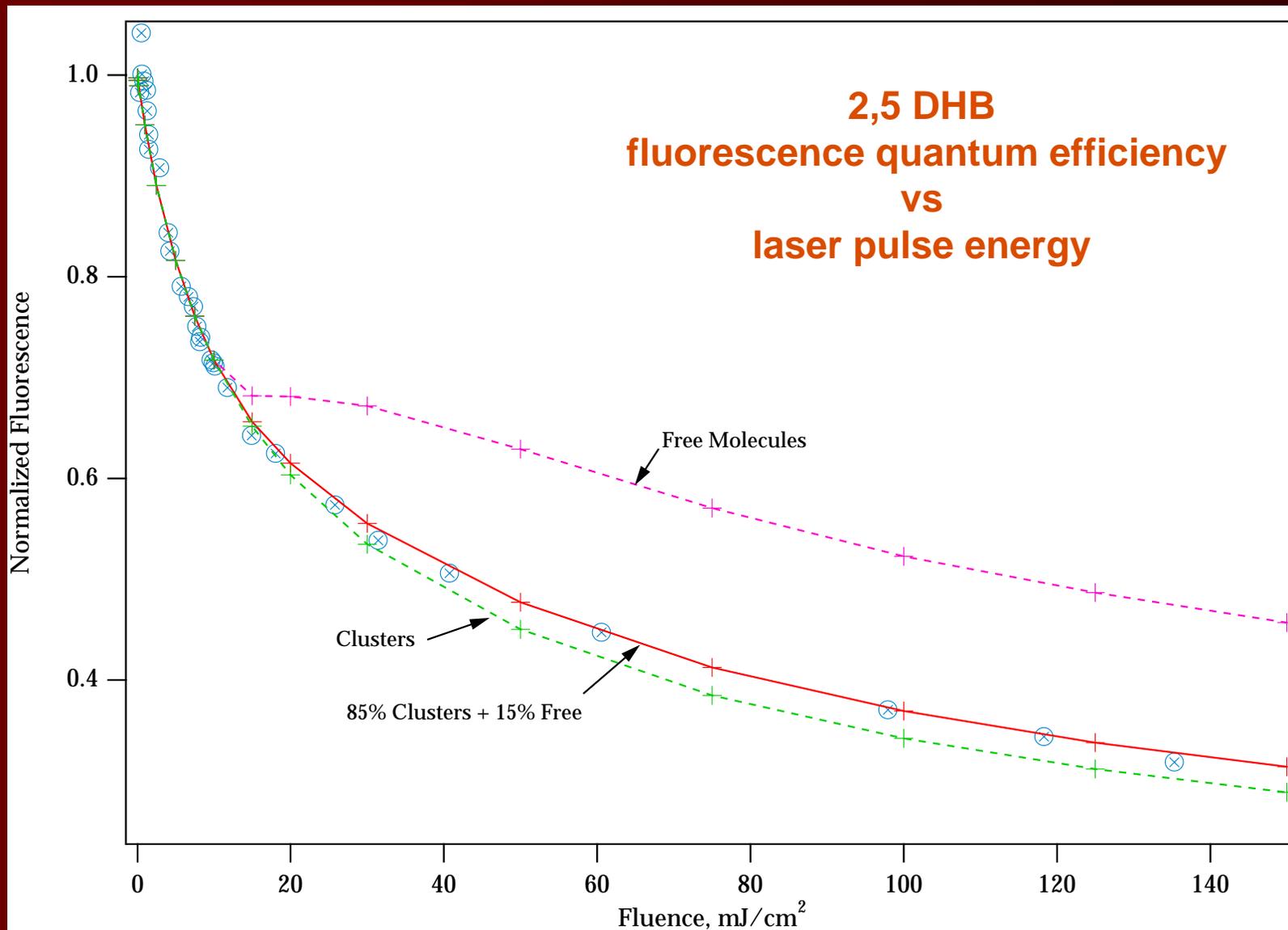
When  $T = T_{\text{sublimation}}$ , start expansion

During expansion, scale rates by  $P/P_0$ :

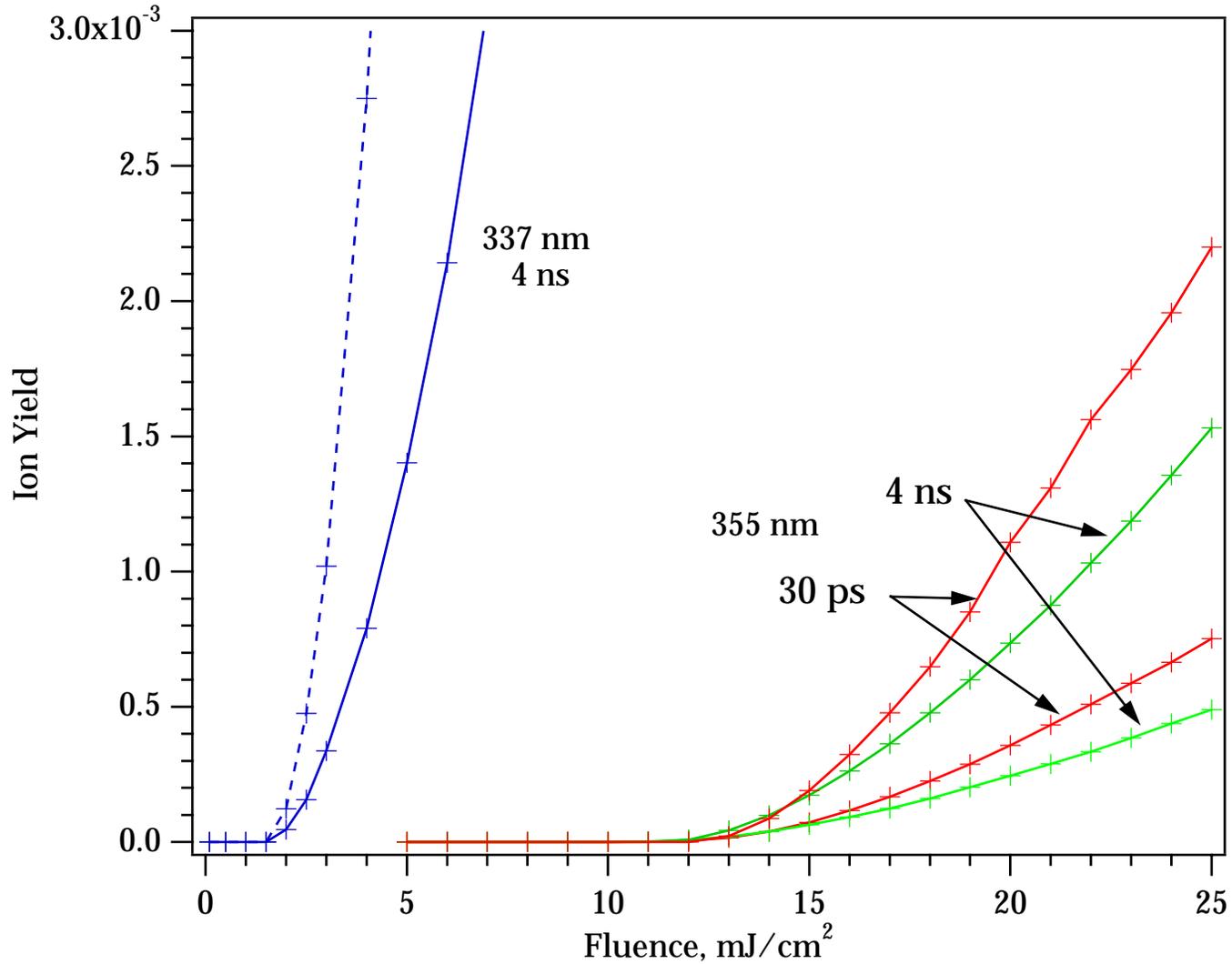
pooling ↓  
 $S_1$  lifetime ↑  
recombination ↓

Correct for large cluster fraction (85%)

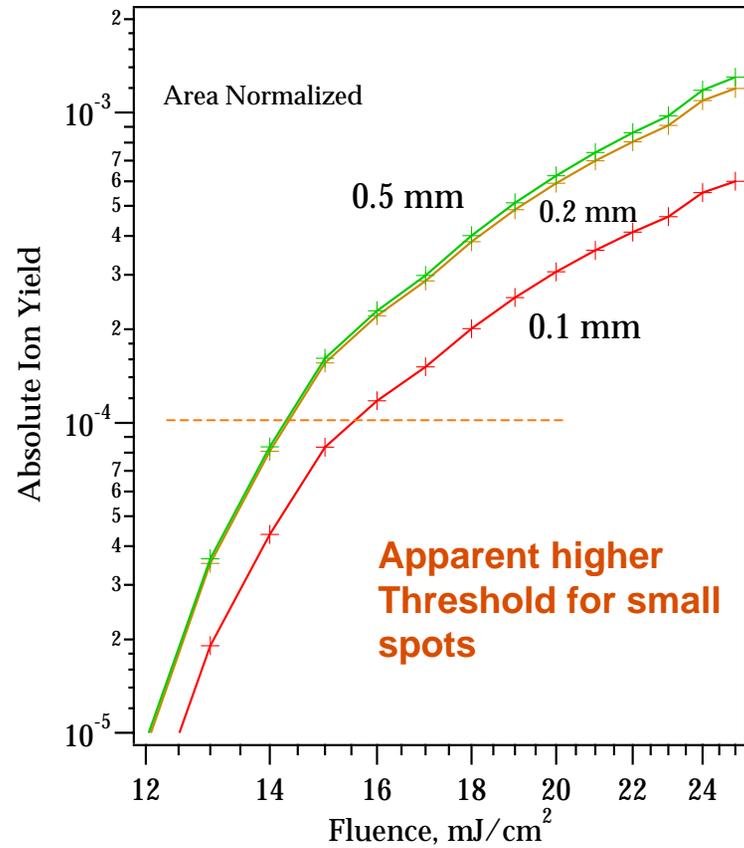
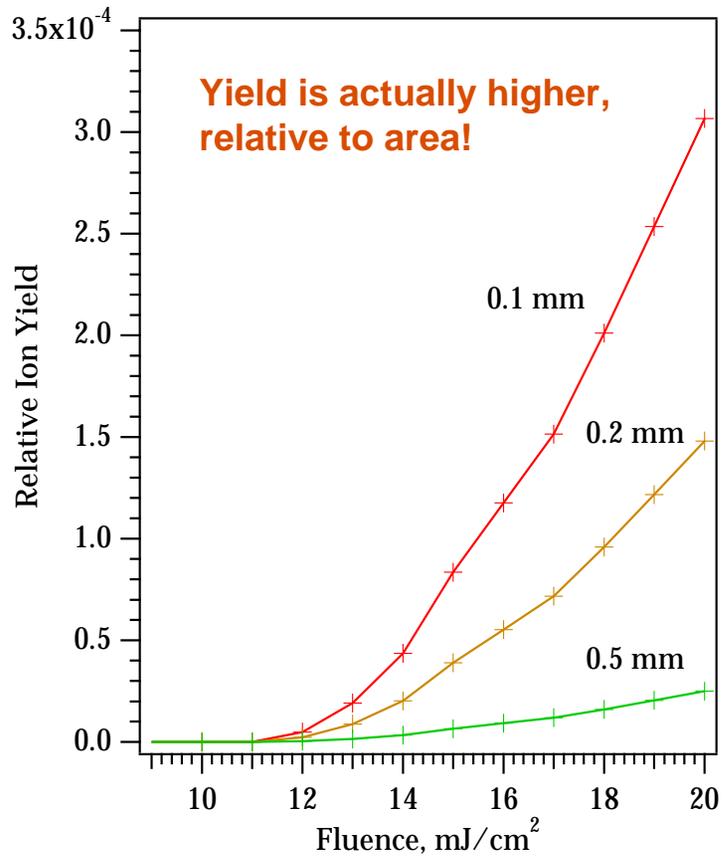
# Fluorescence Quenching



# Wavelength, Fluence, and Pulse Length Effects



# Spot Size Effects



# Secondary In-Plume Reactions: Proton Transfer

**Matrix – analyte reactions:**

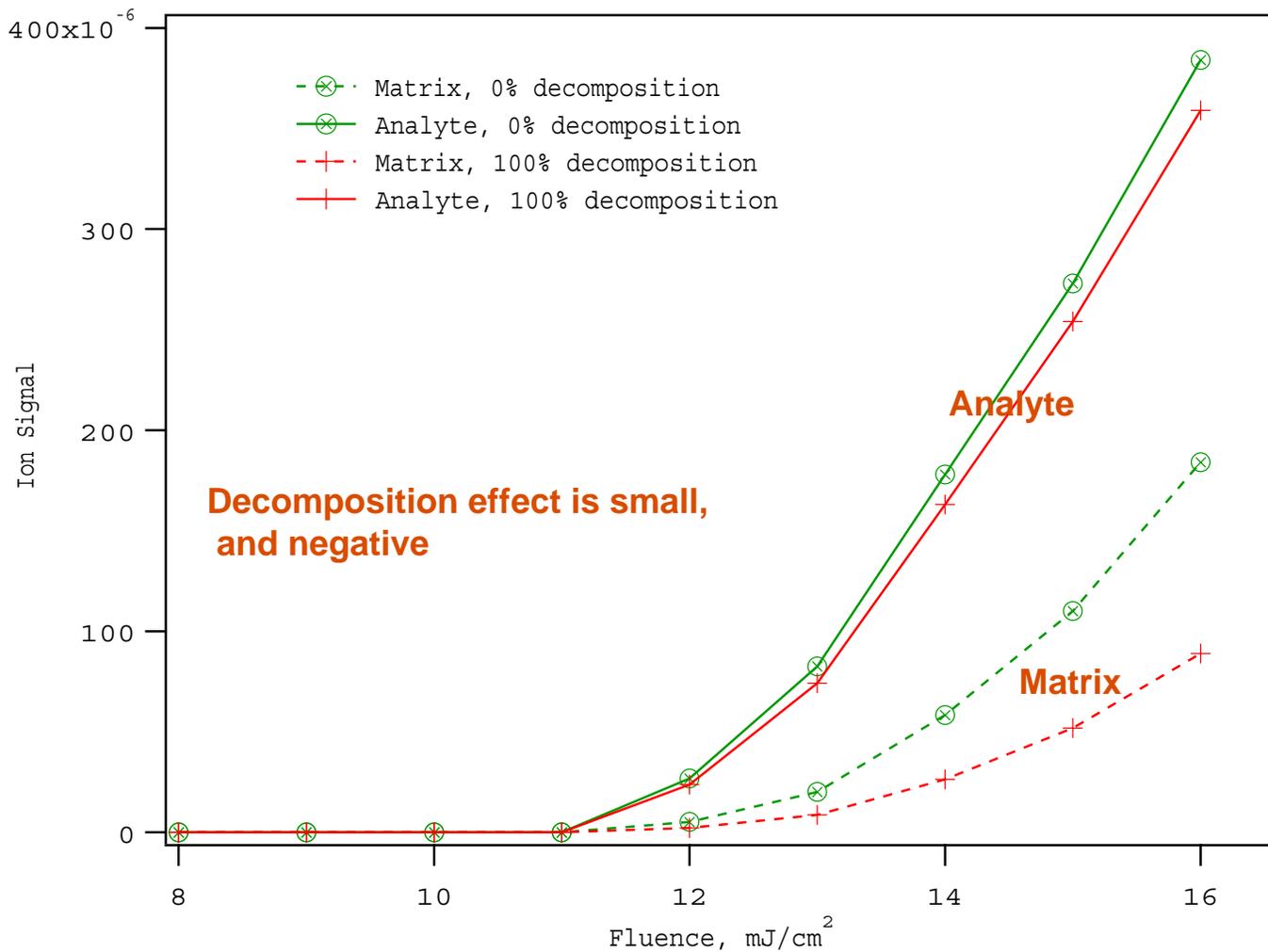


**Analyte – analyte reactions:**

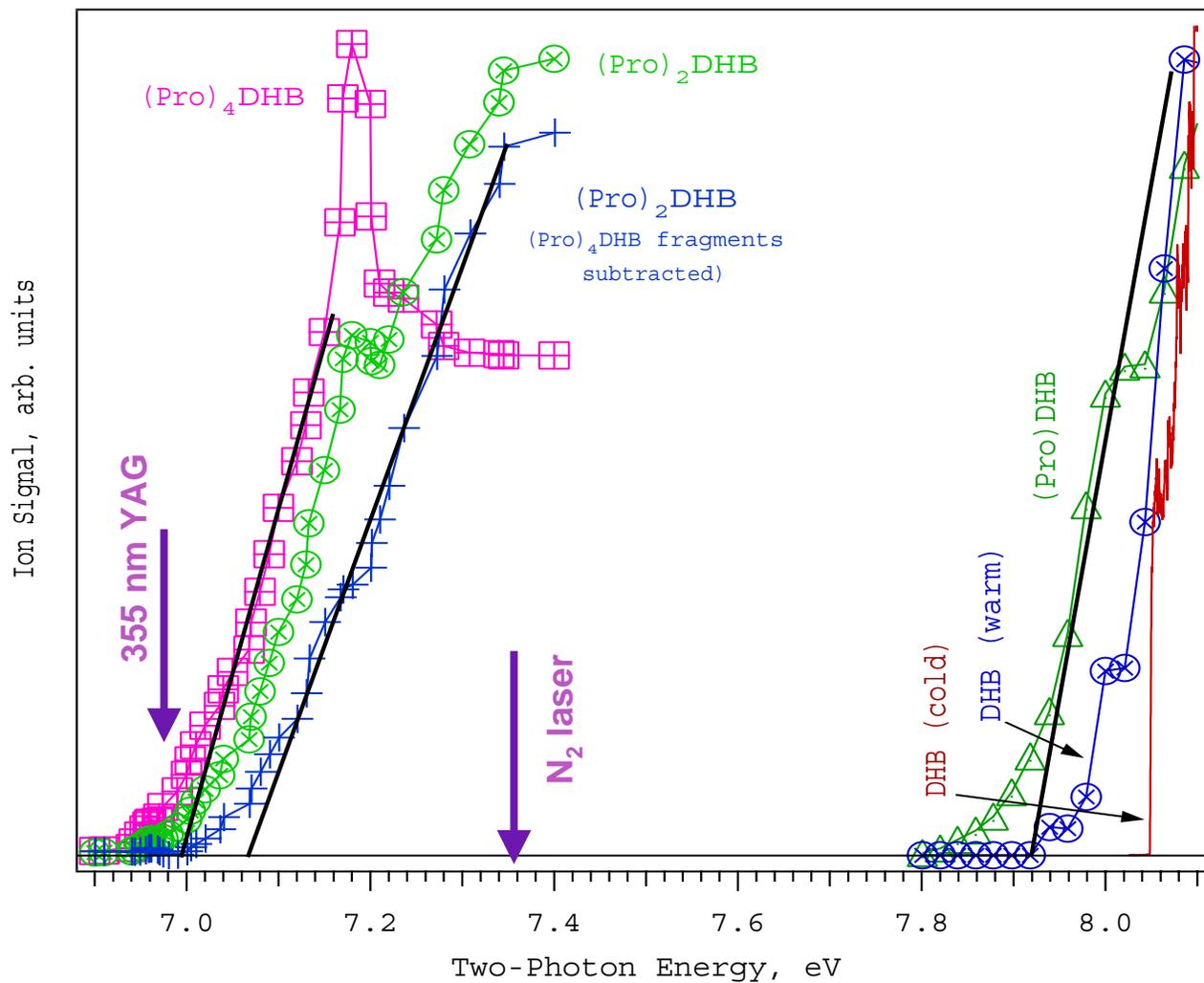


**The relevant parameter is  
the gas-phase proton affinity**

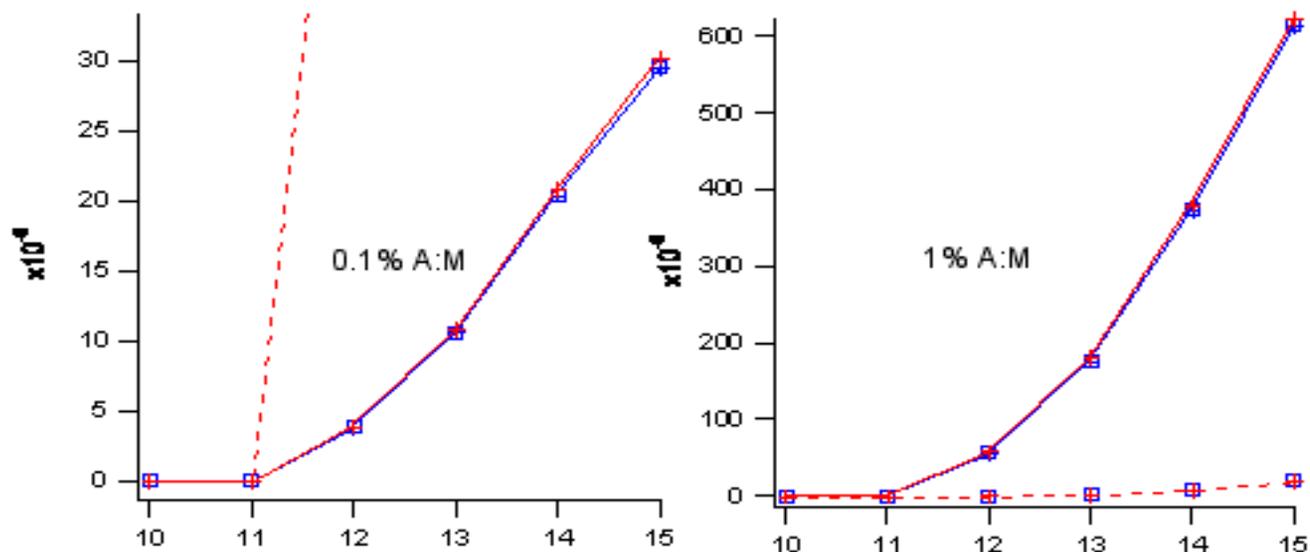
# Matrix Decomposition



# 2,5 DHB-proline clusters



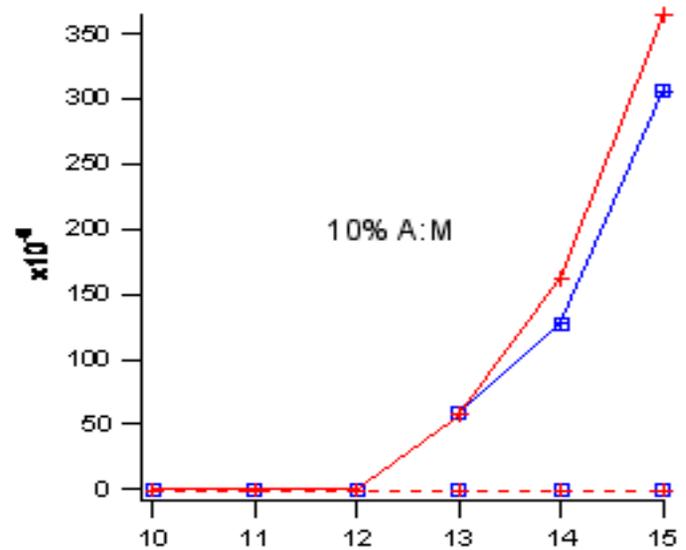
# Cluster R2PI



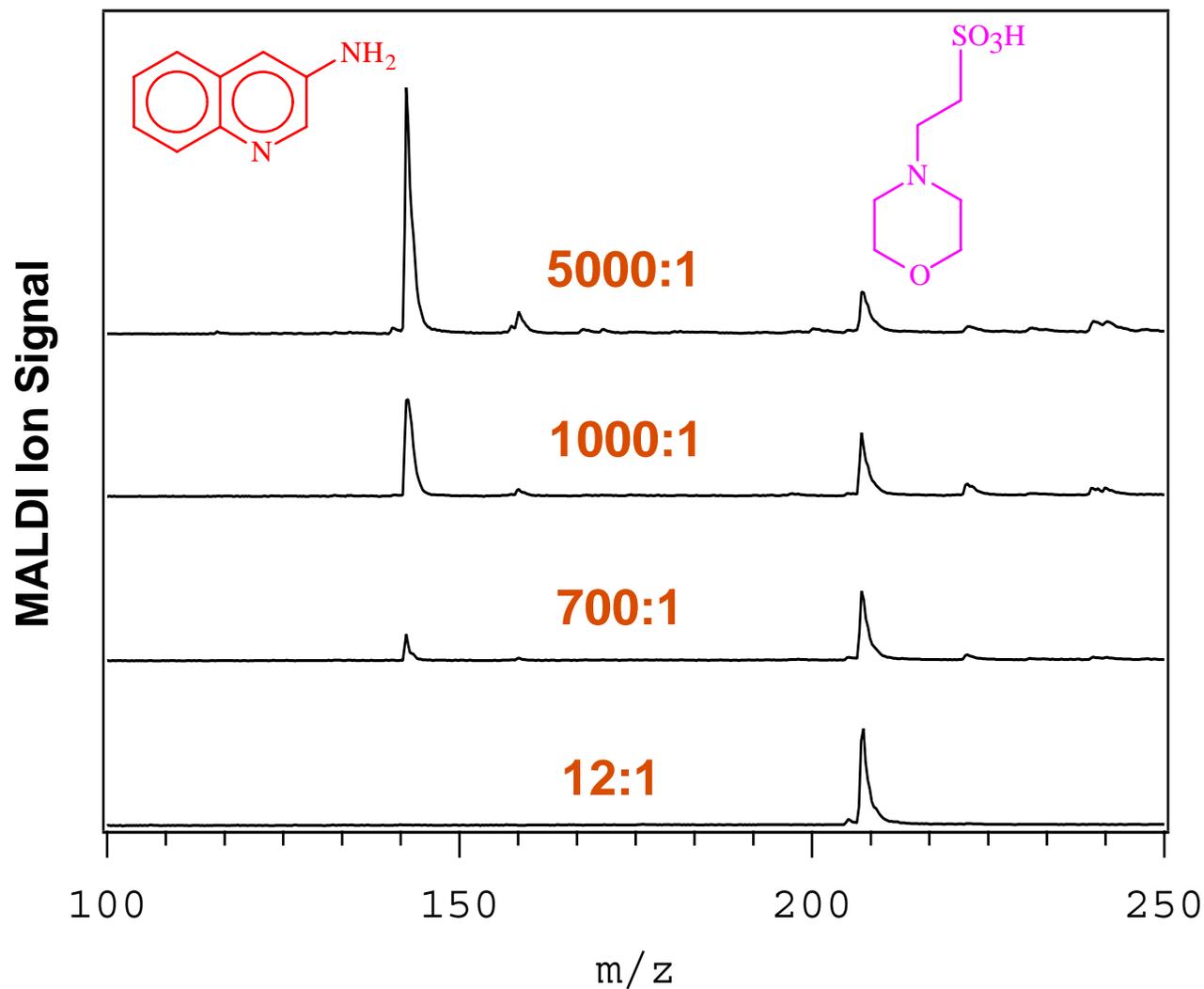
Dashed=matrix ions  
Solid=analyte ions

Blue=no direct MA ionization  
Red=with direct MA ionization

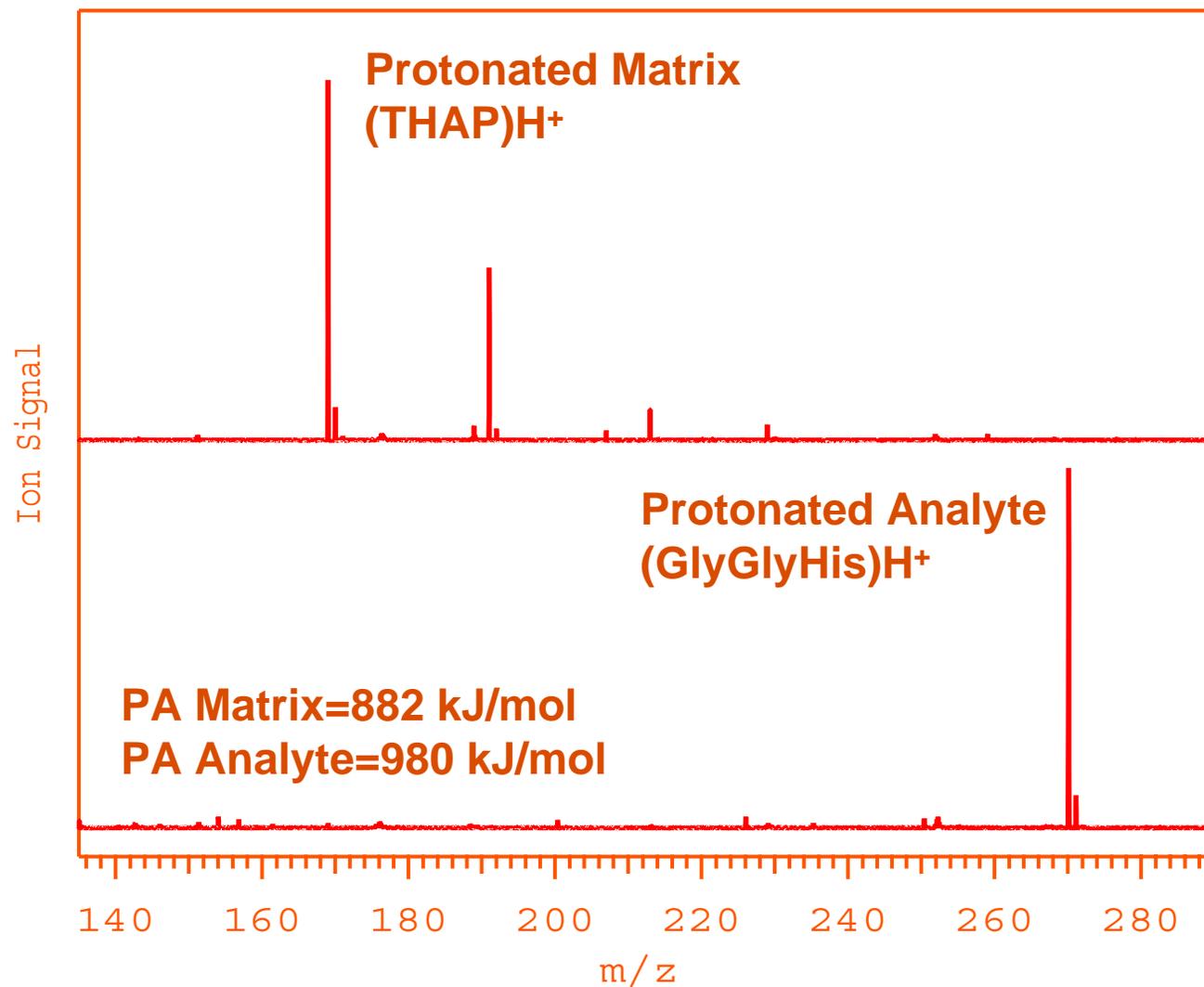
X-axis=fluence,  $\text{mJ}/\text{cm}^2$



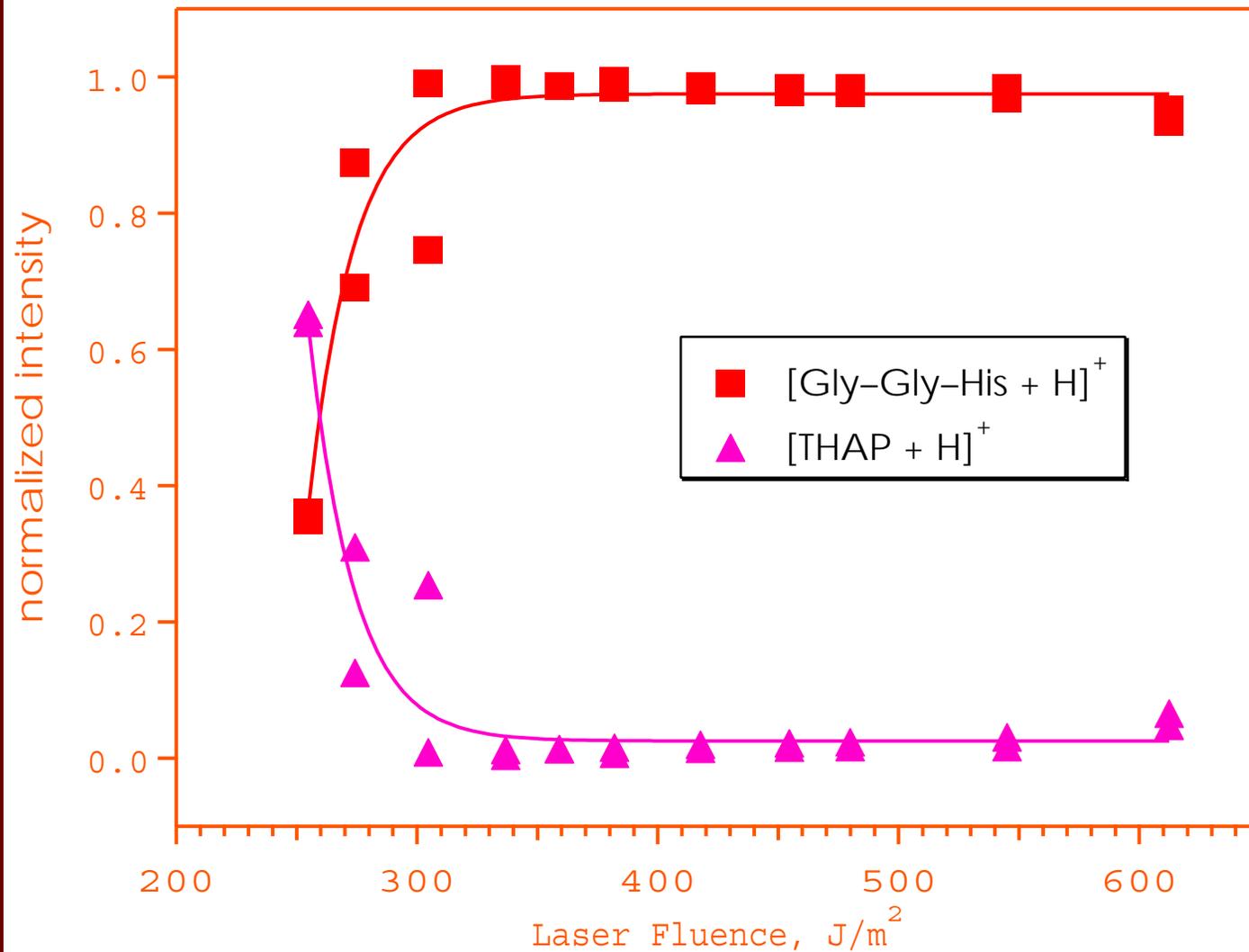
# Matrix Suppression Effect



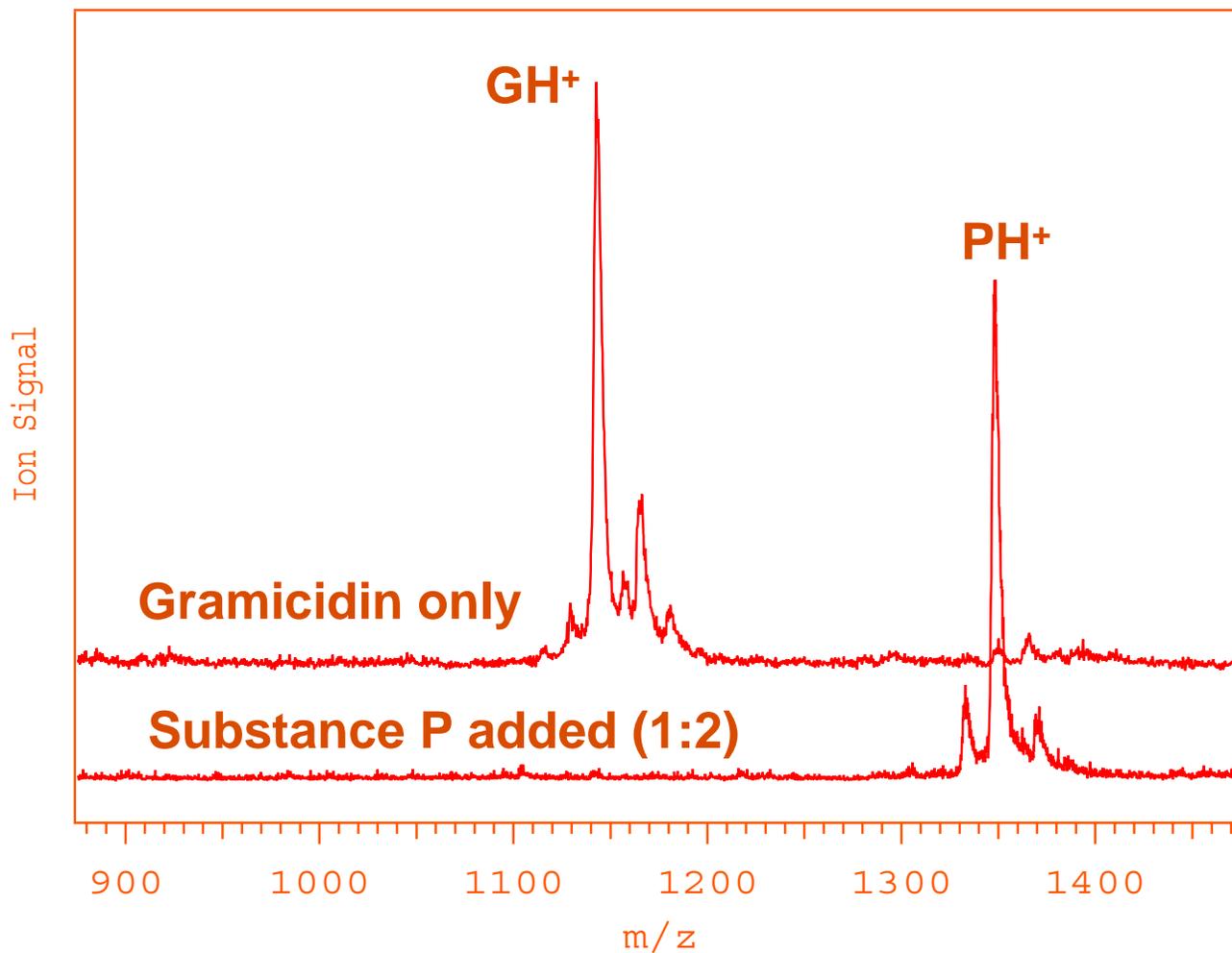
# Proton Transfer Matrix Suppression



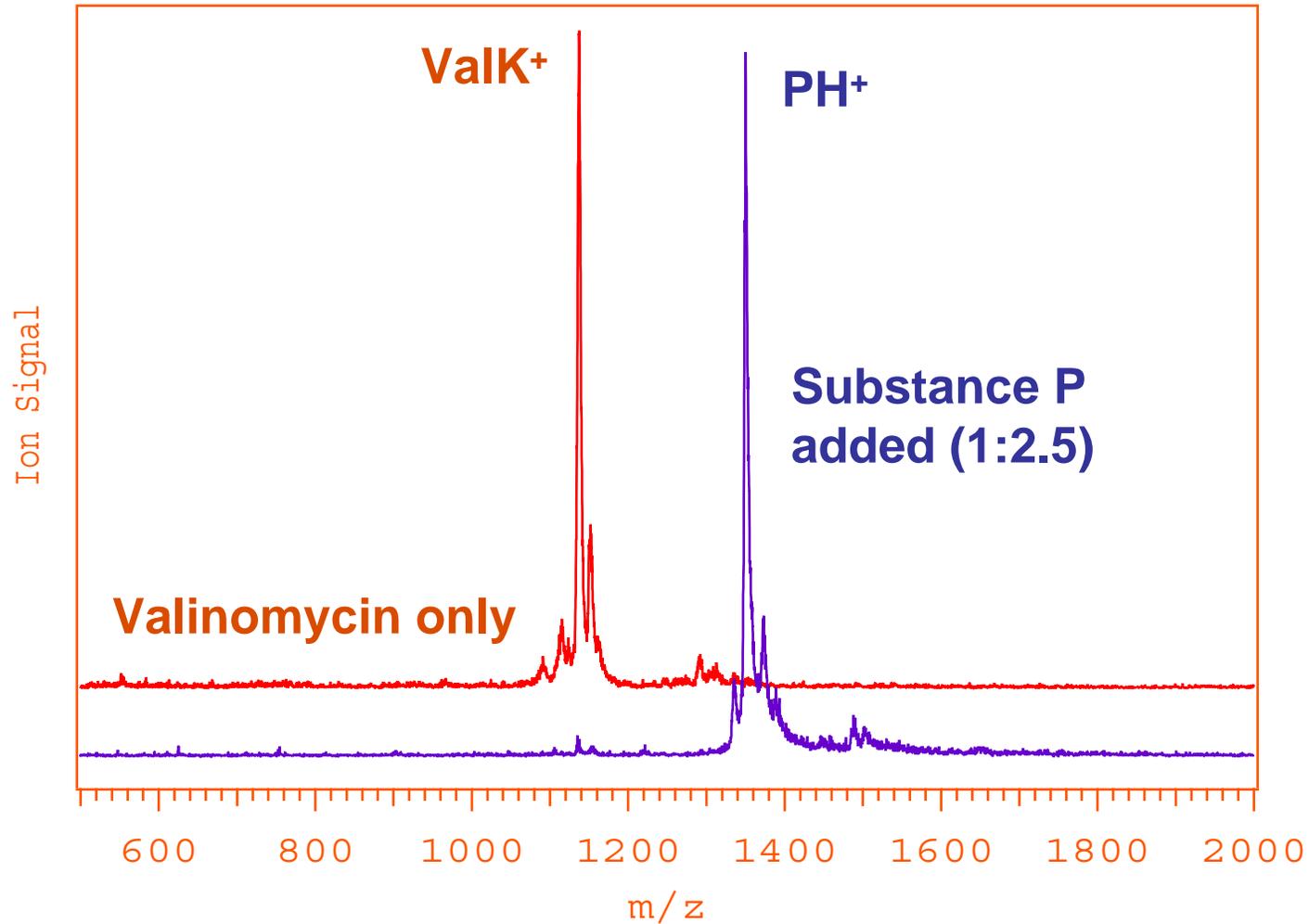
# Matrix Suppression and Plume Density



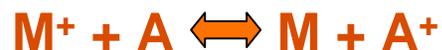
# Analyte Suppression Effect: Similar Ions



# Analyte Suppression Effect: Dissimilar Ions



# Adding Analyte to the Continuum Model



$$\frac{d[A]}{dt} = -k_{MA} [M^+] [A] + k_{AM} [M] [A^+]$$

$$\frac{d[A^+]}{dt} = k_{MA} [M^+] [A] - k_{AM} [M] [A^+]$$

## Arrhenius Rates:

$$k_{MA} = A e^{-EA/kT} \quad k_{AM} = A e^{-(EA+\Delta G)/kT}$$

$$A = \pi(D_M + D_A)^2 F(1-F)n\sqrt{V_M^2 + V_A^2}$$

## Activation Energy:

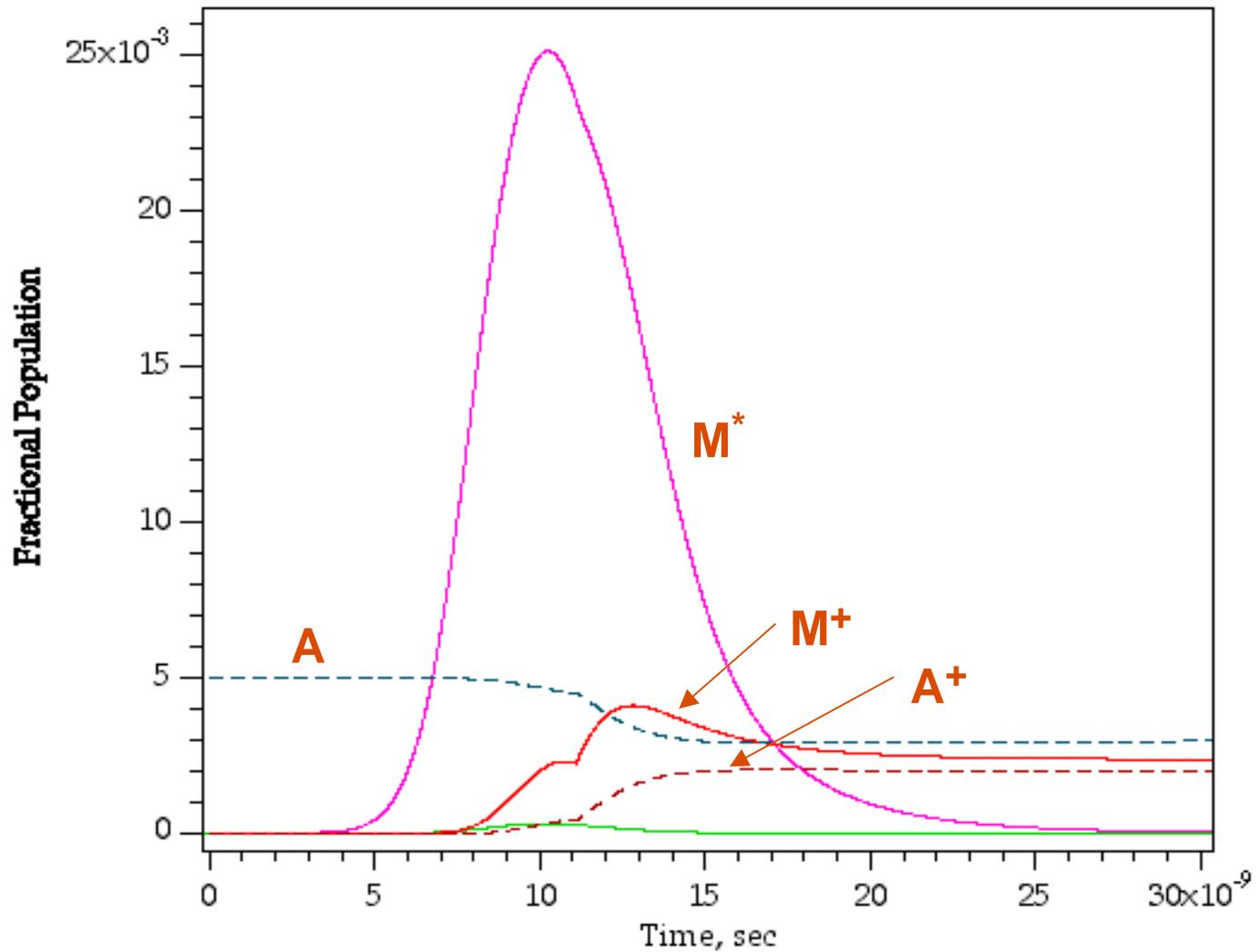
$$EA = \Delta G - \lambda \ln\left(\frac{1}{1 + e^{-\Delta G/\lambda}}\right)$$

## Volume Correction:

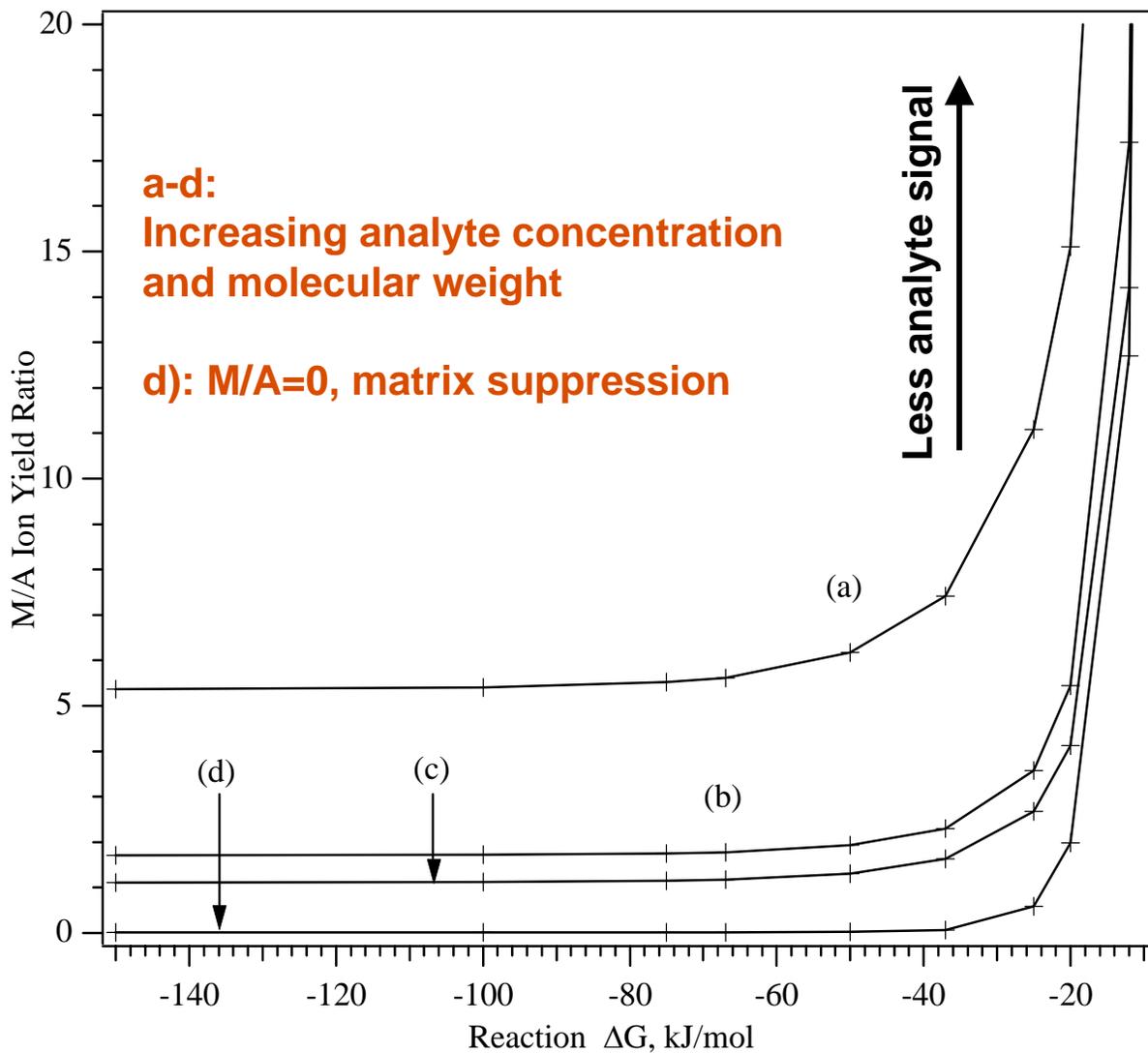
$$\left[ 1 + \left[ \left( \frac{D_A}{D_M} \right)^2 - 1 \right] \frac{P}{P_0} \right]$$

**No adjustable parameters**

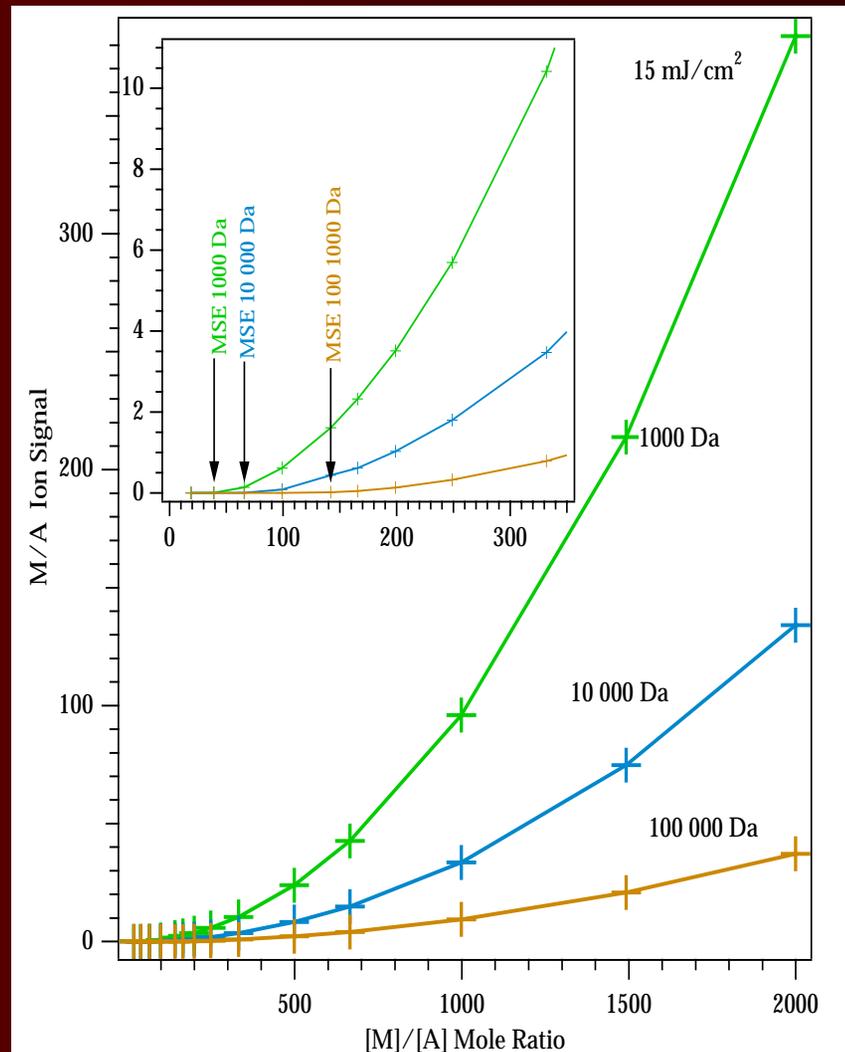
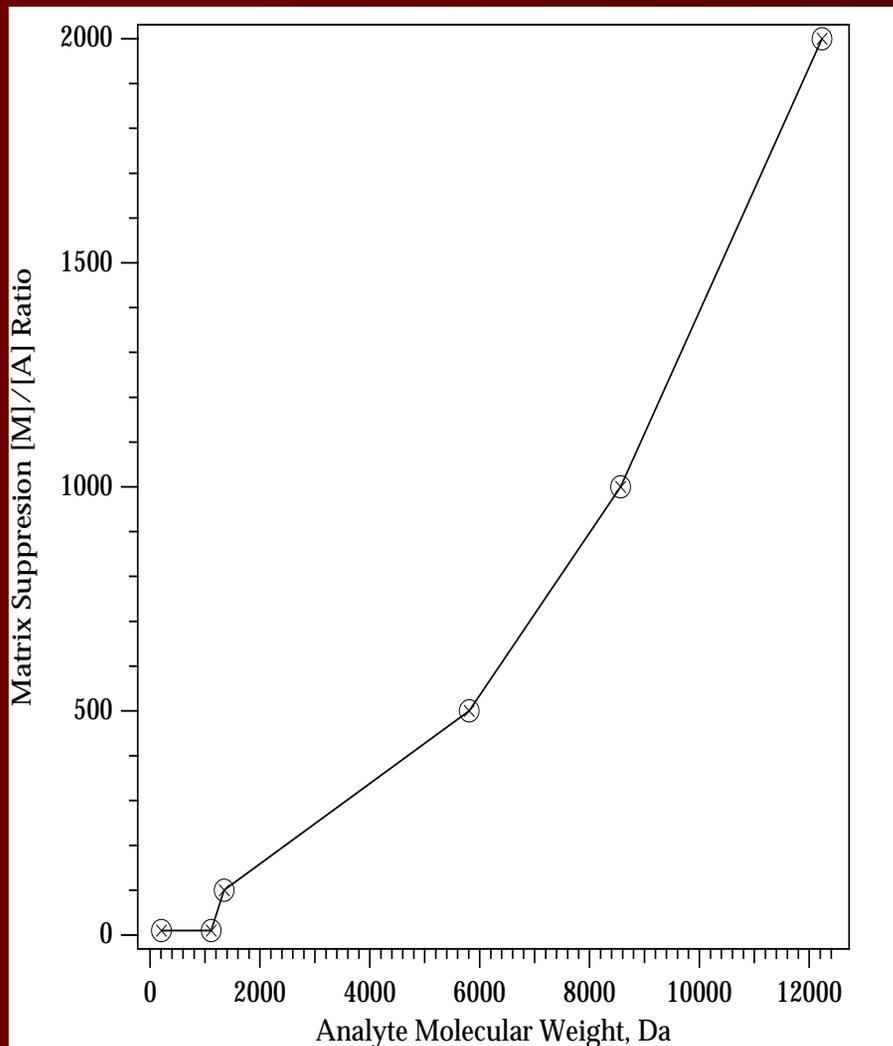
# Example Results with Analyte



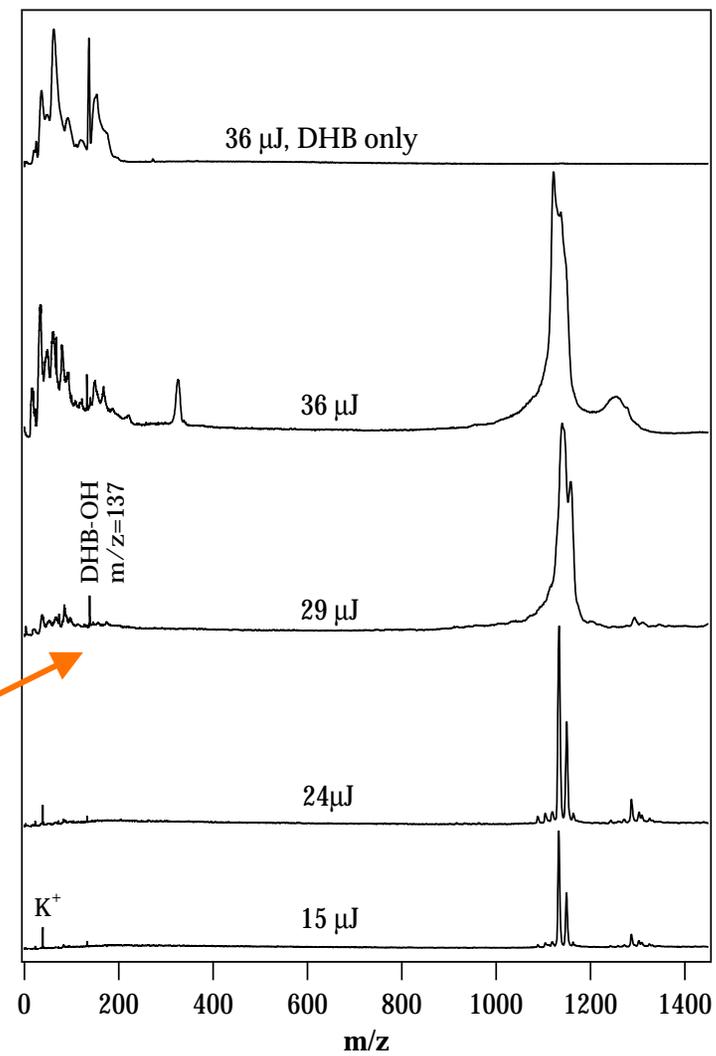
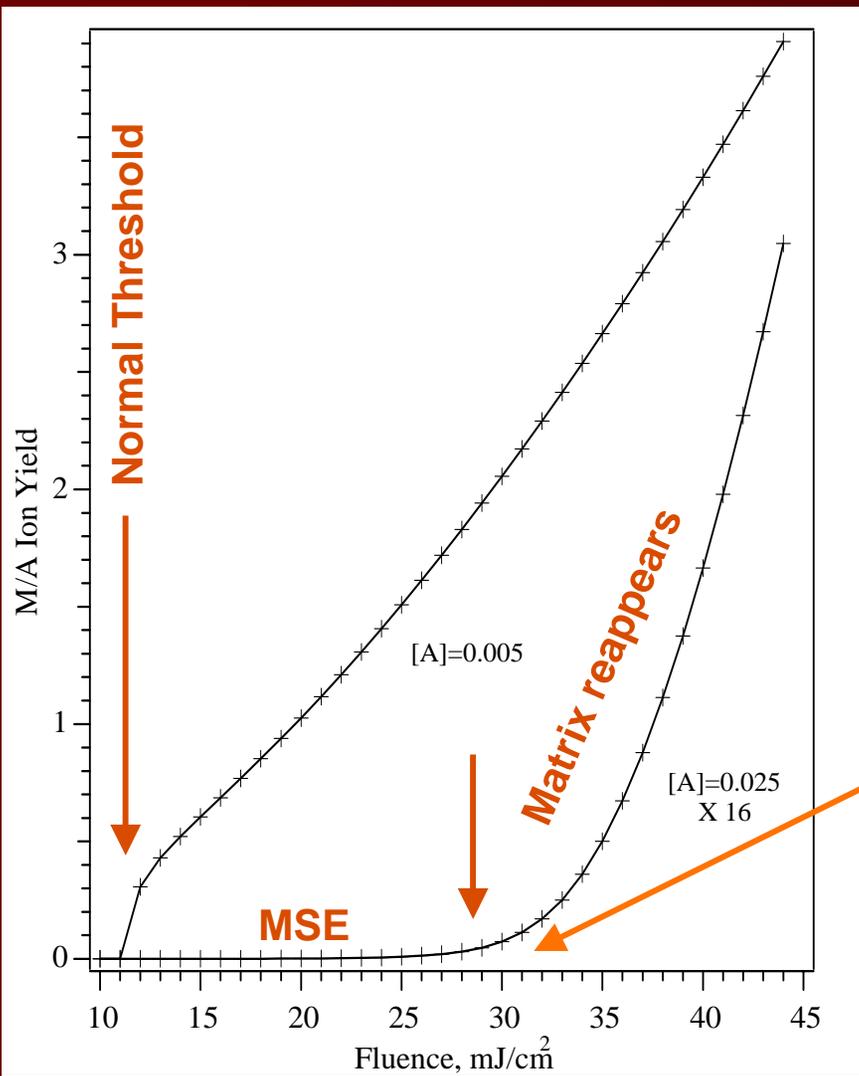
# Effect of $\Delta G$ on Rates



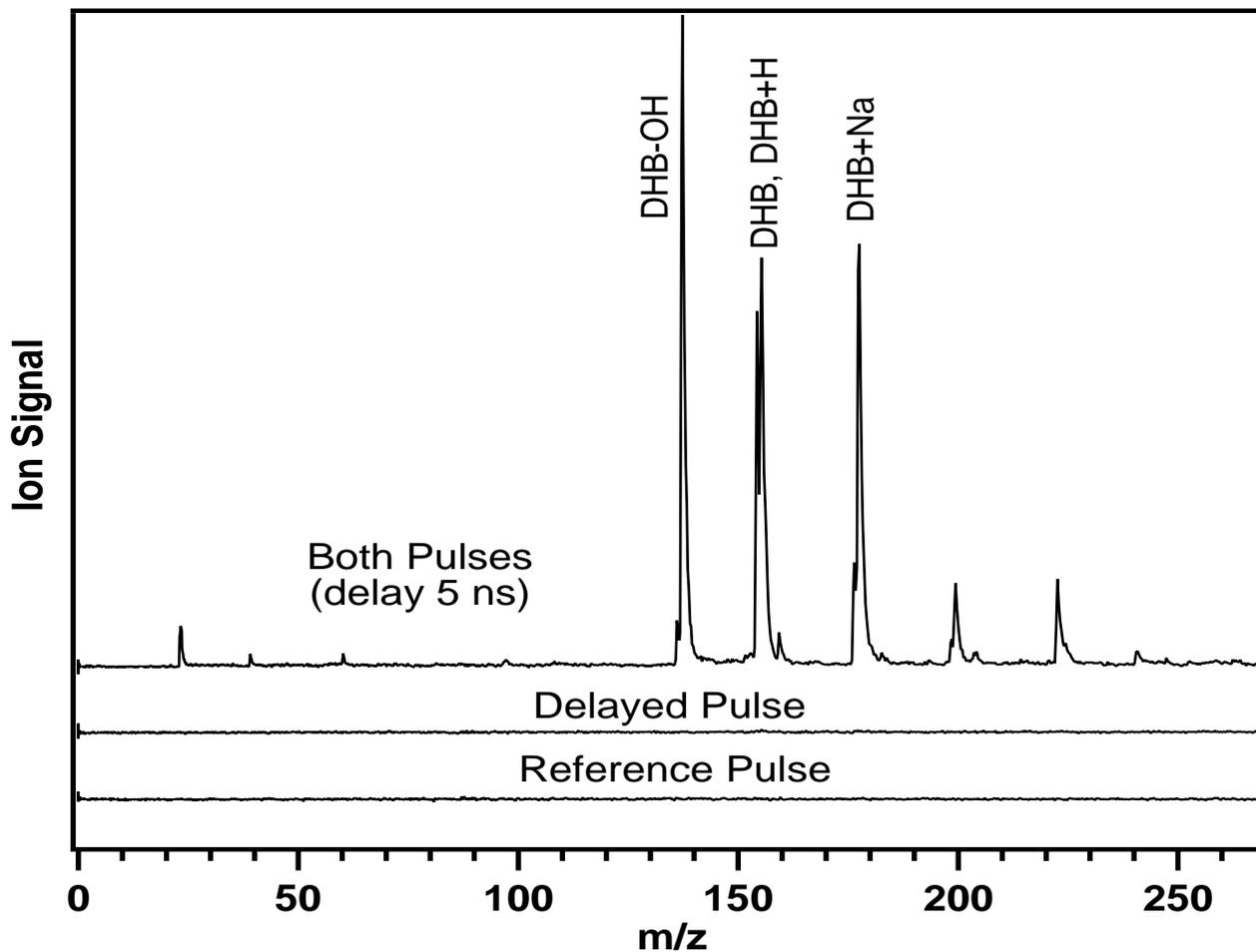
# Matrix Suppression Effect vs. MW



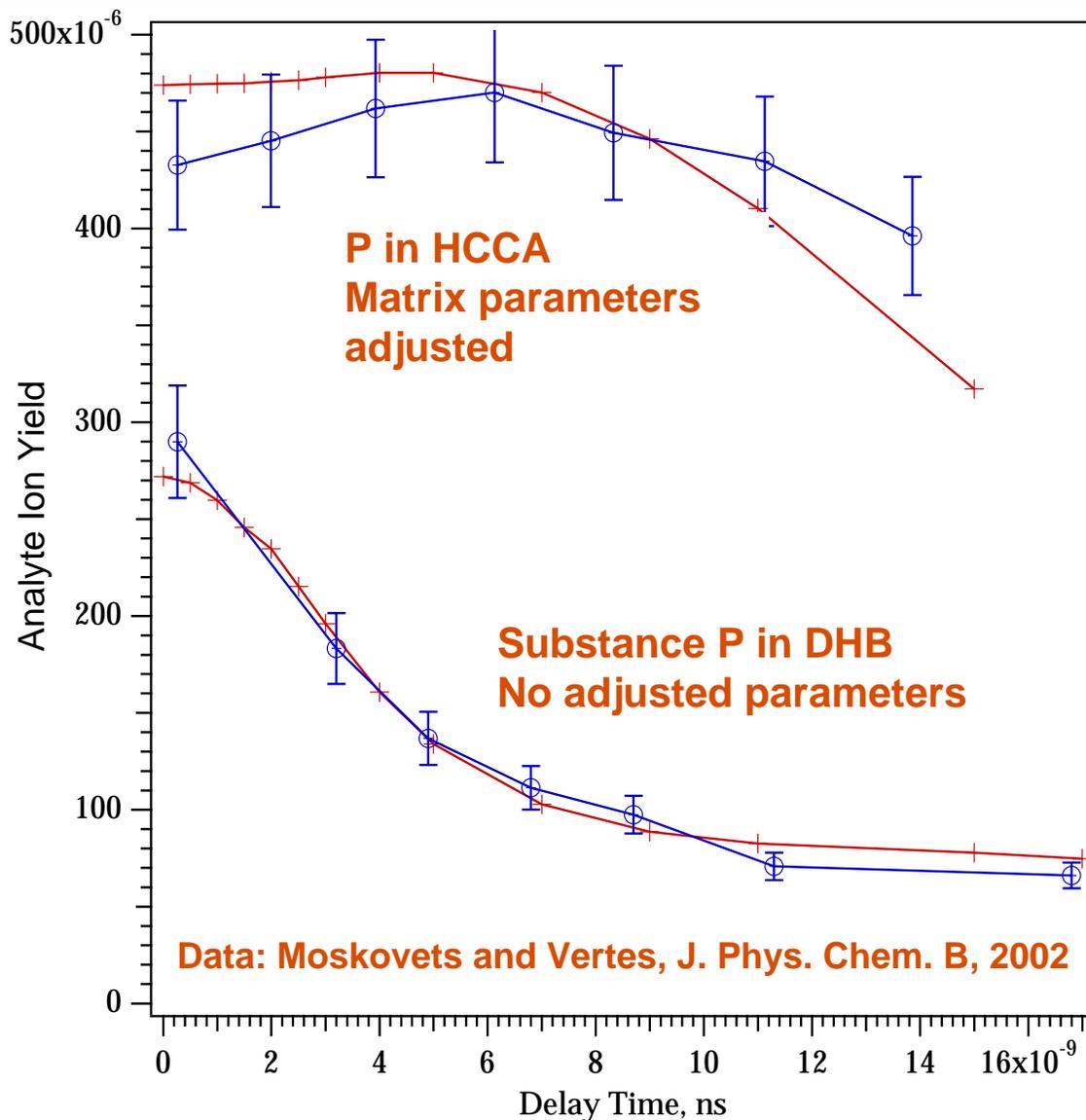
# MSE vs. Laser Fluence



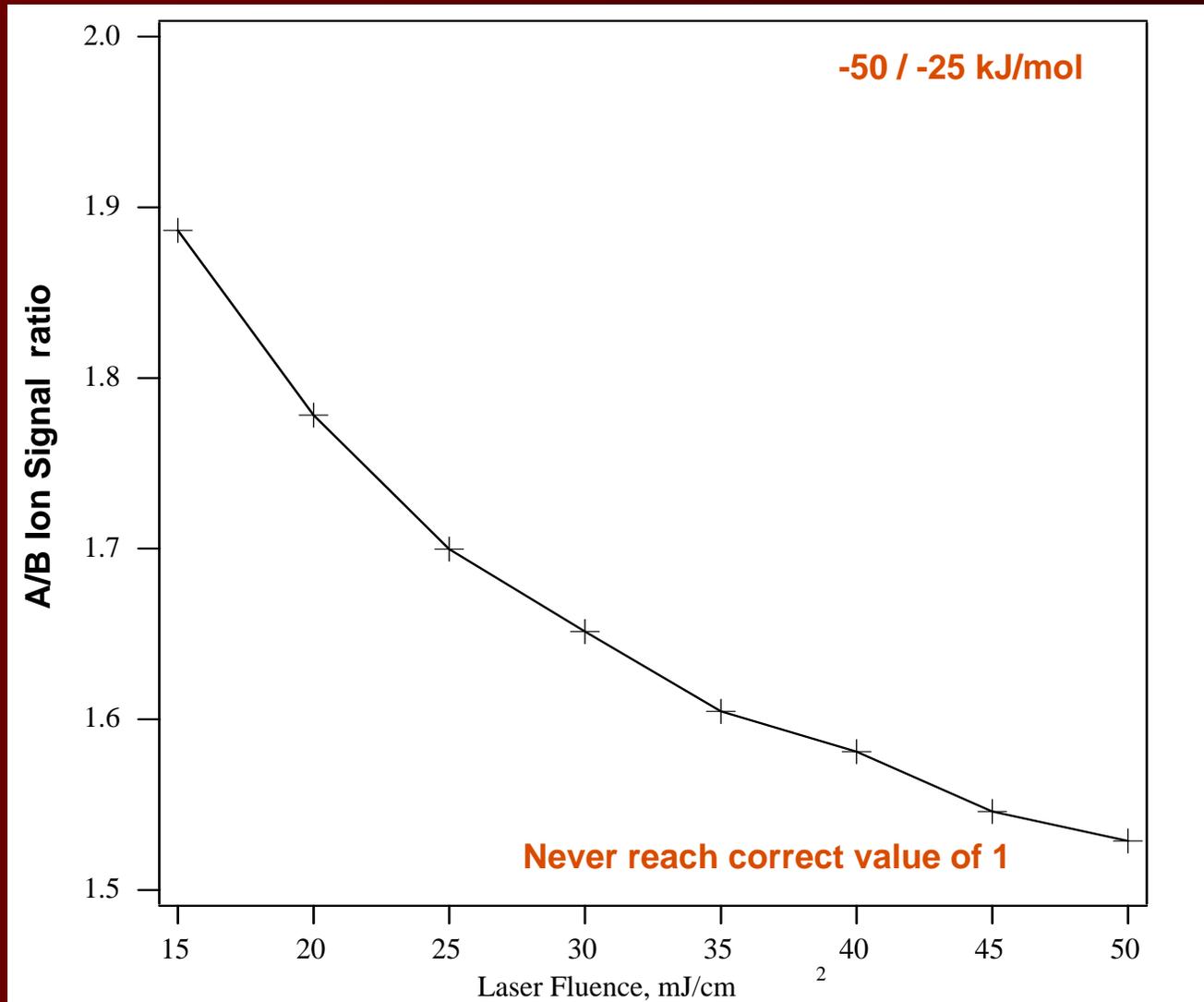
# $S_1+S_n$ Exciton Pooling: Time-delayed 2 Pulse Effect



# 2-Pulse with Analyte



# Suppression vs. Fluence



## Metal Adduct Reduction Reactions

Metal adduct electron transfer

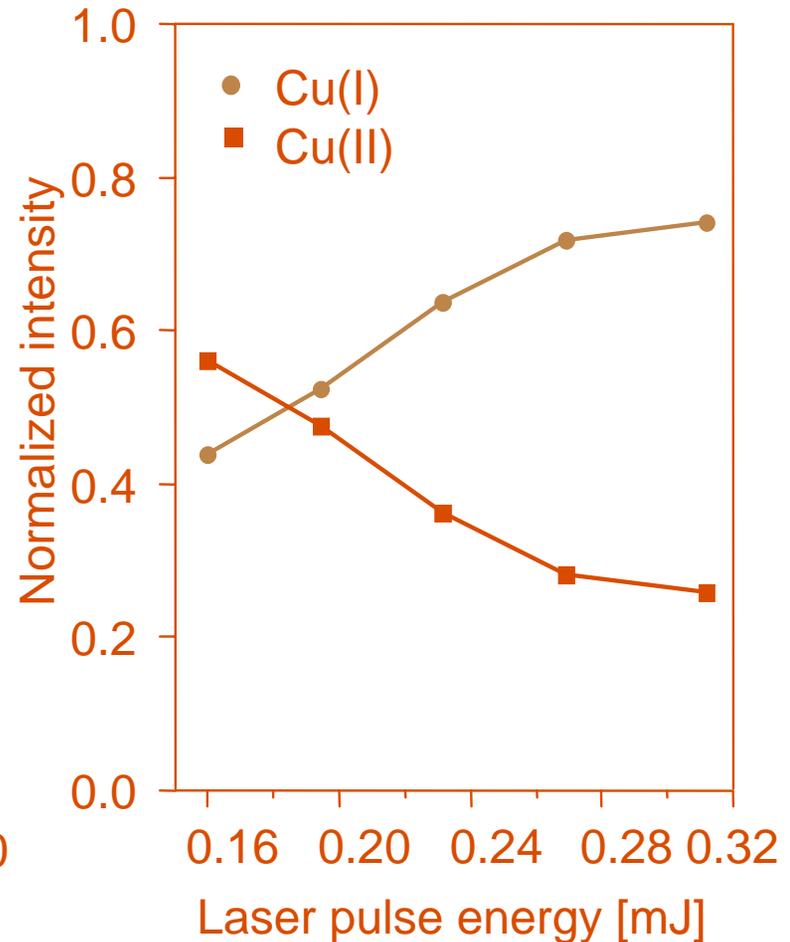
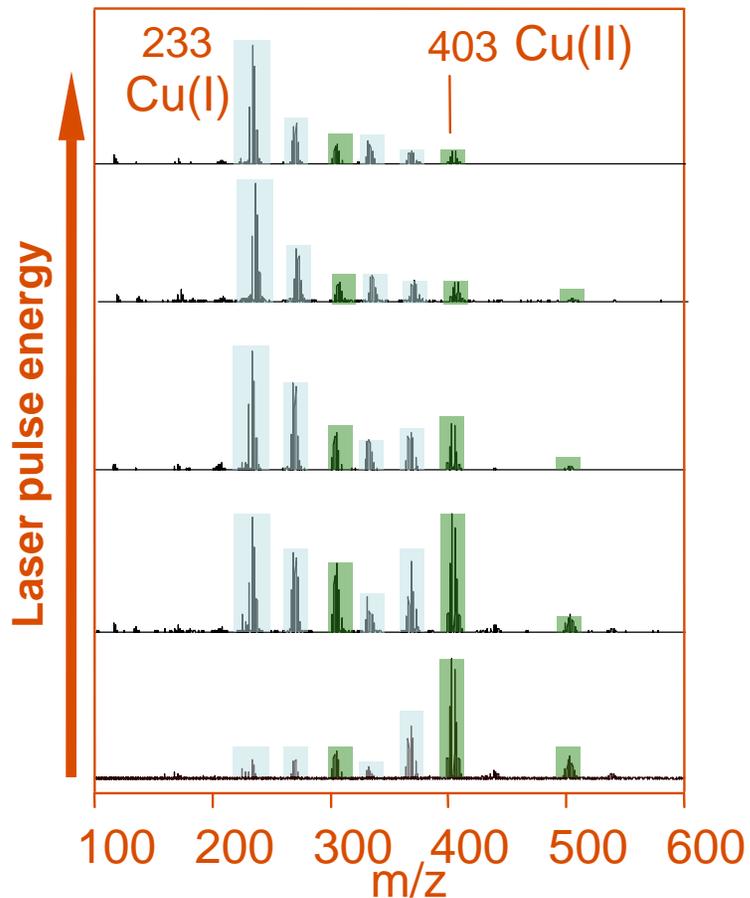


Ionization Potential(M) < IP(Me<sup>+</sup>)

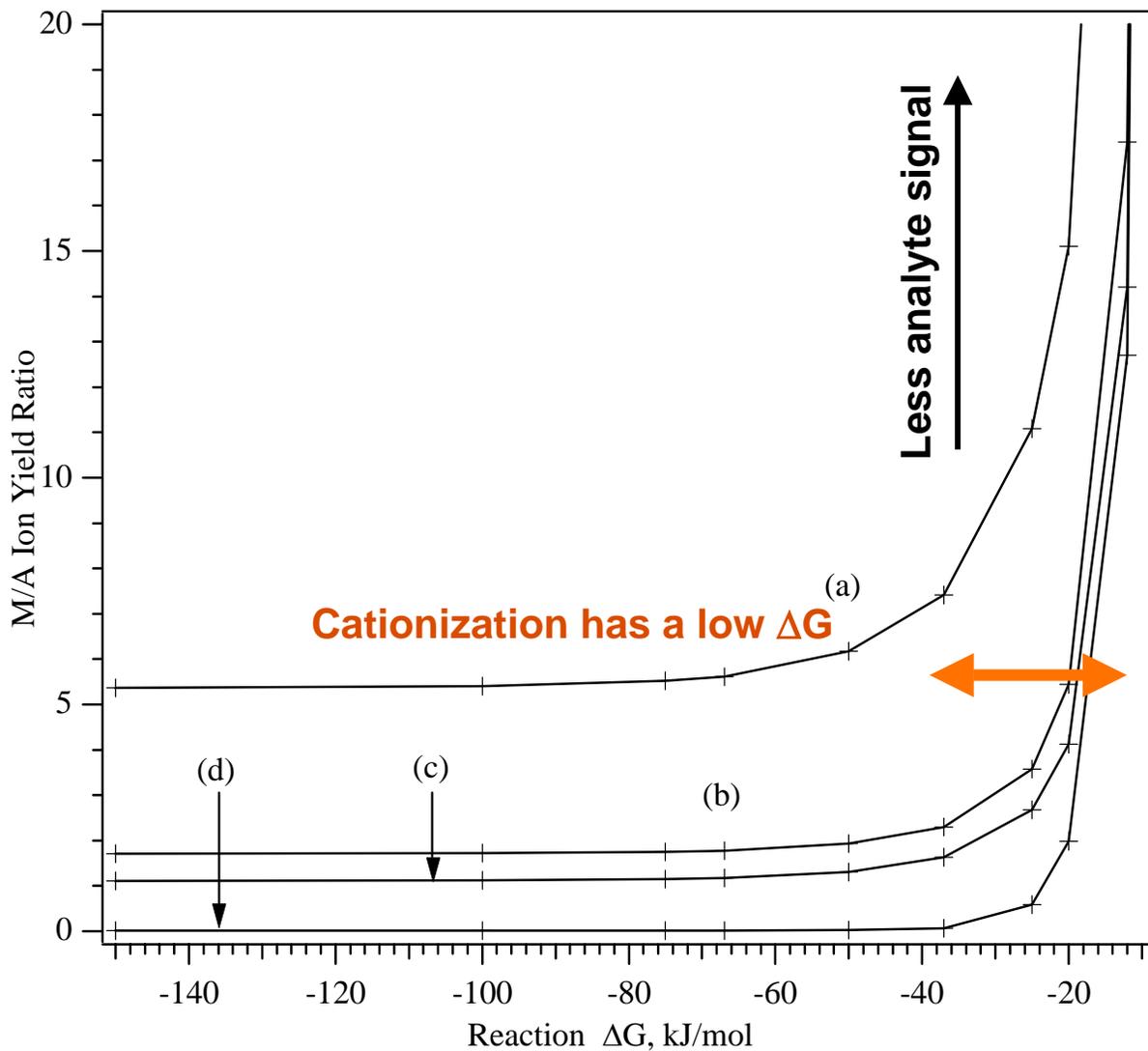
8-9 eV vs 15-20 eV

**+n → +1 reactions all exothermic  
but +1 → 0 is endothermic**

# Divalent Metal Reduction Reactions



# Effect of $\Delta G$ on Rates



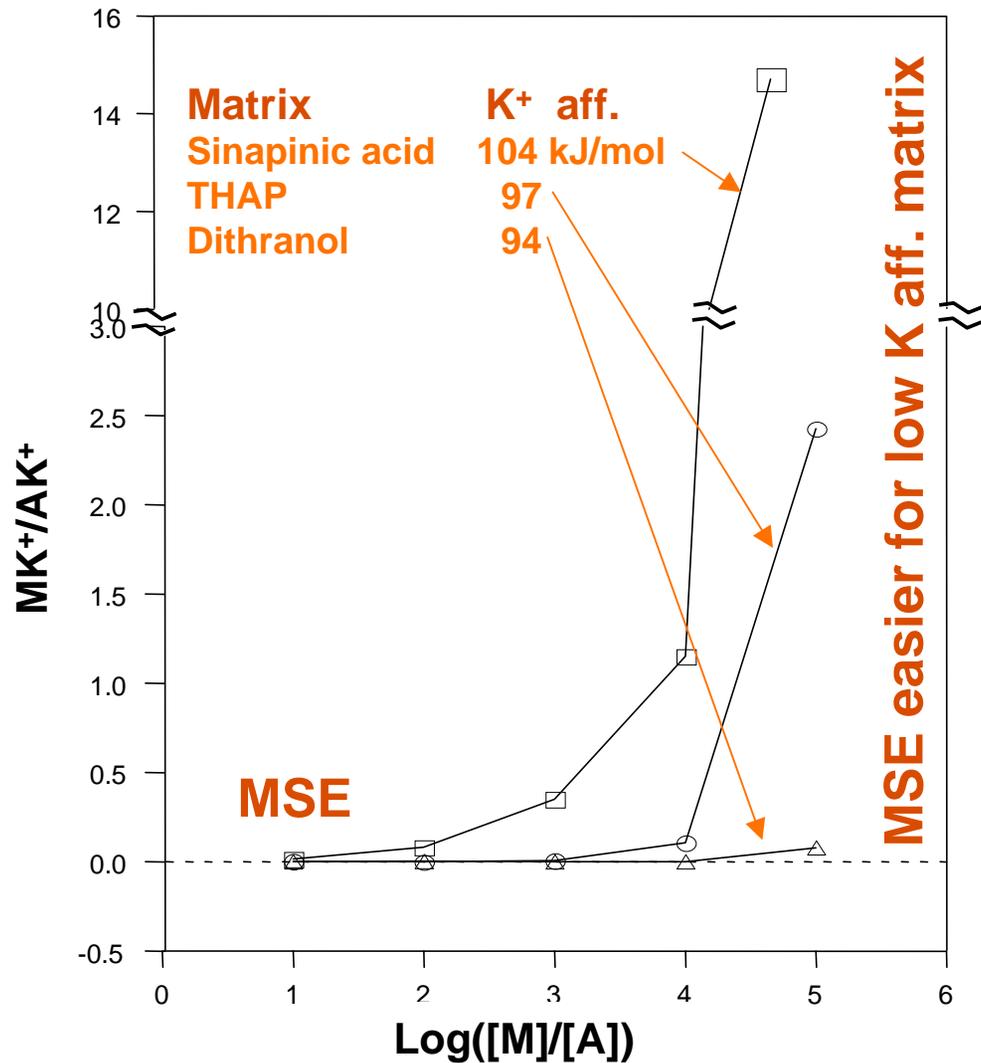
# Metal Cationization

Matrix	K <sup>+</sup>	Na <sup>+</sup> (EA)	H <sup>+</sup>
Nicotinic acid		166 (9)	903 kJ/mol
HCCA		165 (6)	846
3-HPA		163 (8)	896
Ferulic acid		160 (6)	822
Sinapinic acid	104	159 (7)	860
DHB	99	158 (7)	860
THAP	97	154 (5)	878
Dithranol	94	150 (6)	874
3-AQ		144 (6)	

Analyte	Na <sup>+</sup>
dipeptides	>160 kJ/mol
nucleobases	165-190
carbohydrates	>160

Depending on matrix, 0 to 40 kJ/mol Na<sup>+</sup> transfer exothermicity

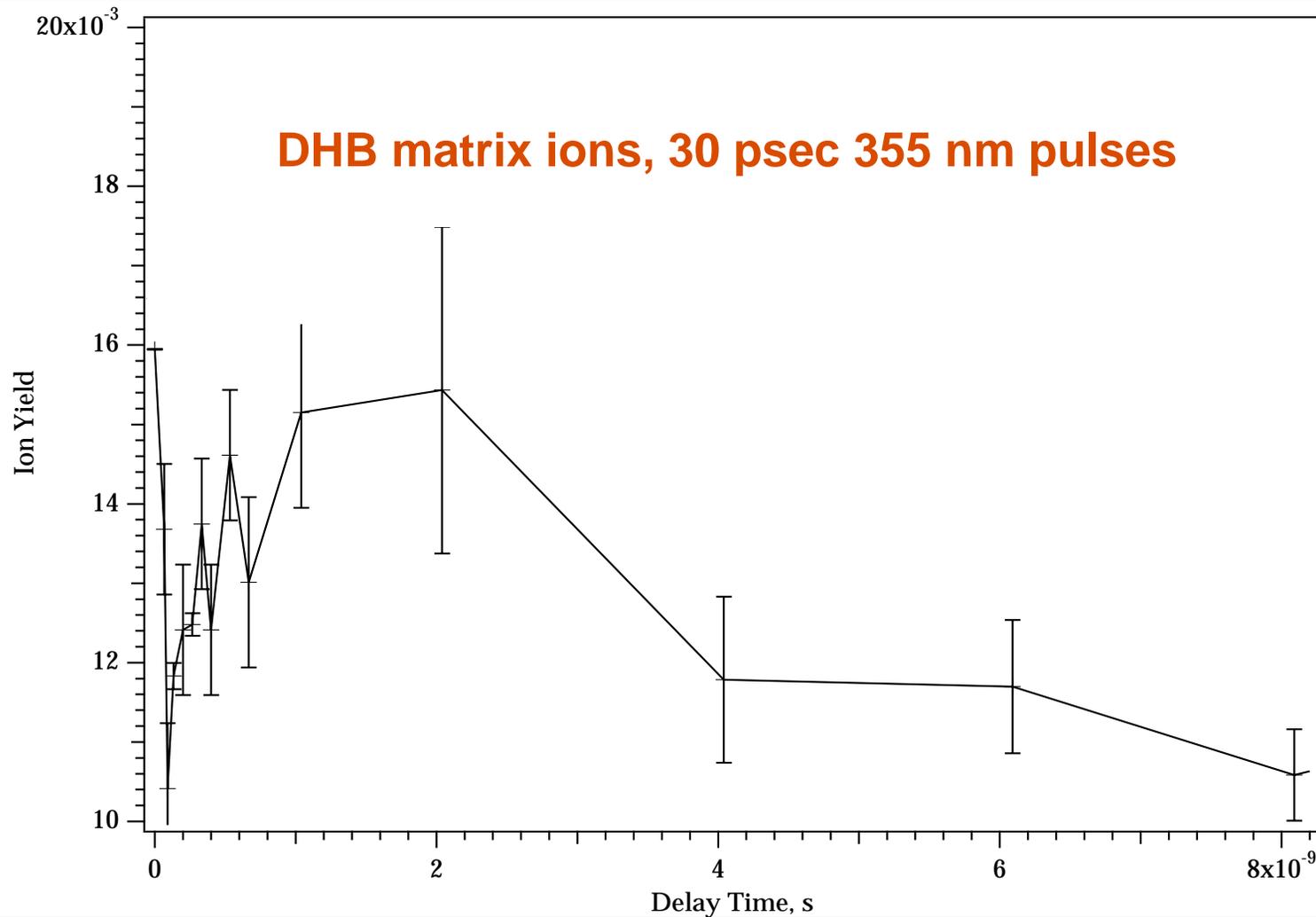
# MSE by Metal Cationization



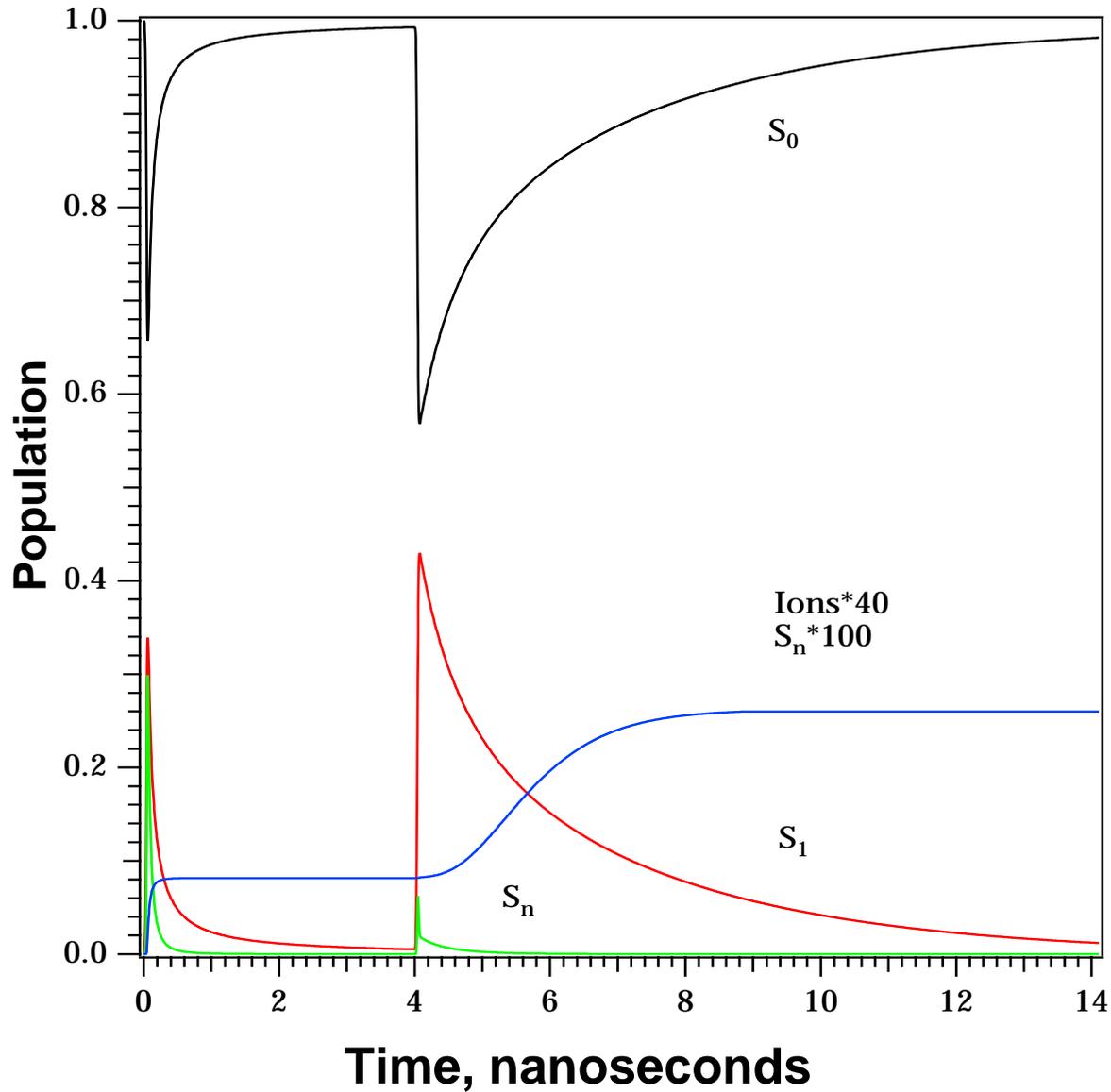
# Model Results, Matrix & Analyte

- **Existence of MSE + ASE**
- **Characteristics of MSE + ASE vs.:**
  - **Molecular weight**
  - **Fluence**
  - **Concentration- absolute & relative**
  - **$\Delta G$**
- **2-Pulse effect with analyte**
- **Quantification of cationization vs. protonation**
- **Quantification of ion suppression effects**
- **Rational matrix choice for desired analyte**
- **Wide-ranging quantitative agreement with data.**
- **Predictive capability.**

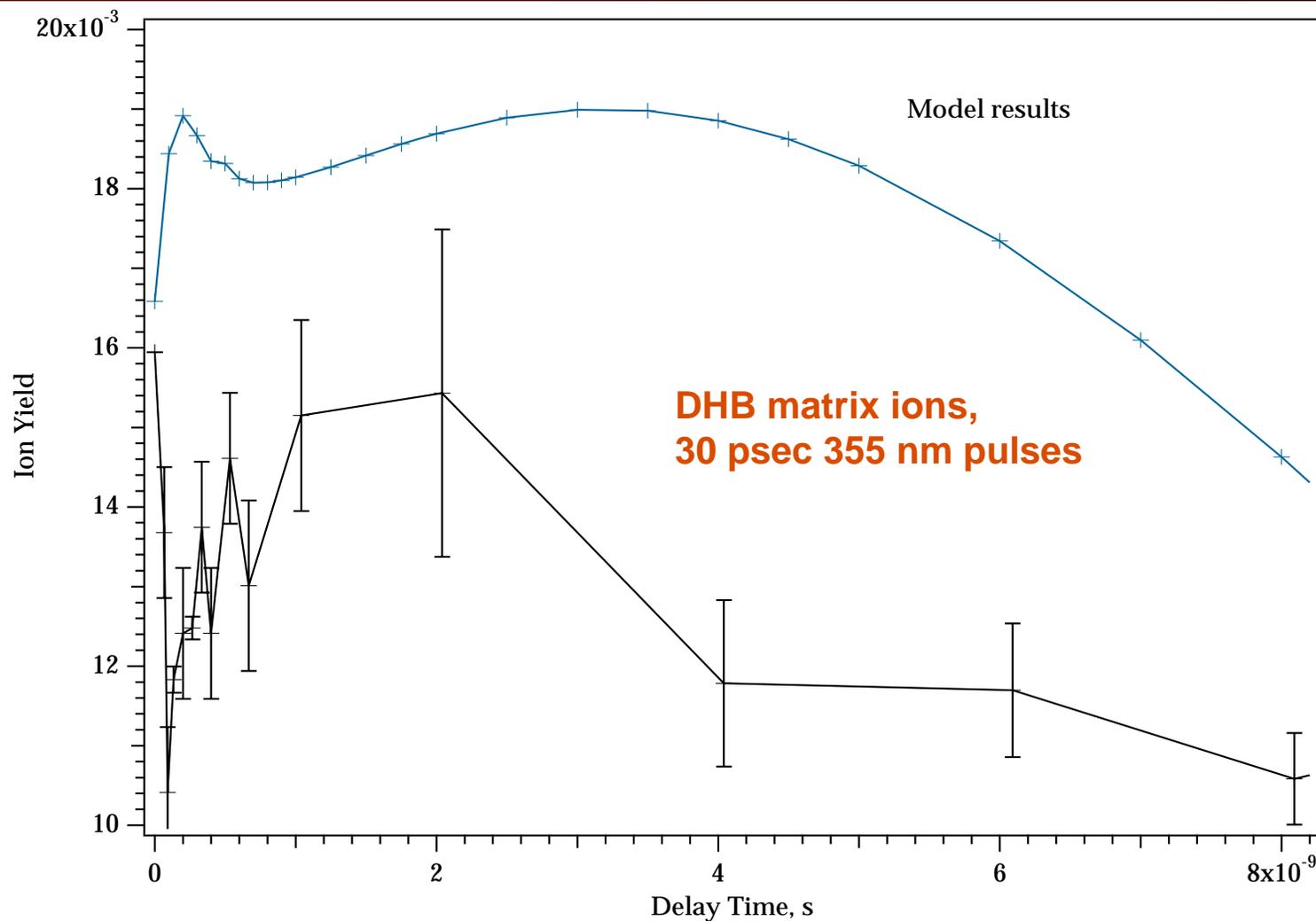
# $S_1+S_n$ Exciton Pooling: Time-delayed 2 Pulse Effect



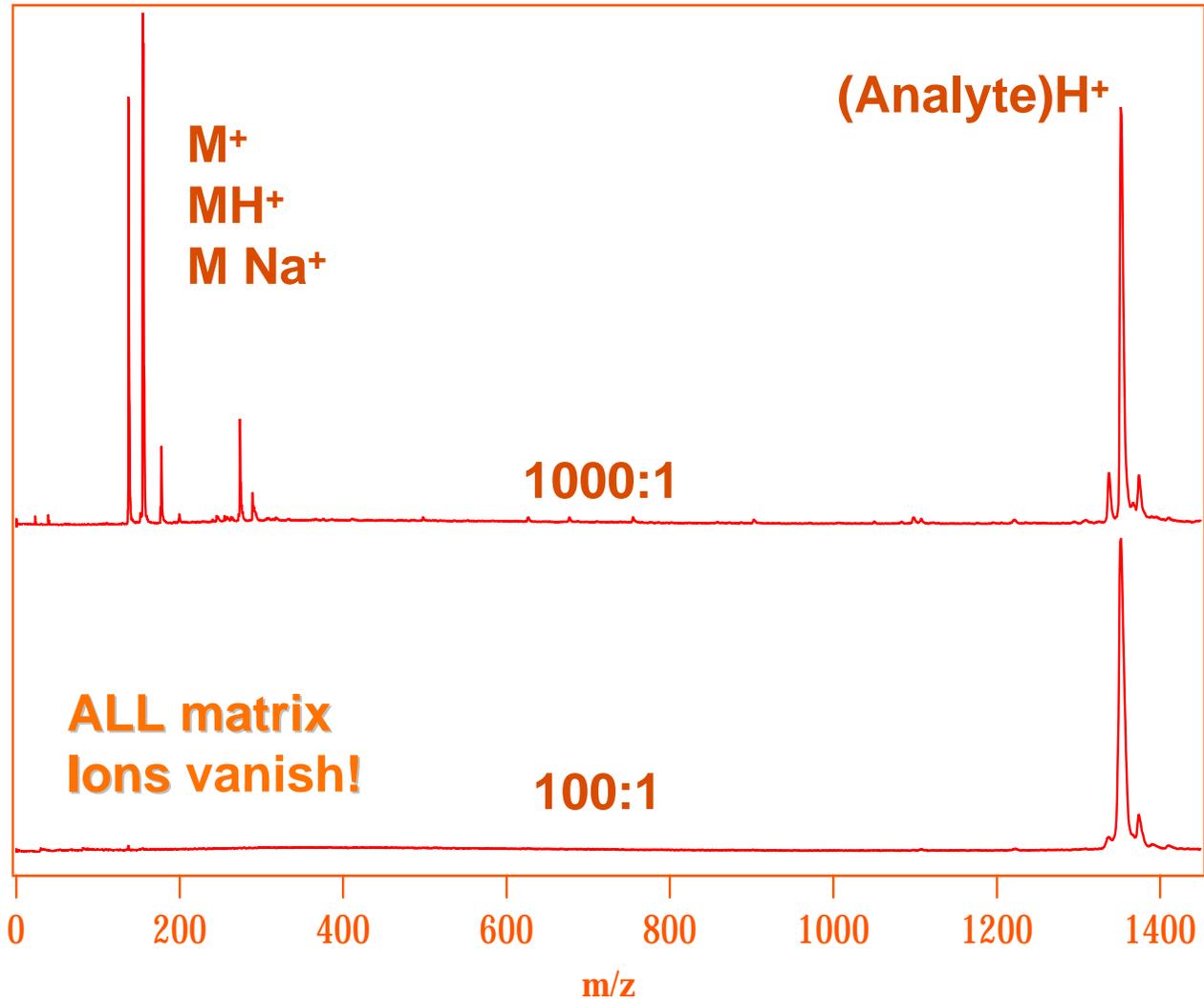
# Example Results, 2 Pulses



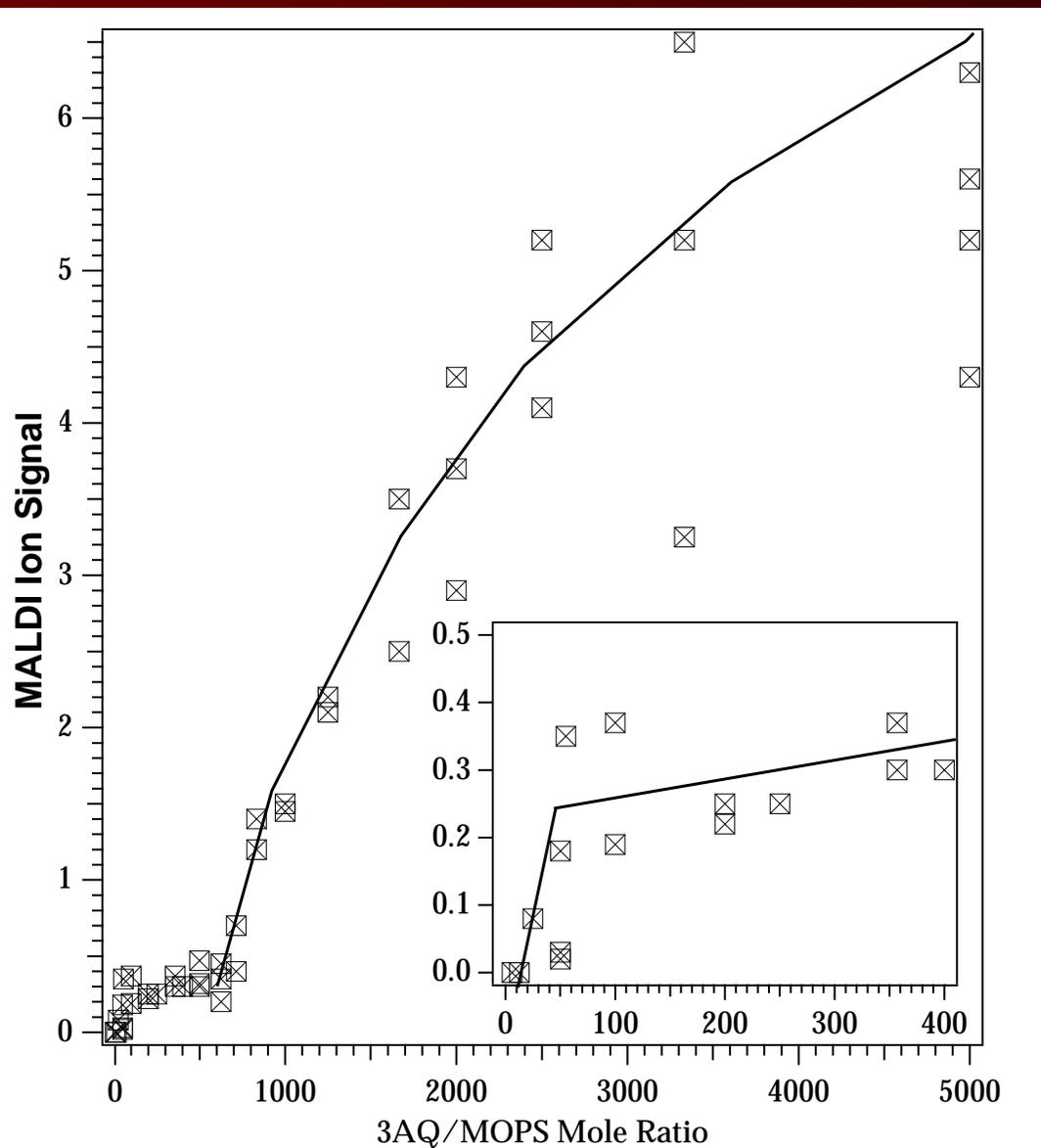
# $S_1+S_n$ Exciton Pooling: Time-delayed 2 Pulse Effect



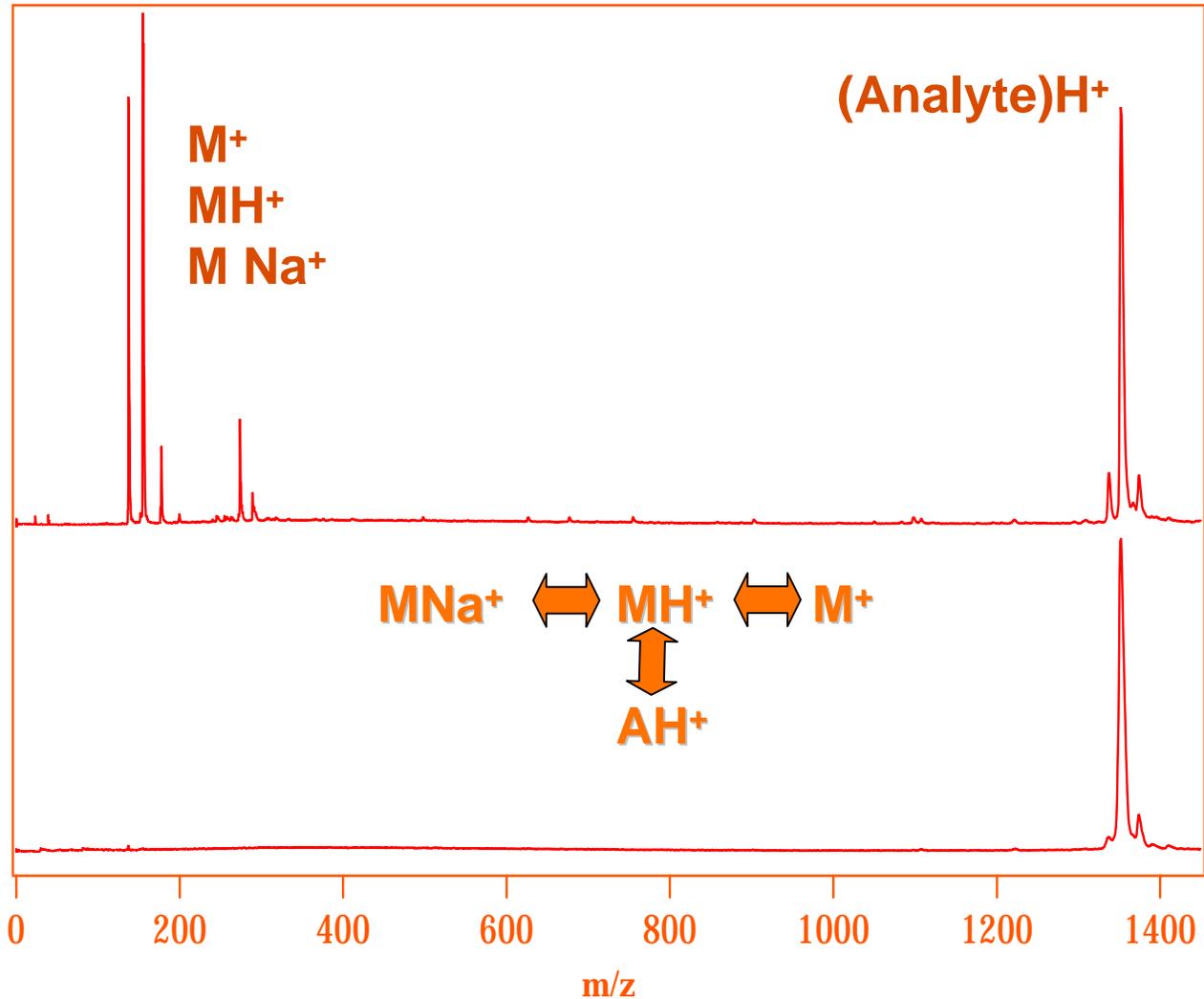
# Matrix Suppression Effect: Dissimilar Ions



# Matrix Suppression Effect



# Matrix Suppression Effect: Dissimilar Ions

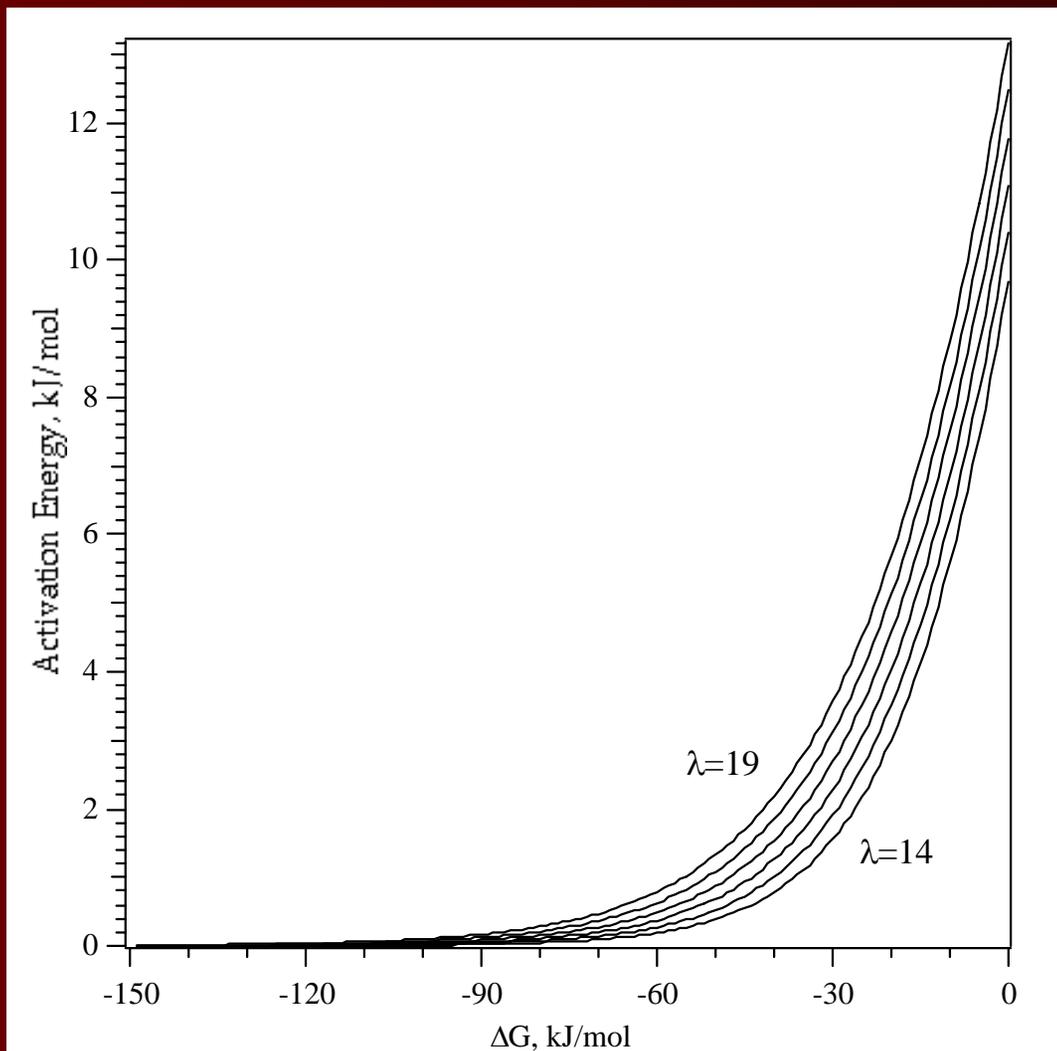


## Interconversion Reactions

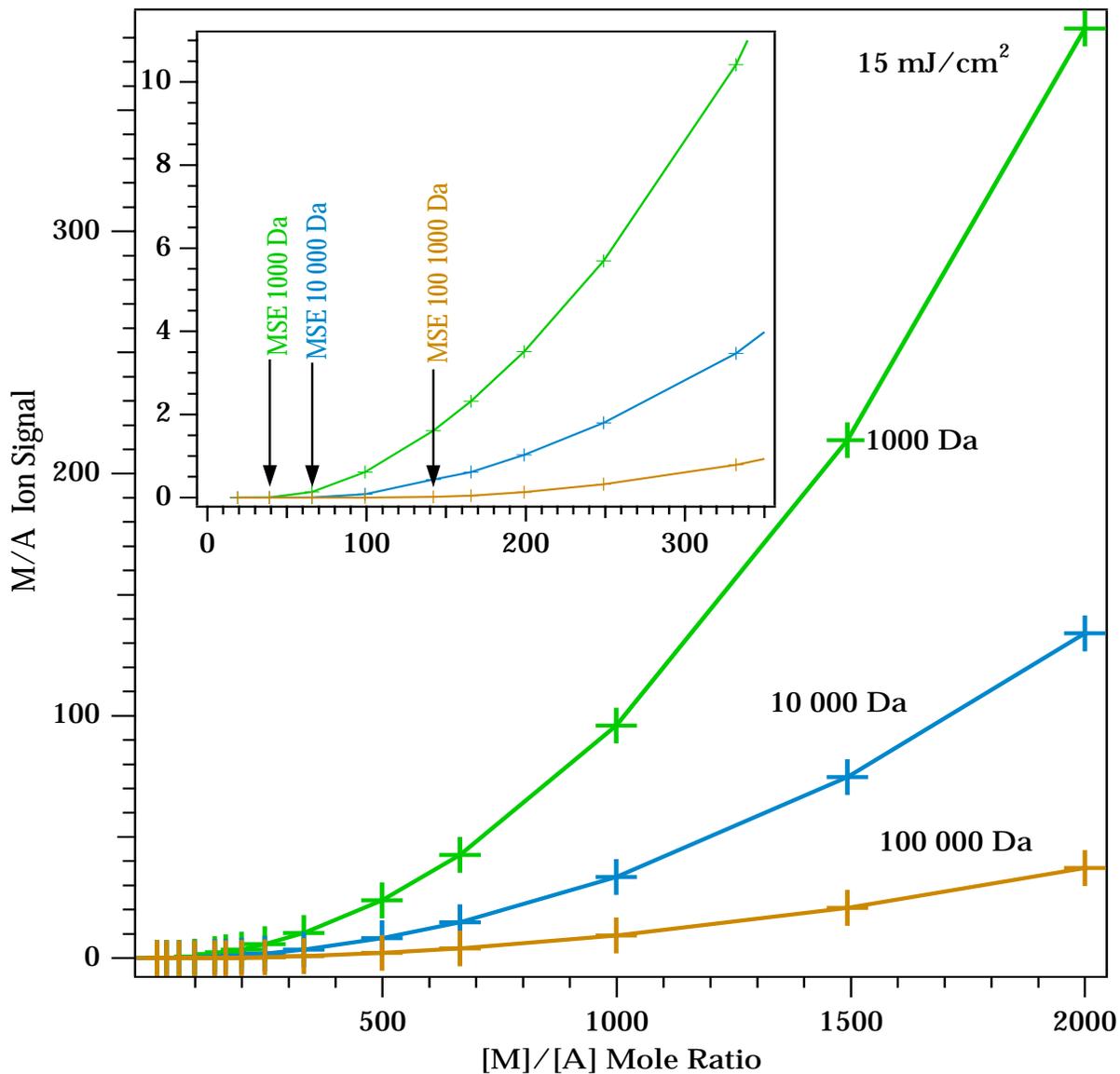


Low energy, facile in plume

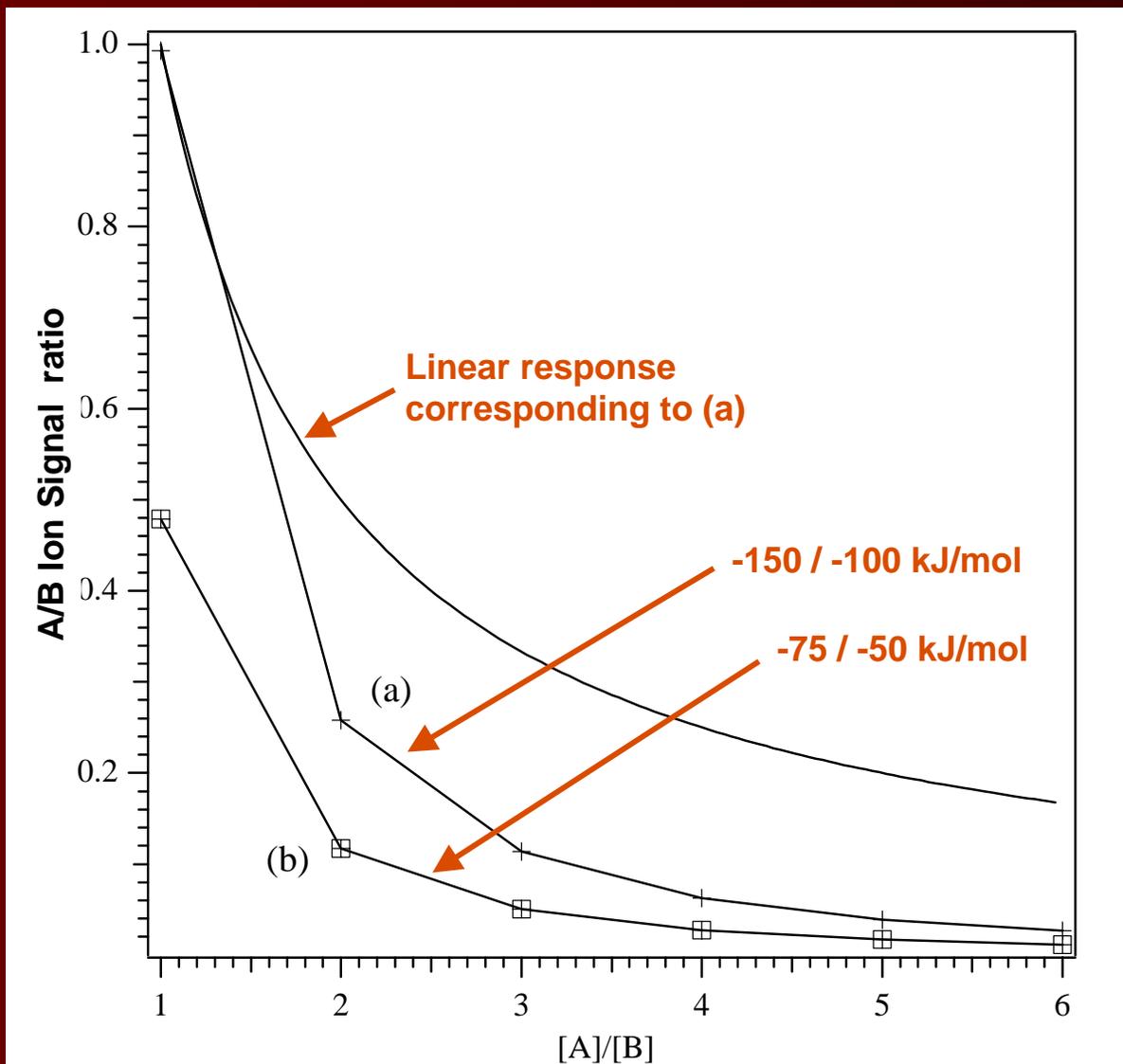
# Activation Energy vs. $\Delta G$ and Lambda



# Matrix Suppression Effect



# Suppression vs. Concentration



# The Current Picture of UV-MALDI

- 1) Primary (matrix) & secondary (analyte) ionization steps**
- 2) Secondary ionization: ion-molecule thermodynamics & kinetics**
- 3) We can calculate & predict most of it**